

# Identifying codes in vertex-transitive graphs

Sylvain Gravier<sup>1</sup>, Aline Parreau<sup>2</sup>, Sara Rottey<sup>3,4</sup>, Leo Storme<sup>3</sup> and  
Élise Vandomme<sup>1,2</sup>

<sup>1</sup>Institut Fourier, France

<sup>2</sup>University of Liege, Belgium

<sup>3</sup>Ghent University, Belgium

<sup>4</sup>Vrije Universiteit Brussel, Belgium

## Abstract

We consider the problem of computing identifying codes of graphs and its fractional relaxation. The ratio between the size of optimal integer and fractional solutions is between 1 and  $2\ln(|V|) + 1$  where  $V$  is the set of vertices of the graph. We focus on vertex-transitive graphs for which we can compute the exact fractional solution. There are known examples of vertex-transitive graphs that reach both bounds. We exhibit infinite families of vertex-transitive graphs with integer and fractional identifying codes of order  $|V|^\alpha$  with  $\alpha \in \{\frac{1}{4}, \frac{1}{3}, \frac{2}{5}\}$ . These families are generalized quadrangles (strongly regular graphs based on finite geometries). They also provide examples for metric dimension of graphs.

## Introduction

Given a discrete structure on a set of elements, a natural question is to be able to locate efficiently the elements using the structure. If the elements are the vertices of a graph, one can use the neighbourhoods of the elements to locate them. In this context, Karpovsky, Chakrabarty and Levitin [26] have introduced the notion of identifying codes in 1998. An identifying code of a graph is a dominating set having the property that any two vertices of the graph have distinct neighbourhoods within the identifying code. Hence any vertex of the graph is specified by its neighbourhood in the identifying code. Initially, identifying codes have been introduced to model fault-diagnosis in multiprocessor systems but later other applications were discovered such as the design of emergency sensor networks in facilities [36]. They are related to other concepts in graphs like locating-dominating sets [34, 35] and resolving sets [1, 33].

The problem of computing an identifying code of minimal size is NP-complete in general [9] but can be naturally expressed as an integer linear problem. Also, one can ask how good the fractional relaxation of this problem can be. We focus on vertex-transitive graphs since for these graphs, we are able to compute the optimal size of a fractional identifying code. This value depends only on three parameters of the graph: the number and degree of vertices and the smallest size

of the symmetric difference of two distinct closed neighbourhoods. Moreover, the optimal cardinality of an integer identifying code is at most at a logarithmic factor (in the number of vertices  $|V|$ ) of the fractional optimal value.

Identifying codes have already been studied in different classes of vertex-transitive graphs, especially in cycles [6, 19, 25, 37] and hypercubes [7, 10, 11, 24, 26]. In these examples, the order of the size of an optimal identifying code seems to always match its fractional value. However, the smallest size of symmetric differences of closed neighbourhoods is small compared to the number of vertices: either it is constant (for cycles) or it has logarithmic order in the number of vertices (for hypercubes). Therefore we focus in this paper on strongly regular (vertex-transitive) graphs that are graphs with the property that two adjacent (respectively non-adjacent) vertices always have the same number of common neighbours. In particular, the size of symmetric differences can only take two values and is of order at least  $\sqrt{|V|}$  if the graph is not a trivial strongly regular graph.

Another interest of considering identifying codes in strongly regular graphs is that they are strongly related to resolving sets. A resolving set is a set  $S$  of vertices such that each vertex is uniquely specified by its distances to  $S$ . The minimum size of a resolving set is called the metric dimension of the graph. If a graph has diameter 2 – that is the case for non-trivial strongly regular graphs – then a resolving set is the same as an identifying code except that the vertices of the resolving set are not identified. A consequence is that the optimal size of identifying codes and the metric dimension have the same order in strongly regular graphs. Actually, resolving sets were introduced by Babai [1] in order to improve the complexity of the isomorphism problem for strongly regular graphs. He established an upper bound of order  $\sqrt{|V|} \log_2(|V|)$  on the metric dimension of strongly regular graphs [1, 2]. Later, Fijavž and Mohar [14] exhibited a family of strongly regular graphs with logarithmic metric dimension, namely Paley graphs. Bailey and Cameron [5] proved that the metric dimension of some Kneser and Johnson graphs has order  $\sqrt{|V|}$ . Values for small strongly regular graphs have been computed [4, 28]. Recently, Bailey [3] used resolving sets in strongly regular graphs to compute the metric dimension of some distance-regular graphs (graphs for which there is an automorphism between any two pairs of vertices at the same distance).

Paley graphs give an example of an infinite family of graphs for which the optimal value of fractional identifying code is constant but the integer value is logarithmic, and so the gap between the two is also logarithmic. We consider another family of strongly regular graphs that have never been studied in the context of identifying codes nor resolving sets: the adjacency graphs of generalized quadrangles. These graphs are constructed using finite geometries. Constructing identifying codes can be seen as a way to break the inherent symmetry of these graphs. We give constructions of identifying codes with size of optimal order. This order is of the form  $|V|^\alpha$  with  $\alpha \in \{\frac{1}{4}, \frac{1}{3}, \frac{2}{5}\}$  and corresponds to the order of the fractional value.

**Outline.** In Section 1, we give formal definitions and classic results useful for the rest of the paper. In Section 2, we exhibit the linear program for identifying codes, compute the optimal value of the relaxation for vertex-transitive graphs and deduce a general bound. In Section 3, we review known results for

identifying codes in vertex-transitive graphs and compare them to our general bound. Finally in Section 4, we study strongly regular graphs and in particular adjacency graphs of generalized quadrangles.

## 1 Preliminaries

All the considered graphs are undirected, finite and simple. Let  $G = (V, E)$  be a graph. Let  $u$  be a vertex of  $G$ . We denote by  $N(u)$  the open neighbourhood of  $u$ , that is the set of vertices that are adjacent to  $u$ . We denote by  $N[u] = N(u) \cup \{u\}$  the closed neighbourhood of  $u$ :  $u$  and all its neighbours. The *degree* of a vertex is the number of its neighbours. A graph is *regular* if all vertices have the same degree. Given two vertices  $u$  and  $v$ , we denote by  $d(u, v)$  the distance between  $u$  and  $v$  that is the number of edges in a shortest path between  $u$  and  $v$ . The *diameter* of  $G$  is the maximum distance between any pair of vertices of the graph. An *isomorphism*  $\varphi : G = (V, E) \rightarrow G' = (V', E')$  between two graphs  $G$  and  $G'$  is a bijective application from  $V$  to  $V'$  that preserves the edges of the graph:  $uv$  is an edge of  $G$  if and only if  $\varphi(u)\varphi(v)$  is an edge of  $G'$ . If  $G = G'$ ,  $\varphi$  is called an *automorphism* of  $G$ . A graph is *vertex-transitive* if for any pair of vertices  $u$  and  $v$  there exists an automorphism sending  $u$  to  $v$ . A vertex-transitive graph is in particular regular.

A subset of vertices  $S$  is a *dominating set* if each vertex is either in  $S$  or adjacent to a vertex in  $S$ . In other words, for every vertex  $u$ ,  $S \cap N[u]$  is non-empty. A vertex  $c$  *separates* two vertices  $u$  and  $v$  if exactly one vertex among  $u$  and  $v$  is in the closed neighbourhood of  $c$ . In other words,  $c \in N[u] \Delta N[v]$  where  $\Delta$  denotes the symmetric difference of sets. A subset of vertices  $S$  is a *separating set* if it separates every pair of vertices of the graph. A subset of vertices  $C$  is an *identifying code* if it is both a dominating and separating set. In other words, the set  $N[u] \cap C$  is non-empty and uniquely determines  $u$ . There exists an identifying code in  $G$  if and only if  $G$  does not have two vertices  $u$  and  $v$  with  $N[u] = N[v]$ . We say that two such vertices  $u$  and  $v$  are *twin vertices* and we will only consider twin-free graphs. The size of a minimal identifying code of  $G$  is denoted by  $\gamma^{\text{ID}}(G)$ . We have the following general bounds.

**Proposition 1** (Karpovsky, Chakrabarty and Levitin [26], Gravier and Moncel [18]). *Let  $G$  be a twin-free graph with at least one edge. We have*

$$\log_2(|V| + 1) \leq \gamma^{\text{ID}}(G) \leq |V| - 1.$$

The lower bound can be found by considering that in an identifying code  $C$  of size  $\gamma^{\text{ID}}(G)$ , the sets  $N[u] \cap C$  are all distinct and non-empty subsets of a set of size  $\gamma^{\text{ID}}(G)$ . Both bounds are tight and graphs reaching the lower bound are described in [30] whereas graphs reaching the upper bound are characterized in [13].

When the maximum degree of the graph is small enough, the following lower bound is better than the previous one.

**Proposition 2** (Karpovsky, Chakrabarty and Levitin [26]). *Let  $G$  be a graph of maximum degree  $k$ . We have*

$$\gamma^{\text{ID}}(G) \geq \frac{2|V|}{k+1}.$$

Karpovsky *et al.* prove this bound using a discharging method. We use the same method to obtain a tighter bound that we will need when  $\gamma^{\text{ID}}(G)$  is smaller than the maximum degree of the graph.

**Proposition 3.** *Let  $G = (V, E)$  be a twin-free graph of maximum degree  $k$  and  $C$  an identifying code of  $G$  with  $k \geq |C| + 1$ . We have*

$$|V| \leq \frac{|C|^2}{6} + \frac{(2k+5)|C|}{6}.$$

*Proof.* We use the same discharging method as Karpovsky *et al.* in [26]. Each vertex receives a charge 1 at the beginning. Then each vertex  $v$  gives to the vertices in  $N[v] \cap C$  the charge  $\frac{1}{|N[v] \cap C|}$ . After this process, only vertices of  $C$  have a positive charge and the total charge is still  $|V|$ .

Let  $c \in C$ . Let  $V_i$  be the set of vertices of  $N[c]$  with exactly  $i$  neighbours in  $C$ . Necessarily  $|V_1| \leq 1$  since vertices in  $V_1$  have only  $c$  in their neighbourhood. We have  $|V_2| \leq |C| - 1$ . Indeed, a vertex of  $V_2$  has  $c$  in its neighbourhood and a unique additional vertex of the code. But all the additional code neighbours of elements of  $V_2$  must be different, hence there are at most  $|C| - 1$  vertices in  $V_2$ . Finally, there are  $k + 1 - |V_1| - |V_2|$  other vertices giving charge at most  $1/3$ . Therefore,  $c$  receives a charge at most equal to

$$|V_1| + \frac{|V_2|}{2} + \frac{k + 1 - |V_1| - |V_2|}{3} \leq 1 + \frac{|C| - 1}{2} + \frac{k - |C| + 1}{3} = \frac{|C|}{6} + \frac{2k + 5}{6}.$$

Hence the total charge  $|V|$  is at most  $\frac{|C|^2}{6} + \frac{(2k+5)|C|}{6}$ .  $\square$

The concept of identifying codes is related to other concepts such as locating-dominating sets and resolving sets. A *locating-dominating set* is a dominating set  $S$  that separates the pairs of vertices that are not in  $S$ . The size of a minimal locating-dominating set of  $G$  is denoted by  $\gamma^{\text{LD}}(G)$ . Note that every graph admits a locating-dominating set since the whole set of vertices is one. An identifying code is always a locating-dominating set and one can get an identifying code from a locating-dominating set by adding at most  $\gamma^{\text{LD}}(G)$  vertices. Therefore we have the following relations between  $\gamma^{\text{LD}}(G)$  and  $\gamma^{\text{ID}}(G)$ .

**Proposition 4** (Gravier, Klasing and Moncel [17]). *Let  $G$  be a twin-free graph. We have*

$$\gamma^{\text{LD}}(G) \leq \gamma^{\text{ID}}(G) \leq 2\gamma^{\text{LD}}(G).$$

A *resolving set* is a subset of vertices  $S$  such that for every pair of vertices  $u$  and  $v$ , there exists a vertex  $x$  in  $S$  that satisfies  $d(x, u) \neq d(x, v)$ . The smallest size of a resolving set of  $G$  is called the *metric dimension* and is denoted by  $\beta(G)$ . A locating-dominating set is always a resolving set and so  $\beta(G) \leq \gamma^{\text{LD}}(G)$ . When the diameter of the graph is 2, the reverse is almost true: adding a vertex to a resolving set gives a locating dominating set.

**Proposition 5.** *Let  $G$  be a graph of diameter 2. We have*

$$\beta(G) \leq \gamma^{\text{LD}}(G) \leq \beta(G) + 1.$$

*Proof.* The first part is true for any graph since a locating-dominating set is a resolving set. Let now  $S$  be a resolving set of a graph  $G$  of diameter 2. Order the vertices of  $S = \{x_1, \dots, x_s\}$ . For every vertex  $u$ , let  $L(u) = (d(u, x_1), \dots, d(u, x_s))$  be the distance vector to vertices of  $S$ . Since  $S$  is a resolving set, all the vectors  $L(u)$  are distinct. Since the diameter is 2,  $L(u) \in \{0, 1, 2\}^s$ . But at most one vertex  $u_0$  can have  $L(u_0) = (2, 2, \dots, 2)$ , hence all vertices except  $u_0$  are dominated by a vertex of  $S$ . Therefore, the set  $S' = S \cup \{u_0\}$  is a dominating set. Let  $u$  be a vertex not in  $S'$ . It has only values 1 and 2 in its vector  $L(u)$  and the set  $N[u] \cap S$  is given by the value 1 in  $L(u)$ . Hence all the sets  $N[u] \cap S$  for  $u \notin S'$  are distinct. Therefore, all the sets  $N[u] \cap S'$  are also distinct for  $u \notin S'$  and  $S'$  is a locating-dominating set. In particular,  $\gamma^{\text{LD}}(G) \leq \beta(G) + 1$ .  $\square$

Proposition 4 together with Proposition 5 gives a relation between  $\gamma^{\text{ID}}(G)$  and the metric dimension in graphs of diameter 2. In particular, they have the same order and let us derive results for identifying codes from results for resolving sets.

**Corollary 6.** *Let  $G$  be a twin-free graph of diameter 2. We have*

$$\beta(G) \leq \gamma^{\text{ID}}(G) \leq 2\beta(G) + 2.$$

## 2 Fractional relaxation

The problem of finding a minimal identifying code in a graph  $G$  can be expressed as a hitting set problem. Indeed an identifying code is a subset of  $V$  that intersects all the sets  $N[u]$  and  $N[u] \Delta N[v]$  for  $u, v \in V$ . In other words, the problem of finding a minimal identifying code is equivalent to the following linear integer program  $P_G$ .

$$\begin{aligned} \text{Minimize} \quad & \sum_{x_u \in V} x_u \\ \text{such that} \quad & \sum_{w \in N[u]} x_w \geq 1 \quad \forall u \in V \quad (\text{domination}) \\ & \sum_{w \in N[u] \Delta N[v]} x_w \geq 1 \quad \forall u, v \in V, u \neq v \quad (\text{separation}) \\ & x_u \in \{0, 1\} \quad \forall u \in V \end{aligned}$$

Let us denote by  $P_G^*$  the linear programming fractional relaxation of  $P_G$  where the integrality condition  $x_u \in \{0, 1\}$  is replaced by a linear constraint  $0 \leq x_u \leq 1$  for all vertices  $u \in V$ . The optimal value of  $P_G^*$ , denoted by  $\gamma_f^{\text{ID}}(G)$ , gives an estimation on  $\gamma^{\text{ID}}(G)$  within a logarithmic factor.

**Proposition 7.** *Let  $G$  be a twin-free graph with at least three vertices. We have*

$$\gamma_f^{\text{ID}}(G) \leq \gamma^{\text{ID}}(G) \leq \gamma_f^{\text{ID}}(G)(1 + 2 \ln |V|).$$

*Proof.* The first inequality is trivial since  $P_G^*$  is a relaxation of  $P_G$ . Let  $\mathcal{H}$  be the hypergraph with vertex set  $V$  and hyperedge set

$$\mathcal{E} = \{N[u] \mid u \in V\} \cup \{N[u] \Delta N[v] \mid u \neq v \in V\}.$$

The identifying code problem in  $G$  is equivalent to the covering problem in  $\mathcal{H}$  that is the problem of finding a set of vertices of minimum size that intersects all the hyperedges. The linear programming formulations are the same. Using the result of Lovász [29] on the ratio of optimal integral and fractional covers, we have

$$\gamma^{\text{ID}}(G) \leq \gamma_f^{\text{ID}}(G)(1 + \ln r)$$

where  $r$  is the maximal degree of  $\mathcal{H}$ , i.e. the maximum number of hyperedges a vertex is belonging to. Let  $u \in V$  and  $k$  its degree in  $G$ . Then  $u$  is in  $k + 1$  hyperedges of the form  $N[v]$  and in  $(|V| - k - 1)(k + 1)$  hyperedges of the form  $N[v] \Delta N[w]$ . Indeed, we must have  $v \in N[u]$  and  $w \notin N[u]$ . Hence the degree of  $u$  in  $\mathcal{H}$  is  $(|V| - k)(k + 1)$ . The maximal value of  $(|V| - k)(k + 1)$  with  $0 \leq k \leq |V| - 1$  is obtained for  $k = \frac{|V|-1}{2}$ . Therefore,  $r \leq \frac{(|V|+1)^2}{2} \leq |V|^2$  for  $|V| \geq 3$  which leads to the upper bound of the proposition.  $\square$

In the case of vertex-transitive graphs, we can compute the exact value of  $\gamma_f^{\text{ID}}$ .

**Proposition 8.** *Let  $G$  be a twin-free vertex-transitive graph. Let  $k$  denote the degree of  $G$  and let  $d$  denote the smallest size of symmetric differences of closed neighbourhoods  $N[u] \Delta N[v]$  among all the pairs of distinct vertices  $u, v$ . We have*

$$\gamma_f^{\text{ID}}(G) = \frac{|V|}{\min(k + 1, d)}.$$

*In particular*

$$\frac{|V|}{\min(k + 1, d)} \leq \gamma^{\text{ID}}(G) \leq \frac{|V|(1 + 2 \ln |V|)}{\min(k + 1, d)}.$$

*Proof.* Giving to each variable  $x_u$  the value  $\frac{1}{\min(k+1, d)}$  leads to a feasible solution of  $P_G^*$ , hence

$$\gamma_f^{\text{ID}}(G) \leq \frac{|V|}{\min(k + 1, d)}.$$

Since  $G$  is a vertex-transitive graph, all the vertices play the same role. Consider the finite set  $\mathcal{S}$  of extreme optimal solutions (solutions that are vertices of the polytope defined by  $P^*$ ). Any linear combination of elements of  $\mathcal{S}$ , with the sum of coefficients equals to 1 is still an optimal solution of  $P^*$ . In particular,  $\mathbf{x} = \frac{1}{|\mathcal{S}|} \sum_{s \in \mathcal{S}} s$  is an optimal solution. We claim that all the components of  $\mathbf{x}$  are equal. Indeed, assume that  $x_u \neq x_v$  and let  $\varphi$  be an automorphism sending  $u$  to  $v$ . Let  $s \in \mathcal{S}$ , then  $\varphi(s)$  and  $\varphi^{-1}(s)$ , obtained by permuting the value inside  $s$  following the automorphism  $\varphi$  are still extreme optimal solutions. Hence  $\mathcal{S}$  is stable by  $\varphi$  and so  $\varphi(\mathbf{x}) = \mathbf{x}$ , a contradiction since  $x_u \neq x_v$ .  $\square$

### 3 Known results on transitive graphs

We review some known results on classes of transitive graphs. In particular, we discuss the gap between  $\gamma^{\text{ID}}$  and  $\gamma_f^{\text{ID}}$ . Sometimes, not only identifying codes but also *r-identifying codes* have been studied in these classes. Instead of using the closed neighbourhoods, that are the balls of radius 1, one consider the balls of radius  $r$  to identify the vertices. It is equivalent to consider *r-identifying*

codes in a graph  $G$  or to consider identifying codes in  $G^r$ , the  $r^{\text{th}}$ -power of  $G$ , obtained by adding edges between each pair of vertices of  $G$  that are at distance at most  $r$ . In the following, we will express the results in terms of identifying codes in the power graph.

### 3.1 Cycles

We first consider cycles and powers of cycles. Let  $n, r \in \mathbb{N}$  with  $n \geq 5$  and  $1 \leq r < \frac{n-1}{2}$ . The cycle on  $n$  vertices,  $\mathcal{C}_n$ , has vertex set  $V = \{0, 1, \dots, n-1\}$  and two distinct vertices  $i$  and  $j$  are adjacent if  $|i - j| = 1$  (modulo  $n$ ). The graph  $\mathcal{C}_n^r$  is vertex-transitive with vertex degree  $2r$ . The smallest symmetric difference of closed neighbourhoods has size 2. It is obtained via two consecutive vertices  $i$  and  $i+1$  whose symmetric difference of closed neighbourhoods is the set  $\{i-r, i+r+1\}$  (modulo  $n$ ). Hence the fractional identifying code value is  $\gamma_f^{\text{ID}}(\mathcal{C}_n^r) = \frac{n}{2}$ .

On the other hand, the study of integer identifying codes in power of cycles had taken several years (see e.g. [6, 19, 37]) before being completed by Junnila and Laihonon [25]. We have the following results. If  $n$  is even and at least  $2r+4$ , then

$$\gamma^{\text{ID}}(\mathcal{C}_n^r) = \frac{n}{2} = \gamma_f^{\text{ID}}(\mathcal{C}_n^r).$$

If  $n$  is odd and at least  $2r+3$ , then

$$\frac{n+1}{2} \leq \gamma^{\text{ID}}(\mathcal{C}_n^r) \leq \frac{n+1}{2} + r.$$

In particular, the difference between  $\gamma^{\text{ID}}(\mathcal{C}_n^r)$  and  $\gamma_f^{\text{ID}}(\mathcal{C}_n^r)$  is bounded by  $r$ . Hence the ratio is converging to 1 when  $r$  is fixed and  $n$  is large.

When  $n = 2r+2$ ,  $\mathcal{C}_n^r$  is a complete graph where a perfect matching is removed and we have  $\gamma^{\text{ID}}(\mathcal{C}_n^r) = n-1$ . Then  $\frac{\gamma^{\text{ID}}(\mathcal{C}_n^r)}{\gamma_f^{\text{ID}}(\mathcal{C}_n^r)} \rightarrow 2$  when  $n$  is large. Finally, if  $n = 2r+3$ ,  $\gamma^{\text{ID}}(\mathcal{C}_n^r) = \lfloor \frac{2n}{3} \rfloor$  and the ratio is converging to  $4/3$ .

### 3.2 Hypercubes

Let  $q \geq 3$ . The vertex set of the hypercube of dimension  $q$ , denoted by  $\mathcal{H}_q$ , is the set of binary words of length  $q$ ,  $\{0, 1\}^q$ . Two vertices are adjacent if the corresponding words differ on exactly one letter. Clearly,  $\mathcal{H}_q$  is vertex-transitive with vertex degree  $k = q$ . The smallest symmetric difference of closed neighbourhoods has size  $d = 2q - 2$  and is obtained via two adjacent vertices. Hence, by Proposition 8,

$$\gamma_f^{\text{ID}}(\mathcal{H}_q) = \frac{2^q}{q+1}.$$

Computing the exact value of  $\gamma^{\text{ID}}(\mathcal{H}_q)$  seems difficult and only few exact values are known. However, we have the following bounds (see [10, Theorem 4] for the upper bound and [26] for the lower bound)

$$\frac{q2^{q+1}}{q(q+1)+2} \leq \gamma^{\text{ID}}(\mathcal{H}_q) \leq \frac{9}{2} \cdot \frac{2^q}{q+1}.$$

Hence the integer and the fractional identifying code values have the same order and the ratio satisfies

$$2 - \frac{4}{q(q+1)+2} \leq \frac{\gamma^{\text{ID}}(\mathcal{H}_q)}{\gamma_f^{\text{ID}}(\mathcal{H}_q)} \leq \frac{9}{2}.$$

Let  $1 < r < q$ . We now consider  $r$ -identifying codes or equivalently identifying codes in  $\mathcal{H}_q^r$ . The graph  $\mathcal{H}_q^r$  is still vertex-transitive. The degree of the vertices is  $k = \sum_{i=1}^r \binom{q}{i}$ . The smallest symmetric difference of closed neighbourhoods has now size  $d = 2^{\binom{q-1}{r}}$  and is still obtained via two adjacent vertices of  $\mathcal{H}_q$ . Thus, by Proposition 8,

$$\gamma_f^{\text{ID}}(\mathcal{H}_q^r) = \frac{2^q}{\min(\sum_{i=0}^r \binom{q}{i}, 2^{\binom{q-1}{r}})}.$$

Concerning the general behaviour of  $\gamma^{\text{ID}}(\mathcal{H}_q^r)$ , we consider two cases:  $r$  is fixed or  $r$  is linearly dependent of  $q$ . Assume first that  $r$  is fixed and  $q$  is large. The bounds given by Karpovsky *et al.* [26] can be translated as follows. There are two constants  $\alpha$  and  $\beta$  (depending on  $r$ ) such that, for large  $q$ ,

$$\alpha \cdot \frac{2^q}{q^r} \leq \gamma^{\text{ID}}(\mathcal{H}_q^r) \leq \beta \cdot \frac{2^q}{q^r}. \quad (1)$$

Thus  $\gamma^{\text{ID}}(\mathcal{H}_q^r)$  and  $\gamma_f^{\text{ID}}(\mathcal{H}_q^r)$  have the same order, that is  $2^q/q^r$ .

Assume now that  $r = \lfloor \rho q \rfloor$  for some constant  $\rho$ . Honkala and Lobstein [24] proved that

$$\lim_{q \rightarrow \infty} \frac{\log_2 \gamma^{\text{ID}}(\mathcal{H}_q^r)}{q} = 1 - h(\rho)$$

where  $h(x) = -x \log_2(x) - (1-x) \log_2(1-x)$  is the binary entropy function. This result can be proved with Proposition 7. Indeed,  $\frac{\log_2 \sum_{i=0}^r \binom{q}{i}}{q}$  and  $\frac{\log_2 \binom{q}{r}}{q}$  tend to  $h(\rho)$ . Hence

$$\lim_{q \rightarrow \infty} \frac{\log_2 \gamma_f^{\text{ID}}(\mathcal{H}_q^r)}{q} = 1 - h(\rho)$$

and

$$\lim_{q \rightarrow \infty} \frac{\log_2 \left( \gamma_f^{\text{ID}}(\mathcal{H}_q^r) (1 + 2 \ln 2^q) \right)}{q} = 1 - h(\rho).$$

But we do not know if  $\gamma^{\text{ID}}(\mathcal{H}_q^r)$  and  $\gamma_f^{\text{ID}}(\mathcal{H}_q^r)$  have the same order in this case.

### 3.3 Product of graphs

One can easily obtain other vertex-transitive graphs by doing products of vertex-transitive graphs such as cliques<sup>1</sup>. Identifying codes in the following products of graphs have been recently considered. Let  $G = (V_G, E_G)$  and  $H = (V_H, E_H)$  be two graphs. All the products we are using have vertex set  $V_G \times V_H$ .

- For the *Cartesian product*  $G \square H$ , two vertices  $(u_G, u_H)$  and  $(v_G, v_H)$  are adjacent if either  $u_G = v_G$  and  $u_H v_H \in E_H$  or  $u_H = v_H$  and  $u_G v_G \in E_G$ .

<sup>1</sup>This was already the case for the hypercube which is the Cartesian product of  $q$  cliques of size 2.



- For the *direct product*  $G \times H$ , two vertices  $(u_G, u_H)$  and  $(v_G, v_H)$  are adjacent if  $u_G v_G \in E_G$  and  $u_H v_H \in E_H$ .
- For the *lexicographic product*  $G[H]$ , two vertices  $(u_G, u_H)$  and  $(v_G, v_H)$  are adjacent if either  $u_G v_G \in E_G$  or  $u_G = v_G$  and  $u_H v_H \in E_H$ .

**Cartesian product of two cliques.** Let  $2 \leq p \leq q$  be integers. The Cartesian product  $K_p \square K_q$  of two cliques is a vertex-transitive graph with vertex degree  $k = p + q - 1$ . The smallest symmetric difference of closed neighbourhoods has sized  $d = 2p - 2$  and is obtained via two adjacent vertices. By Proposition 8,

$$\gamma_f^{\text{ID}}(K_p \square K_q) = \frac{pq}{2p - 2}.$$

Identifying codes in  $K_p \square K_q$  have been studied by Gravier, Moncel and Semri [20] and by Goddard and Wash [15]. They proved that

$$\gamma^{\text{ID}}(K_p \square K_q) = \begin{cases} q + \lfloor \frac{p}{2} \rfloor & \text{if } q \leq \frac{3p}{2} \\ 2q - p & \text{if } q \geq \frac{3p}{2} \end{cases}$$

Therefore, the ratio between integer and fractional identifying codes values is

$$\frac{\gamma^{\text{ID}}(K_p \square K_q)}{\gamma_f^{\text{ID}}(K_p \square K_q)} = \begin{cases} 2 + \frac{p}{q} - \frac{2}{p} - \frac{1}{q} & \text{if } q \leq \frac{3p}{2} \\ 4 - \frac{2p}{q} - \frac{4}{p} + \frac{2}{q} & \text{if } q \geq \frac{3p}{2} \end{cases}$$

In particular, it is bounded by a constant.

**Direct product of cliques** Let  $2 \leq p \leq q$  be integers. The direct product  $K_p \times K_q$  of two cliques is a vertex-transitive graph with vertex degree  $k = (p - 1)(q - 1)$ . The smallest symmetric difference of closed neighbourhoods has sized  $d = 2p$  and is obtained via two vertices belonging to the same copy of  $K_q$ . By Proposition 8,

$$\gamma_f^{\text{ID}}(K_p \times K_q) = \begin{cases} \frac{q}{2} & \text{if } p \geq 4 \text{ or } q > p \\ \frac{pq}{(p-1)^2+1} & \text{if } p \leq 3 \text{ and } p = q. \end{cases}$$

Rall and Wash [32] gave the exact size of optimal identifying codes in  $K_p \times K_q$ . Except the small values of  $p$  and  $q$ , there are two main cases. If  $p \geq 3$  and  $q \geq 2p$ , then  $\gamma^{\text{ID}}(K_p \times K_q) = q - 1$ . If  $p \geq 5$  and  $q < 2p$ , then  $\gamma^{\text{ID}}(K_p \times K_q)$  is either  $\lfloor \frac{2(p+q)}{3} \rfloor$  or  $\lceil \frac{2(p+q)}{3} \rceil$  depending on the value of  $p + q$  modulo 3. Therefore, the ratio between integer and fractional identifying codes values is either  $2 - 2/q$  or  $4/3(1 + p/q)$  and is again bounded.

**Lexicographic product of graphs.** Let  $G$  and  $H$  be two vertex-transitive graphs that are not complete graphs. Then  $G[H]$  is also vertex-transitive. If  $G$  (respectively  $H$ ) has vertex degree  $k_G$  (resp.  $k_H$ ) and  $n_G$  (resp.  $n_H$ ) vertices, then  $G[H]$  has  $n_G n_H$  vertices and vertex degree  $k = k_G n_H + k_H$ . Moreover, the size of the smallest symmetric difference of closed neighbourhoods of  $G[H]$  and  $H$  are equal. Hence

$$\gamma_f^{\text{ID}}(G[H]) = \frac{n_G n_H}{d_H}$$

where  $d_H$  is the smallest symmetric difference of closed neighbourhoods of  $H$ .

Assume that  $G$  does not have two vertices  $u$  and  $v$  such that  $N(u) = N(v)$ . Feng *et al.* [12] proved that in this case

$$\gamma^{\text{ID}}(G[H]) = n_G s_H$$

where  $s_H$  is the minimum size of a separating set of  $H$ . Hence we have

$$\frac{\gamma^{\text{ID}}(G[H])}{\gamma_f^{\text{ID}}(G[H])} = \frac{s_H d_H}{n_H}.$$

If  $H$  is such that  $k_H + 1 \geq d_H$ , then  $\gamma_f^{\text{ID}}(H) = \frac{n_H}{d_H}$ . Since  $s_H$  is either equal to  $\gamma^{\text{ID}}(H)$  or  $\gamma^{\text{ID}}(H) - 1$ , the ratio between  $\gamma^{\text{ID}}(G[H])$  and  $\gamma_f^{\text{ID}}(G[H])$  is the same than the one for  $H$ . In particular, if we have a ratio  $\alpha$  for a graph  $H$  we can get graphs with arbitrary sizes and still ratio  $\alpha$ .

## 4 Strongly regular graphs

### 4.1 General remarks

The bound of Proposition 8 is helpful when the symmetric differences are large (larger than  $\ln |V|$ ). For this reason, we now focus on the family of *strongly regular graphs* for which the smallest symmetric difference has, in most cases, size at least  $\sqrt{|V|}$  (see Proposition 12).

A *strongly regular graph*  $\text{srg}(n, k, \lambda, \mu)$  is a  $k$ -regular graph  $G$  on  $n$  vertices for which any pair of adjacent (respectively non-adjacent) vertices have exactly  $\lambda$  (resp.  $\mu$ ) neighbours in common.

The four parameters are related in the following way,

$$(n - k - 1)\mu = k(k - \lambda - 1). \quad (2)$$

This relation can be proved by considering one particular vertex  $u$  and the partition of  $V \setminus \{u\}$  between the neighbours  $A = N(u)$  and the non-neighbours  $B = V \setminus N[u]$  of  $u$ . The number of edges between  $A$  and  $B$  is  $(n - k - 1)\mu = k(k - \lambda - 1)$ .

The complement of a strongly regular graph is still a strongly regular graph and has parameters  $\text{srg}(n, n - 1 - k, n - 2 - 2k + \mu, n - 2k + \lambda)$ . A strongly regular graph is *primitive* if the graph and its complement are connected.

**Example 9.** Let  $G$  be a  $\text{srg}(n, k, \lambda, \mu)$ . A trivial non primitive case is given by  $\mu = 0$ . Indeed, if  $\mu = 0$ , then it is the disjoint union of complete graphs on  $k + 1$  vertices. In particular,  $\lambda = k - 1$ . Indeed, let  $u$  and  $v$  be two adjacent vertices of  $G$ . Assume there exists  $w$  adjacent to  $u$  but not  $v$ . Then  $v$  and  $w$  are non adjacent vertices with a common neighbour, a contradiction.

In the same way, if  $\mu = k$ , then  $G$  is a complete multipartite graph. Indeed, the relation of being non-adjacent to  $u$  is an equivalence relation since two non-adjacent vertices have exactly the same open neighbourhood. Hence  $G$  is a complete multipartite graph. Necessarily, all the parts have the same size,  $n - k$ . Note that the complement of  $G$  corresponds to the first graph in this example.

The two graphs in the previous example are the only non primitive graphs.

**Lemma 10.** *Let  $G$  be a strongly regular graph.  $G$  is primitive if and only if  $\mu \notin \{0, k\}$ . In particular, all primitive strongly regular graphs have diameter 2.*

*Proof.* As proved in Example 9, if  $\mu \in \{0, k\}$  then  $G$  is not primitive. If  $\mu \neq 0$ , then two non-adjacent vertices have at least one vertex in common. Hence the diameter of  $G$  is two and in particular,  $G$  is connected. Assume now that  $\mu \neq k$ . By Equation (2), the value of  $\mu$  for the complement of  $G$ ,  $n - 2k + \lambda$ , is not 0. As before, it means that the complement of  $G$  has diameter 2 and is connected.  $\square$

We now turn to results concerning identifying codes. Strongly regular graphs have been used once for identifying codes by Gravier *et al.* [16] to provide families of graphs for which all the subsets of a given size are identifying codes. However, they did not study optimal identifying codes. On the opposite and as mentioned in the introduction, resolving sets and metric dimension have been studied in several contexts for strongly regular graphs. In particular, Babai [1] gave an upper bound on the size of the symmetric differences of open neighbourhood in strongly regular graphs which lead to bounds on the metric dimension. Following his ideas, we prove similar results for identifying codes.

We first compute the smallest size  $d$  of the symmetric differences of closed neighbourhoods using  $\lambda$  and  $\mu$  and then give a general upper bound on  $d$ .

**Proposition 11.** *Let  $G$  be a strongly regular graph  $\text{srg}(n, k, \lambda, \mu)$ . Let  $u$  and  $v$  be two vertices of  $G$ . If  $u$  is adjacent to  $v$ , then  $|N[u] \Delta N[v]| = 2(k - 1) - 2\lambda$ . Otherwise,  $|N[u] \Delta N[v]| = 2(k + 1) - 2\mu$ .*

*Hence, the smallest symmetric difference of closed neighbourhoods is*

$$d = \min(2(k - \lambda - 1), 2(k - \mu + 1)) = 2k - 2 \max(\lambda + 1, \mu - 1).$$

*If  $G$  is vertex-transitive<sup>2</sup>, we have*

$$\gamma_f^{\text{ID}}(G) = \frac{n}{\min(k + 1, 2(k - \lambda - 1), 2(k - \mu + 1))}.$$

*Proof.* Let  $u$  and  $v$  be two adjacent vertices. There are  $k - \lambda$  neighbours of  $u$  that are not neighbours of  $v$ . But  $v$  is counted in these vertices. Hence  $|N[u] \setminus N[v]| = k - 1 - \lambda$  and we get the results. The computation for the non-adjacent case is similar.  $\square$

**Proposition 12.** *Let  $G$  be a primitive strongly regular graph  $\text{srg}(n, k, \lambda, \mu)$  on  $n$  vertices, then  $k \geq \sqrt{n - 1}$  and the smallest symmetric difference satisfies  $d > \sqrt{n} - 3$ .*

*Proof.* Since  $G$  is primitive, by Lemma 10, it has diameter 2. Thus there are at most  $1 + k + k(k - 1)$  vertices in  $G$ . Hence  $n \leq 1 + k^2$  and we get the upper bound on  $k$ .

To prove the second inequality, we use a result of Babai [1]: for every pair of vertices  $u, v$  of a primitive strongly regular graph  $|N(u) \Delta N(v)| > \sqrt{n} - 1$ . If  $u$  and  $v$  are adjacent,  $|N[u] \Delta N[v]| = |N(u) \Delta N(v)| - 2$  whereas if  $u$  and  $v$  are non adjacent,  $|N[u] \Delta N[v]| = |N(u) \Delta N(v)| + 2$ . Hence  $d > \sqrt{n} - 3$ .  $\square$

---

<sup>2</sup>Actually, it seems that almost all the strongly regular graphs are not-vertex transitive, see for example [27]. However, all the strongly regular graphs we are considering in the following are in fact vertex-transitive.

Using these bounds together with Proposition 8, we obtain the following general bound for strongly regular graphs when they are vertex-transitive.

**Corollary 13.** *Let  $G$  be a primitive strongly regular graph  $\text{srg}(n, k, \lambda, \mu)$ . If  $G$  is vertex-transitive, we have*

$$\gamma^{\text{ID}}(G) \leq \frac{n(1 + 2 \ln n)}{\sqrt{n} - 3}.$$

In particular  $\gamma^{\text{ID}}(G) = O(\sqrt{n} \ln n)$ .

## 4.2 Known results on particular families

The only strongly regular graphs for which we know optimal identifying codes are Cartesian and direct product of two cliques of the same size that we already mentioned in the previous section. The Cartesian product  $K_p \square K_p$  is a strongly regular graph  $\text{srg}(p^2, 2p - 2, p - 2, 2)$  whereas  $K_p \times K_p$  (that is the complement of  $K_p \square K_p$ ) is a  $\text{srg}(p^2, (p - 1)^2, (p - 2)^2, (p - 2)(p - 1))$ . We obtain results for some other families by considering previous work on metric dimension.

**Kneser and Johnson graphs (of diameter 2).** Let  $1 \leq p \leq m$ . The *Johnson graph*  $J(m, p)$  is the graph whose vertices are the subsets of size  $p$  of a set of  $m$  elements and two vertices are adjacent if the corresponding sets intersect in exactly  $p - 1$  elements. Since the diameter of  $J(m, p)$  is  $\min(p, m - p)$ , the graph  $J(m, p)$  is a primitive strongly regular graph if and only if  $p = 2$  or  $p = m - 2$ . Note that the two corresponding graphs are isomorphic and have parameters  $\text{srg}\left(\binom{m}{2}, 2(m - 2), m - 2, 4\right)$ .

The *Kneser graph*  $K(m, p)$  is the graph whose vertices are the subsets of size  $p$  of a set of  $m$  elements and two vertices are adjacent if the corresponding sets do not intersect. The Kneser graph  $K(5, 2)$  corresponds to the well known Petersen graph. The graph  $K(m, p)$  is a primitive strongly regular graph if and only if  $p = 2$  and  $K(m, 2)$  is a  $\text{srg}\left(\binom{m}{2}, \binom{m-2}{2}, \binom{m-4}{2}, \binom{m-3}{2}\right)$ .

Bailey and Cameron [5] have computed the exact value of the metric dimension in  $J(m, 2)$  and  $K(m, 2)$ .

**Proposition 14** ([5, Corollary 3.33]). *For  $m \geq 6$ , the metric dimension of the Johnson graph  $J(m, 2)$  and the Kneser graph  $K(m, 2)$  is  $\frac{2}{3}(m - i) + i$  where  $m \equiv i \pmod{3}$ .*

Using Corollary 6 we obtain a bound for identifying codes.

**Corollary 15.** *Let  $G$  be  $K(m, 2)$  or  $J(m, 2)$ . We have*

$$\frac{2m}{3} \leq \gamma^{\text{ID}}(G) \leq \frac{4(m + 1)}{3}.$$

In particular,  $\gamma^{\text{ID}}(G) = \Theta(\sqrt{|V|})$ .

To compute the fractional identifying code number, one just has to compute the value of the smallest symmetric difference using Proposition 11. For  $K(m, 2)$  and  $m \geq 6$ ,  $\mu - 1 \geq \lambda + 1$  and  $2k - 2\mu + 2 = 2(m - 1) \leq k + 1$ . Hence, for  $m \geq 6$ ,

$$\gamma_f^{\text{ID}}(K(m, 2)) = \frac{m(m - 1)}{4(m - 1)} = \frac{m}{4}.$$

For  $J(m, 2)$ ,  $\lambda + 1 \geq \mu - 1$  whenever  $m \geq 4$  and  $2k - 2\lambda - 2 = 2(m - 3) = k$ . Hence

$$\gamma_f^{\text{ID}}(J(m, 2)) = \frac{m(m-1)}{4(m-3)} = \frac{m}{4} + 2.$$

In all cases, we have  $\gamma_f^{\text{ID}}(G) = \Theta(\sqrt{|V|})$  and the fractional and integer values have the same order for these graphs.

**Paley graphs.** The Paley graph  $P_q$  is defined for a prime power  $q = 1 \pmod{4}$ . Vertices are the elements of the finite field  $\mathbb{F}_q$  on  $q$  elements, and  $a$  is adjacent to  $b$  if  $a - b$  is a square. They are strongly regular  $\text{srg}(q, \frac{1}{2}(q-1), \frac{1}{4}(q-5), \frac{1}{4}(q-1))$ . Paley graphs have the particularity to have symmetric difference of closed neighbourhoods of order  $|V|$ , hence the fractional identifying code number is bounded by a constant and the identifying code number is of order  $\log_2 |V|$ .

**Proposition 16.** *Let  $q$  be a prime power satisfying  $q = 1 \pmod{4}$  and  $q \geq 9$ . We have  $\gamma_f^{\text{ID}}(P_q) = \frac{2q}{q-1}$  and thus*

$$\log_2(q+1) \leq \gamma^{\text{ID}}(P_q) \leq (2 + o(1))(1 + 2 \ln q).$$

*In particular,  $\gamma^{\text{ID}}(P_q) = \Theta(\log_2 |V|)$ .*

*Proof.* We first compute the value of  $d$ . We have

$$\max(\lambda + 1, \mu - 1) = \frac{q-1}{4}.$$

Thus  $d = \frac{q-1}{2} < k + 1 = \frac{q+1}{2}$  and  $\gamma_f^{\text{ID}}(P_q) = \frac{2q}{q-1} \leq 2 + o(1)$ .

The lower bound on  $\gamma^{\text{ID}}(P_q)$  is the general lower bound of Proposition 1. For the upper bound, we use the bound of Proposition 7 with  $\gamma_f^{\text{ID}}(P_q)$ .  $\square$

Similar results were obtained for metric dimension.

**Proposition 17** (Fijavž and Mohar [14]). *Let  $q$  be a prime power satisfying  $q = 1 \pmod{4}$ . Then the metric dimension of the Paley graph  $P_q$  satisfies*

$$\log_2 q \leq \beta(P_q) \leq 2 \log_2 q.$$

*In particular,  $\beta(P_q) = \Theta(\log_2 |V|)$ .*

### 4.3 Generalized quadrangles

The graphs obtained from generalized quadrangles form another family of strongly regular graphs. Let  $s, t$  be positive integers. A *generalized quadrangle*  $\text{GQ}(s, t)$  is an incidence structure, i.e. a set of points and lines, such that

- there are  $s + 1$  points on each line,
- there are  $t + 1$  lines passing through each point,
- for a point  $P$  that does not lie on a line  $L$ , there is exactly one line passing through  $P$  and intersecting  $L$ .

Such an incidence structure has  $(st + 1)(s + 1)$  points and  $(st + 1)(t + 1)$  lines. A trivial example is the incidence structure given by a square grid of size  $s \times s$  which is a  $\text{GQ}(s - 1, 1)$ . The *dual* of a generalized quadrangle is obtained by reversing the role of the lines and the points. In particular, the dual of a  $\text{GQ}(s, t)$  is a  $\text{GQ}(t, s)$ .

Adjacency graphs can be naturally obtained from generalized quadrangles: consider the points as vertices and two vertices are adjacent if the corresponding points belong to a common line. For example, the adjacency graph of the square grid is exactly the Cartesian product of two cliques of size  $s$ ,  $K_s \square K_s$ , already mentioned in Section 3.3. By abuse of notation,  $\text{GQ}(s, t)$  will also denote the adjacency graph of a generalized quadrangle with parameters  $s$  and  $t$ .

Observe that a  $\text{GQ}(s, t)$  is a strongly regular graph  $\text{srg}((st + 1)(s + 1), s(t + 1), s - 1, t + 1)$ . Indeed, any vertex has degree  $k = s(t + 1)$ , any pair of adjacent vertices has  $s - 1$  common neighbours and any pair of non adjacent vertices has  $t + 1$  common neighbours. From these values, we can easily compute the smallest size of symmetric differences of closed neighbourhoods :

$$d = 2s(t + 1) - 2 \max(s, t).$$

We have  $d > k + 1$  if and only if  $\text{GQ}(s, t)$  is not trivial, i.e.  $s > 1$  and  $t > 1$ . In that case, the following inequalities, for which Cameron gave a short combinatorial proof [8], hold.

**Lemma 18** (Higman's inequality [21, 22]). *For a  $\text{GQ}(s, t)$ , if  $s > 1$  and  $t > 1$ , then  $t \leq s^2$  and dually  $s \leq t^2$ .*

From now on, we assume that  $s > 1$  and  $t > 1$ . We obtain the following bounds on  $\gamma_f^{\text{ID}}$  for generalized quadrangles.

**Proposition 19.** *Let  $G$  be a vertex-transitive  $\text{GQ}(s, t)$  with  $s > 1$  and  $t > 1$ . Let  $n$  denote the number of vertices of the graph  $G$ . We have*

$$2^{-5/4} \cdot n^{1/4} \leq \gamma_f^{\text{ID}}(G) \leq 2 \cdot n^{2/5}.$$

*Proof.* Let  $G$  be a vertex-transitive  $\text{GQ}(s, t)$  with  $s > 1$  and  $t > 1$ . Then  $n = (st + 1)(s + 1)$  is the number of vertices of  $G$ . We have by Proposition 8

$$\gamma_f^{\text{ID}}(G) = \frac{(st + 1)(s + 1)}{s(t + 1) + 1} = \frac{s^2 t}{st + s + 1} + 1.$$

As  $st < st + s + 1 < 2st$ , we obtain  $\frac{1}{2}s < \gamma_f^{\text{ID}}(G) < 2s$ .

Moreover, using the previous lemma, we get

$$s^{5/2} \leq s^2 t < s^2 t + st + s + 1 = n \leq s^4 + s^3 + s + 1 < 2 \cdot s^4.$$

So  $(\frac{1}{2}n)^{1/4} < s < n^{2/5}$ . It follows that  $(\frac{1}{2})^{5/4} n^{1/4} < \gamma_f^{\text{ID}}(G) < 2n^{2/5}$ .  $\square$

Constructions of  $\text{GQ}(s, t)$  are known only for  $(s, t)$  or  $(t, s)$  in the set

$$\{(q, q), (q, q^2), (q^2, q^3), (q - 1, q + 1)\}$$

where  $q$  is a prime power. Many of them are based on finite geometries. Generalized quadrangles coming from finite classical polar spaces of rank 2 are given

Polar space	Name	$(s, t)$	
$Q^+(3, q)$	Hyperbolic	$(q, 1)$	a grid
$Q(4, q)$	Parabolic	$(q, q)$	dual of $W(3, q)$
$Q^-(5, q)$	Elliptic	$(q, q^2)$	dual of $H(3, q^2)$
$H(3, q^2)$	Hermitian	$(q^2, q)$	dual of $Q^-(5, q)$
$H(4, q^2)$	Hermitian	$(q^2, q^3)$	
$W(3, q)$	Symplectic	$(q, q)$	dual of $Q(4, q)$

Table 1: The finite classical polar spaces of rank 2.

in Table 1. For more information on these geometric structures, see e.g. [23]. It is well known that these polar spaces give rise to generalized quadrangles and they are often referred to as the *classical generalized quadrangles* [31]. As an example, the Cartesian product  $K_{q+1} \square K_{q+1}$  can be seen as the adjacency graph of the incidence structure  $Q^+(3, q)$  obtained from the points of a hyperbolic quadric in a finite projective space (when  $q$  is a prime power).

There are other generalized quadrangles known, however they have the same parameters as the ones given in Table 1 or they have parameters  $(q-1, q+1)$  or  $(q+1, q-1)$ . We provide identifying codes of optimal order for some cases.

#### 4.3.1 Identifying codes in $T_2^*(\mathcal{O})$ , a particular $\text{GQ}(q-1, q+1)$

**Proposition 20.** *Let  $q > 2$  be a power of 2. There exists a  $\text{GQ}(q-1, q+1)$  with an identifying code of size  $3q-3 = \theta(n^{1/3})$  where  $n$  is the number of vertices.*

Before giving the proof, we will consider a particular construction of a  $\text{GQ}(q-1, q+1)$  and give some structural properties. This construction is done in finite projective geometry. We recall some definitions for non familiar readers. For more information on finite projective geometry, see e.g. [21, 23].

Let  $q$  be a power of 2. We set ourselves in the 3-dimensional projective space  $\text{PG}(3, q)$  over the finite field  $\mathbb{F}_q$  of order  $q$ . The points of  $\text{PG}(3, q)$  can be described using four coordinates  $(X_0, X_1, X_2, X_3) \in \mathbb{F}_q^4 \setminus \mathbf{0}$  where two coordinates that are proportional refer to the same point. Consider the hyperplane  $H_\infty$  of equation  $X_0 = 0$  in  $\text{PG}(3, q)$  and the conic  $\mathcal{C}$  of equation  $X_1X_3 - X_2^2 = 0$  in the hyperplane  $H_\infty$ . Any line of  $H_\infty$  intersects  $\mathcal{C}$  in 0, 1 or 2 points. A line intersecting  $\mathcal{C}$  in one point is *tangent* to  $\mathcal{C}$ . There is a special point,  $N(0, 0, 1, 0)$ , called the *nucleus* of  $\mathcal{C}$ , that lies on all tangents of  $\mathcal{C}$ . Then any other point of  $H_\infty$  lies on exactly one tangent of  $\mathcal{C}$ . The set  $\mathcal{O} = \mathcal{C} \cup \{N\}$  is a *hyperconic*. This set has the property that each line of  $H_\infty$  intersects  $\mathcal{O}$  in 0 or 2 points.

Consider now the following incidence structure  $T_2^*(\mathcal{O}) = (\mathcal{P}, \mathcal{L})$ , where the set  $\mathcal{P}$  of points is the set of affine points, i.e. points of  $\text{PG}(3, q)$  not in  $H_\infty$  and the set  $\mathcal{L}$  of lines is the set of the lines through a point of  $\mathcal{O}$  not lying in  $H_\infty$ . This incidence structure is well-known to be a  $\text{GQ}(q-1, q+1)$  (see for example [31, Theorem 3.1.3]).

We will now construct an identifying code in  $T_2^*(\mathcal{O})$ . In  $T_2^*(\mathcal{O})$ , the neighbourhood of a point  $P$  is composed of a cone  $PC$  (all the lines going through

$P$  and a point of  $\mathcal{C}$ ) and the line  $PN$ , where the points of  $H_\infty$  are removed. The common neighbours of two adjacent vertices are the  $q - 2$  points lying on the unique line incident with these two vertices. In the case of non adjacent vertices, we first determine the intersection of their two cones.

**Lemma 21.** *Consider two distinct affine points  $P$  and  $Q$  such that  $PQ \cap H_\infty \notin \mathcal{O}$ . The intersection of the two cones  $PC$  and  $QC$  consists of the points of the conic  $\mathcal{C}$  and of points lying in a plane containing  $N$  and  $PQ \cap H_\infty$ .*

*Proof.* Consider two distinct affine points  $P(1, a, b, c)$  and  $Q(1, \alpha, \beta, \gamma)$  such that  $PQ \cap H_\infty \notin \mathcal{O}$ . Consider the cones  $PC$  and  $QC$  in  $\text{PG}(3, q)$ . It is clear that the conic  $\mathcal{C}$  belongs to  $PC \cap QC$ . Consider now a point  $V(1, v_1, v_2, v_3)$  not lying in  $H_\infty$ . Then  $V$  belongs to  $PC$  if and only if

$$\begin{aligned} & (0, a - v_1, b - v_2, c - v_3) \in \mathcal{C} \\ \iff & (a - v_1)(c - v_3) - (b - v_2)^2 = 0 \\ \iff & (ac - b^2) - cv_1 - av_3 + (v_1v_3 - v_2^2) = 0. \end{aligned}$$

A similar computation holds for  $V \in QC$ . Hence  $V \in PC \cap QC$  implies that

$$(ac - b^2) - (\alpha\gamma - \beta^2) - (c - \gamma)v_1 - (a - \alpha)v_3 = 0.$$

So  $V$  lies in the plane  $\pi$  of equation  $((ac - b^2) - (\alpha\gamma - \beta^2))X_0 - (c - \gamma)X_1 - (a - \alpha)X_3 = 0$ . Consider the intersection of  $H_\infty$  and  $\pi$ . It is the line  $\ell$  satisfying the equations  $X_0 = 0$  and  $-(c - \gamma)X_1 - (a - \alpha)X_3 = 0$ . Clearly, the line  $\ell$  contains the nucleus  $N(0, 0, 1, 0)$  and also the point  $PQ \cap H_\infty = (0, a - \alpha, b - \beta, c - \gamma)$ .  $\square$

**Remark 22.** In the previous statement, the points, arising as the intersection of the two cones, lie in a plane containing  $N$  and  $PQ \cap H_\infty$ , and they actually form a conic  $\mathcal{C}'$ . Since the two quadratic cones intersect in an algebraic curve of degree 4 which already contains the conic  $\mathcal{C}$ , the remaining curve  $\Gamma$  of degree 2 is either a conic  $\mathcal{C}'$ , either a line with multiplicity 2 or two lines. The last two cases are in contradiction with the fact that  $P$  and  $Q$  are two distinct points with  $PQ \cap H_\infty \notin \mathcal{C} \cup \{N\}$ . So it follows that  $\Gamma = \mathcal{C}'$ .

**Corollary 23.** *Consider two distinct non adjacent vertices  $P$  and  $Q$  of  $T_2^*(\mathcal{O})$ . Their common neighbours are  $q$  points lying in a plane containing  $N$  and  $PQ \cap H_\infty$ , a point of the line  $PN$  and a point of the line  $QN$ .*

*Proof.* Let  $P$  and  $Q$  be two distinct non adjacent vertices of  $T_2^*(\mathcal{O})$ . From the structure of the  $\text{GQ}(q - 1, q + 1)$ ,  $P$  and  $Q$  have  $q + 2$  common neighbours. Consider the lines  $PN$  and  $QN$ . They intersect only in  $N$ . Since  $P$  (respectively  $Q$ ) has a unique projection  $P'$  on  $QN$  (resp.  $Q'$  on  $PN$ ),  $P'$  and  $Q'$  are two common neighbours. The  $q$  other common neighbours come from the intersection of the two cones  $PC$  and  $QC$ . Hence, from the previous lemma, they lie on a plane containing  $N$  and  $PQ \cap H_\infty$ .  $\square$

**Theorem 24.** *The affine points of three lines of  $T_2^*(\mathcal{O})$  containing  $N$  and spanning  $\text{PG}(3, q)$  form an identifying code of  $T_2^*(\mathcal{O})$ .*

*Proof.* Consider three lines  $\ell_1, \ell_2, \ell_3$  of  $T_2^*(\mathcal{O})$  containing  $N$  and spanning  $\text{PG}(3, q)$ . The points of these lines form a dominating set since any point is either on one of these lines or has a unique projection on each line  $\ell_i$ . As each point has a



unique projection on each line  $\ell_i$ , it is clear that two points on these lines are always separated. Similarly, a point incident with a line  $\ell_i$  is always separated from a point not incident with  $\ell_1, \ell_2$ , or  $\ell_3$ .

Consider now two points  $S_1$  and  $S_2$  that do not lie on the lines  $\ell_i$ . Assume that these points are not separated. In other words, assume that  $Q_1 \in \ell_1$ ,  $Q_2 \in \ell_2$  and  $Q_3 \in \ell_3$  are common neighbours of  $S_1$  and  $S_2$ . If  $S_1$  and  $S_2$  are adjacent, then their common neighbours lie on the same line  $S_1S_2$ . Hence  $Q_1, Q_2$  and  $Q_3$  are collinear, a contradiction since  $\ell_1, \ell_2, \ell_3$  span  $\text{PG}(3, q)$ .

If  $S_1$  and  $S_2$  are not adjacent, then either  $Q_1, Q_2, Q_3$  all lie in the plane, which is uniquely defined by the previous corollary, that contains the nucleus  $N$  and  $S_1S_2 \cap H_\infty$ , or at least one of them lies in the plane containing  $S_1, S_2$  and  $N$ . In the first case, the three points  $Q_1, Q_2, Q_3$  are all in the same plane containing  $N$ . Hence,  $\ell_1, \ell_2, \ell_3$  are coplanar which is a contradiction. In the second case, suppose that  $Q_1$  lies in the plane containing  $S_1, S_2$  and  $N$ . It follows that  $Q_1$  is incident with the line  $S_1N$  or  $S_2N$ . It implies that either  $S_1 \in \ell_1$  or  $S_2 \in \ell_1$ , which is a contradiction.

Therefore the set of points on  $\ell_1, \ell_2, \ell_3$  is an identifying code of  $T_2^*(\mathcal{O})$ .  $\square$

*Proof of Proposition 20.* Let  $\ell_1, \ell_2$  and  $\ell_3$  be three lines incident with  $N$  and spanning  $\text{PG}(3, q)$ . Consider the set  $C$  consisting of the points of  $T_2^*(\mathcal{O})$  on  $\ell_1, \ell_2, \ell_3$ . By Theorem 24, this set is an identifying code of size  $3q$ . Let  $Q_1$  be a point on  $\ell_1$  and  $Q_2, Q_3$  be its projections on respectively  $\ell_2$  and  $\ell_3$ . The set  $C \setminus \{Q_1, Q_2, Q_3\}$  is still a dominating set. Indeed, a point  $P$  that does not lie on the lines  $\ell_i$  can not have  $Q_1, Q_2$  and  $Q_3$  as neighbours. Otherwise,  $Q_2$  would have two projections on the line  $Q_1P$ , namely  $Q_1$  and  $P$ .

Moreover, we have a one-to-one correspondence between the sets  $(N[P] \cap C) \setminus \{Q_1, Q_2, Q_3\}$  and  $N[P] \cap C$  since we can easily determine which vertices are eventually missing in the first sets. Hence,  $C \setminus \{Q_1, Q_2, Q_3\}$  is an identifying code of  $T_2^*(\mathcal{O})$  of size  $3q - 3$ .  $\square$

The next proposition gives lower bounds on the size of an identifying code in any adjacency graph of a  $\text{GQ}(q-1, q+1)$ . In particular, our previous construction is optimal for  $q = 4$  and close to a constant for the other cases.

**Proposition 25.** *Let  $q$  be a power of 2. Any identifying code of a  $\text{GQ}(q-1, q+1)$  has size at least  $3q - 7$ . Moreover, it has size at least  $9 = 3q - 3$  if  $q = 4$ ,  $19 = 3q - 5$  if  $q = 8$ ,  $42 = 3q - 6$  if  $q = 16$  and  $90 = 3q - 6$  if  $q = 32$ .*

*Proof.* To prove this proposition, we use Proposition 3. Any identifying code  $C$  of a  $\text{GQ}(q-1, q+1)$ , with  $|C| < q^2 + q - 2$  satisfies the inequality

$$q^3 \leq \frac{|C|^2}{6} + \frac{(2(q^2 + q - 2) + 5)|C|}{6}.$$

Hence,  $|C|^2 + (2q^2 + 2q + 1)|C| - 6q^3 \geq 0$ . If there exists an identifying code of size  $3q - 8$ , then the right-hand side of the inequality is equal to

$$(3q - 8)^2 + (2q^2 + 2q + 1)(3q - 8) - 6q^3 = -q^2 - 61q + 56$$

which is negative for all  $q \geq 32$ . This is a contradiction. Therefore, any identifying code of a  $\text{GQ}(q-1, q+1)$  has size at least  $3q - 7$ . For small values of  $q$ , we can obtain a better bound using the same inequality. Since

the expression  $(3q - c)^2 + (2q^2 + 2q + 1)(3q - c) - 6q^3$  is negative for  $(q, c) \in \{(4, 5), (8, 6), (16, 7), (32, 7)\}$ , any identifying code of a  $\text{GQ}(q - 1, q + 1)$  has size at least

$$\begin{cases} 8 = 3q - 4 & \text{if } q = 4 \\ 19 = 3q - 5 & \text{if } q = 8 \\ 42 = 3q - 6 & \text{if } q = 16 \\ 90 = 3q - 6 & \text{if } q = 32. \end{cases}$$

We can slightly improve the bound for  $q = 4$  using a technical analysis. The details are given in the appendix.  $\square$

### 4.3.2 Identifying codes in a parabolic quadric which is a $\text{GQ}(q, q)$

**Proposition 26.** *Let  $q$  be a prime power. There exists a  $\text{GQ}(q, q)$  with an identifying code of size  $5q - 2 = \theta(n^{1/3})$  where  $n$  is the number of vertices.*

Before giving the proof, we will consider a particular construction of a  $\text{GQ}(q, q)$  and give some structural properties.

Let  $q$  be a prime power. Let  $Q$  be the set of points of  $\text{PG}(4, q)$  that satisfy the equation  $X_0^2 + X_1X_2 + X_3X_4 = 0$  ( $Q$  is a parabolic quadric).

**Lemma 27** ([23, 31]). *The incidence structure  $Q(4, q)$  obtained from the points of  $Q$  and lines of  $Q$  (i.e. lines of  $\text{PG}(4, q)$  included in  $Q$ ) is a generalized quadrangle  $\text{GQ}(q, q)$ . Moreover, the closed neighbourhood of a point  $A$  of  $Q(4, q)$  is exactly the intersection between a hyperplane  $\pi_A$  (called the tangent hyperplane) and  $Q$ .*

**Lemma 28.** *Let  $A$  and  $B$  be two non adjacent points of  $Q$ . The common neighbours of  $A$  and  $B$  are coplanar.*

*Proof.* Let  $\pi_A$  (respectively  $\pi_B$ ) be the hyperplane containing all the neighbours of  $A$  (resp.  $B$ ). Since  $A$  and  $B$  are non adjacent,  $\pi_A$  and  $\pi_B$  are two distinct hyperplanes (of dimension 3). The common neighbours of  $A$  and  $B$  are all located in the intersection of  $\pi_A$  and  $\pi_B$  which is a plane.  $\square$

*Proof of Proposition 26.* We will construct an identifying code for  $Q(4, q)$ , which is, by Lemma 27, a  $\text{GQ}(q, q)$ . Consider a hyperplane  $\pi = \text{PG}(3, q)$  intersecting  $Q(4, q)$  in a hyperbolic quadric  $Q^+(3, q)$  (for example the hyperplane  $X_0 = 0$ ). The hyperbolic quadric is isomorphic to a grid  $K_{q+1} \square K_{q+1}$ .

Consider three lines  $\ell_0, \ell_1, \ell_2$  of  $Q^+(3, q)$  that are pairwise not intersecting. Consider two distinct points  $P_1, P_2 \in \ell_2$  and take lines  $M_1$  and  $M_2$  through  $P_1$  and  $P_2$  respectively, both not contained in the  $Q^+(3, q)$  and hence not lying in the 3-space  $\pi$ .

The set of  $3(q + 1) + 2q = 5q + 3$  points  $\mathcal{S} = \ell_0 \cup \ell_1 \cup \ell_2 \cup M_1 \cup M_2$  is an identifying code. Since it contains a whole line, it is a dominating set. A point  $A$  on a line  $N_1$  of  $\mathcal{S}$  is clearly separated from all the points that are not on  $N_1$  since it is adjacent to all the points of  $N_1$ . The point  $A$  is also separated from all the other points of  $N_1$  since they have different projection on any line  $N_2$  of  $\mathcal{S}$  not intersecting  $N_1$ . Hence all the points of  $\mathcal{S}$  are separated from all the other points.

Consider now a point of  $Q^+(3, q) \setminus \mathcal{S}$ . It has exactly three neighbours on  $\ell_0, \ell_1, \ell_2$  (that are collinear). Two points of  $Q^+(3, q) \setminus \mathcal{S}$  with the same projections on  $\ell_0, \ell_1, \ell_2$  are necessarily collinear. Hence they have different neighbours on  $M_1$  (if the projection on  $\ell_2$  is not  $P_1$ ) or on  $M_2$  (otherwise). Hence any point of  $Q^+(3, q) \setminus \mathcal{S}$  has a unique set of neighbours.

A point  $A$  that is not in  $Q^+(3, q)$  has four or five neighbours in  $\ell_0 \cup \ell_1 \cup \ell_2 \cup M_1 \cup M_2$ . Since  $A$  does not lie in  $Q^+(3, q)$ , the three points on  $\ell_0, \ell_1$  and  $\ell_2$  are not collinear, hence they span a plane, that is contained in  $\pi$ . The only points of  $M_1$  and  $M_2$  that could be contained in this plane are the intersection of  $M_1$  and  $M_2$  with  $\pi$  which is exactly the points  $P_1$  and  $P_2$ . Since  $P_1$  and  $P_2$  are both in  $\ell_2$  they cannot be both in the neighbourhood of  $A$ . Finally, the neighbours of  $A$  in  $\mathcal{S}$  are not coplanar. Using Lemma 28,  $A$  is separated from all the other vertices.

To conclude the proof, note that as before we can remove a point on each line of  $\mathcal{S}$  and still have an identifying code (remove a point on  $\ell_0$ , which does not have  $P_1$  or  $P_2$  as a neighbour, and remove its 4 distinct projections on the other lines).  $\square$

Next proposition gives a lower bound on the size of any identifying code of a  $\text{GQ}(q, q)$ . In particular, the order of our previous construction is optimal. The proof is similar to the proof of Proposition 25.

**Proposition 29.** *Let  $q$  be a prime power. Any identifying code of a  $\text{GQ}(q, q)$  has size at least  $3q - 4$ .*

### 4.3.3 Identifying codes in an elliptic quadric which is a $\text{GQ}(q, q^2)$

**Proposition 30.** *Let  $q$  be a prime power. There exists a  $\text{GQ}(q, q^2)$  with an identifying code of size  $5q = \theta(n^{1/4})$  where  $n$  is the number of vertices.*

Before giving the proof, we will consider a particular construction of a  $\text{GQ}(q, q^2)$  and give some structural properties.

Let  $q$  be a prime power. Let  $Q$  be the set of points of  $\text{PG}(5, q)$  that satisfy the equation  $f(X_0, X_1) + X_2X_3 + X_4X_5 = 0$  where  $f(X_0, X_1) = dX_0^2 + X_0X_1 + X_1^2$ ,  $d \in \mathbb{F}_q$ , is an irreducible binary quadratic form over  $\mathbb{F}_q$  ( $Q$  is an elliptic quadric).

**Lemma 31** ([23, 31]). *The incidence structure  $Q^-(5, q)$  obtained from the  $(q^3 + 1)(q + 1)$  points of  $Q$  and the  $(q^3 + 1)(q^2 + 1)$  lines of  $Q$  (i.e. lines of  $\text{PG}(5, q)$  included in  $Q$ ) is a generalized quadrangle  $\text{GQ}(q, q^2)$ . Moreover, the closed neighbourhood of a point  $A$  of  $Q^-(5, q)$  is exactly the intersection between a hyperplane  $\pi_A$  (the tangent hyperplane of  $A$ ) and  $Q$ .*

**Lemma 32.** *Let  $A$  and  $B$  be two non adjacent points of  $Q$ . The common neighbours of  $A$  and  $B$  lie in a 3-dimensional space.*

*Proof.* Let  $\pi_A$  (respectively  $\pi_B$ ) be the hyperplane containing all the neighbours of  $A$  (resp.  $B$ ). Since  $A$  and  $B$  are non adjacent,  $\pi_A$  and  $\pi_B$  are two distinct hyperplanes (of dimension 4). The common neighbours of  $A$  and  $B$  are all located in the intersection of  $\pi_A$  and  $\pi_B$  which is a 3-dimensional space.  $\square$

*Proof of Proposition 30.* We will construct an identifying code for  $Q^-(5, q)$  which is a generalized quadrangle  $\text{GQ}(q, q^2)$ . Consider a line  $\ell_0$  of  $Q^-(5, q)$ , take two distinct 3-spaces  $\pi_1$  and  $\pi_2$  of  $\text{PG}(5, q)$  intersecting each other only in  $\ell_0$  such

that  $\pi_i \cap Q^-(5, q) = Q^+(3, q)$ . Take two lines  $\ell_1, \ell_2$  in  $\pi_1 \cap Q^-(5, q)$  such that  $\ell_0, \ell_1$  and  $\ell_2$  are pairwise non-intersecting. Using the geometry, one can always consider two lines  $\ell_3, \ell_4$  in  $\pi_2 \cap Q^-(5, q)$  such that  $\ell_0, \ell_3$  and  $\ell_4$  are pairwise non-intersecting.

We will prove that the set of  $5(q+1) = 5q+5$  points of  $\mathcal{S} = \{\ell_i\}_{i=0, \dots, 4}$  is an identifying code. Since  $\mathcal{S}$  contains a whole line, the set  $\mathcal{S}$  is a dominating set.

A point  $A$  on a line  $N_1$  of  $\mathcal{S}$  is clearly separated from all the points that are not on  $N_1$  since it is adjacent to all the points of  $N_1$ . The point  $A$  is also separated from all the other points of  $N_1$  since they have different projections on any line  $N_2$  of  $\mathcal{S}$  not intersecting  $N_1$ . Hence all the points of  $\mathcal{S}$  are separated from all the other points.

Any point of  $(\pi_1 \cap Q^-(5, q)) \setminus \mathcal{S}$  has exactly three neighbours on  $\ell_0, \ell_1, \ell_2$  (that are collinear). Moreover, two points of  $(\pi_1 \cap Q^-(5, q)) \setminus \mathcal{S}$  with the same projection on  $\ell_0, \ell_1, \ell_2$  are necessarily collinear. Hence, they have different neighbours on  $\ell_3$ . It follows that all the points of  $(\pi_1 \cap Q^-(5, q)) \setminus \mathcal{S}$  are separated from all the other points. Equivalently, also all the points of  $(\pi_2 \cap Q^-(5, q)) \setminus \mathcal{S}$  are separated from all the other points.

A point  $P \in Q^-(5, q)$  not in  $\pi_1 \cup \pi_2$  has five neighbours in  $\mathcal{S}$ . Since  $P$  does not lie in  $\pi_1$ , the three points on  $\ell_0, \ell_1$  and  $\ell_2$  are not collinear, hence they span a plane of  $\pi_1$ , containing one point of  $\ell_0$ . Since  $P$  does not lie in  $\pi_2$ , the three points on  $\ell_0, \ell_3$  and  $\ell_4$  are not collinear, hence they span a plane of  $\pi_2$ , containing one point of  $\ell_0$ . Now it is clear that the five neighbours of  $P$  span a 4-space. Using Lemma 32 it follows that the point  $P$  is separated by  $\mathcal{S}$  from all other points.

To conclude the proof, note that as before we can remove a point on each line of  $\mathcal{S}$  and still have an identifying code (remove a point on  $\ell_0$  and remove its 4 distinct projections on the lines  $\ell_1, \ell_2, \ell_3, \ell_4$ ).  $\square$

The next proposition gives a lower bound on the size of any identifying code of a  $\text{GQ}(q, q^2)$ . In particular, the order of our previous construction is optimal. The proof is similar to the proof of Proposition 25.

**Proposition 33.** *Let  $q$  be a prime power. Any identifying code of a  $\text{GQ}(q, q^2)$  has size at least  $3q+2$ .*

#### 4.3.4 Identifying codes in a Hermitian variety which is a $\text{GQ}(q^2, q)$

**Proposition 34.** *Let  $q$  be a prime power. There exists a  $\text{GQ}(q^2, q)$  with an identifying code of size  $5q^2 - 2 = \theta(n^{2/5})$  where  $n$  is the number of vertices.*

Before giving the proof, we will consider a particular construction of a  $\text{GQ}(q^2, q)$  and give some structural properties.

Let  $q$  be a prime power. Let  $H$  be the set of points of  $\text{PG}(3, q^2)$  that satisfy the equation  $X_0^{q+1} + X_1^{q+1} + X_2^{q+1} + X_3^{q+1} = 0$  ( $H$  is a Hermitian variety).

**Lemma 35** ([23, 31]). *The incidence structure  $H(3, q^2)$  obtained from the  $(q^3+1)(q^2+1)$  points of  $H$  and the  $(q^3+1)(q+1)$  lines of  $H$  (i.e. lines of  $\text{PG}(3, q^2)$  included in  $H$ ) is a generalized quadrangle  $\text{GQ}(q^2, q)$ . Moreover, the closed neighbourhood of a point  $A$  of  $H(3, q^2)$  is exactly the intersection between a plane  $\pi_A$  (the tangent hyperplane of  $A$ ) and  $H$ .*

It is well known that the dual of  $H(3, q^2)$  is  $Q^-(5, q)$ , see [31, 3.2.3].

**Lemma 36.** *Let  $A$  and  $B$  be two non adjacent points of  $H$ . The common neighbours of  $A$  and  $B$  lie on a line.*

*Proof.* Let  $\pi_A$  (respectively  $\pi_B$ ) be the hyperplane containing all the neighbours of  $A$  (resp.  $B$ ). Since  $A$  and  $B$  are non adjacent,  $\pi_A$  and  $\pi_B$  are two distinct planes. The common neighbours of  $A$  and  $B$  are all located in the intersection of  $\pi_A$  and  $\pi_B$  which is a line.  $\square$

*Proof of Proposition 34.* We will construct an identifying code for  $H(3, q^2)$  which is a generalized quadrangle  $GQ(q^2, q)$ .

Consider three disjoint lines  $L_0, L_1, L_2$ , two distinct points  $P_1, P_2 \in L_0$  and two lines  $M_1$  and  $M_2$  containing  $P_1$  and  $P_2$  respectively, and not intersecting  $L_1$  or  $L_2$ . The set  $\mathcal{S} = L_0 \cup L_1 \cup L_2 \cup M_1 \cup M_2$  of  $|\mathcal{S}| = 5q^2 + 3$  points will be an identifying code.

Since  $\mathcal{S}$  contains a whole line, the set  $\mathcal{S}$  is a dominating set.

A point  $A$  on a line  $N_1$  of  $\mathcal{S}$  is clearly separated from all the points that are not on  $N_1$  since it is adjacent to all the points of  $N_1$ . The point  $A$  is also separated from all the other points of  $N_1$  since they have different projections on any line  $N_2$  of  $\mathcal{S}$  not intersecting  $N_1$ . Hence all the points of  $\mathcal{S}$  are separated from all the other points.

If two points  $R$  and  $Q$  have the same neighbourhood on  $\{L_0, L_1, L_2\}$ , then this neighbourhood consists of collinear points by Lemma 36. If the line containing these points also contains  $P_1$ , then the projections of  $R$  and  $Q$  on the line  $M_2$  are different. If the line would contain  $P_2$ , then the projections of  $R$  and  $Q$  on the line  $M_1$  are different. Hence,  $\mathcal{S}$  is a separating set.

To conclude the proof, note that as before we can remove a point on each line of  $\mathcal{S}$  and still have an identifying code (remove a point on  $L_1$ , that is not a neighbour of  $P_1$  or  $P_2$ , and remove its 4 distinct projections on the lines  $L_0, L_2, M_1, M_2$ ).  $\square$

The next proposition gives a lower bound on the size of any identifying code of a  $GQ(q^2, q)$ . In particular, the order of our previous construction is optimal. The proof is similar to the proof of Proposition 25.

**Proposition 37.** *Let  $q$  be a prime power. Any identifying code of a  $GQ(q^2, q)$  has size at least  $2q^2 - 2$ .*

## Conclusion and Perspectives

We provide identifying codes for several vertex-transitive families of graphs which have size of the same order as the fractional value. Since the considered graphs have diameter 2, our results can be extended to locating-dominating sets and to metric dimension, providing constructions of optimal order for such sets in new families of strongly regular graphs.

Paley graphs are an example of a family of graphs for which the optimal order for the size of identifying codes is at a logarithmic factor of the fractional value. However, the fractional value is bounded by a constant. It would be interesting to exhibit a family of graphs for which the fractional value is not constant and the integer value has not the same order.

**Acknowledgement.** We thank Nathann Cohen for helping us with implementations in Sage.

## References

- [1] L. Babai. On the complexity of canonical labeling of strongly regular graphs. *SIAM Journal of Computing*, 9(1):212–216, 1980.
- [2] L. Babai. On the order of uniprimitive permutation groups. *Ann. Math. (2)*, 113(3):553–568, 1981.
- [3] R. F. Bailey. On the metric dimension of imprimitive distance-regular graphs. Preprint, [arXiv 1312.4971](#).
- [4] R. F. Bailey. The metric dimension of small distance-regular and strongly regular graphs. Preprint, [arXiv 1312.4973](#).
- [5] R. F. Bailey and P. J. Cameron. Base size, metric dimension and other invariants of groups and graphs. *Bull. London Math. Soc.*, 43(2):209–242, 2011.
- [6] N. Bertrand, I. Charon, O. Hudry and A. Lobstein. Identifying and locating-dominating codes on chains and cycles. *European J. Combin.*, 25(7):969–987, 2004.
- [7] U. Blass, I. Honkala and S. Litsyn. On binary codes for identification. *J. Combin. Designs*, 8(2):151–156, 2000.
- [8] P. J. Cameron. Partial quadrangles. *Quart. J. Math. Oxford*, 26:61–73, 1975.
- [9] I. Charon, O. Hudry and A. Lobstein. Minimizing the size of an identifying or locating-dominating code in a graph is NP-hard. *Theoretical Computer Science*, 290(3):2109–2120, 2003.
- [10] G. Exoo, V. Junnila, T. Laihonen and S. Ranto. Locating vertices using codes. *Congressus Numerantium*, 191:143–159, 2008.
- [11] G. Exoo, T. Laihonen and S. Ranto. New bounds on binary identifying codes. *Discrete Applied Mathematics*, 156(12):2250–2263, 2008.
- [12] M. Feng, M. Xu and K. Wang. Identifying codes in lexicographic product of graphs. *Electronic J. Combinatorics*, 19(4):# P56, 2012.
- [13] F. Foucaud, E. Guerrini, M. Kovše, R. Naserasr, A. Parreau and P. Valicov. Extremal graphs for the identifying code problem. *European J. Combinatorics*, 32(4):628–638, 2011.
- [14] G. Fijavž and B. Mohar. Rigidity and separation indices of Paley graphs. *Discrete Mathematics*, 289(1-3):157–161, 2004.
- [15] W. Goddard and K. Wash. ID codes in Cartesian products of cliques. *J. Combinatorial Mathematics and Combinatorial Computing*, 85:97–106, 2013.

- [16] S. Gravier, S. Janson, T. Laihonen and S. Ranto. Graphs where every  $k$ -subset of vertices is an identifying set. *Discrete Mathematics and Theoretical Computer Science*, 16(1):73–88, 2014.
- [17] S. Gravier, R. Klasing and J. Moncel. Hardness results and approximation algorithms for identifying codes and locating-dominating codes in graphs. *Algorithmic Operations Research*, 3(1):43–50, 2008.
- [18] S. Gravier and J. Moncel. On graphs having a  $V \setminus \{x\}$  set as an identifying code. *Discrete Mathematics*, 307(3-5):432–434, 2007.
- [19] S. Gravier, J. Moncel and A. Semri. Identifying codes of cycles. *European J. Combinatorics*, 27(5):767–776, 2006.
- [20] S. Gravier, J. Moncel, A. Semri. Identifying codes of Cartesian product of two cliques of the same size. *Electronic J. Combinatorics*, 15:#N4, 2008.
- [21] D. G. Higman. Partial Geometries, generalized quadrangles and strongly regular graphs. *Atti del Convegno di Geometria Combinatoria e sue Applicazioni 1970 (Perugia)*, 263–293, 1971.
- [22] D. G. Higman. Invariant relations, coherent configurations and generalized polygons. *Combinatorics (Proc. Advances Study Inst., Breukelen, 1974), Part 3: Combinatorial group theory*, 27–43, M Math. Centre Tracts 57, Math. Centrum, Amsterdam, 1974.
- [23] J. W. P. Hirschfeld and J. A. Thas. *General Galois Geometries*. Oxford Science Publications, Oxford, 1991.
- [24] I. Honkala and A. Lobstein. On identifying codes in binary Hamming spaces. *J. Combinatorial Theory, Series A*, 99(2):232–243, 2002.
- [25] V. Junnila and T. Laihonen. Optimal identifying codes in cycles and paths. *Graphs and Combinatorics*, 28(4):469–481, 2012.
- [26] M. G. Karpovsky, K. Chakrabarty and L. B. Levitin. On a new class of codes for identifying vertices in graphs. *IEEE Trans. Information Theory*, 44(2):599–611, 1998.
- [27] P. Kaski and P. Östergård. The Steiner triple systems of order 19. *Mathematics of Computation*, 73(248):2075–2092, 2004.
- [28] J. Kratica, D. Cvetković, M. Čangalović, V. Kovačević-Vujčić and J. Kojić. The metric dimension of strongly regular graphs. *Proceedings of SYMOPIS 2008 (Belgrade)*, 341–344, 2008.
- [29] L. Lovász. On the ration of optimal integral and fractional covers. *Discrete Mathematics*, 13(4):383–390, 1975.
- [30] J. Moncel. On graphs on  $n$  vertices having an identifying code of cardinality  $\lceil \log_2(n+1) \rceil$ . *Discrete Applied Mathematics*, 154(14):2032–2039, 2006.
- [31] S. E. Payne and J. A. Thas. *Finite Generalized Quadrangles*. Research Notes in Mathematics, 110, Pitman, Boston, 1984.

- [32] D. F. Rall and K. Wash. Identifying codes of the direct product of two cliques. *European J. Combinatorics*, 36:159–171, 2014.
- [33] P. J. Slater. Leaves of trees. *Congressus Numerantium*, 14:549–559, 1975.
- [34] P. J. Slater. Domination and location in acyclic graphs. *Networks*, 17(1):55–64, 1987.
- [35] P. J. Slater. Dominating and reference sets in a graph. *J. Mathematical and Physical Sciences*, 22(4):445–455, 1988.
- [36] R. Ungrangsi, A. Trachtenberg and D. Starobinski. An implementation of indoor location detection systems based on identifying codes. *Proceedings of Intelligence in Communication Systems, INTELLCOMM 2004*, LNCS 3283:175–189, 2004.
- [37] M. Xu, K. Thulasiraman and X. Hu. Identifying codes of cycles with odd orders. *European J. Combinatorics*, 29(7):1717–1720, 2008.



## Appendix

We prove in this appendix the last part of Proposition 25.

**Lemma 38.** *Any identifying code of a GQ(3, 5) is of size at least 9.*

*Proof.* Recall that the adjacency graph of a GQ(3, 5) has 64 vertices, each vertex belongs to 6 lines of the generalized quadrangle and each line contains 4 vertices.

We use the same discharging method as in Lemma 3. Assume that there exists an identifying code  $C$  of size 8 of a GQ(3, 5). At the beginning, we give to each vertex a charge 1. Then each vertex  $v$  gives the charge  $1/|N[v] \cap C|$  to each vertex of  $N[v] \cap C$ . The aim is to compute the maximal charge a code vertex can get from its neighbours. Then we prove that the sum of all charges (after discharging) is strictly smaller than 64, which is a contradiction.

Let  $c \in C$  be a code vertex. Let  $k$  be the number of code vertices in  $N(c)$ , i.e.  $k = |N(c) \cap C|$ . In all cases,  $c$  gives itself the charge  $1/(k+1)$ . Consider a line  $L$  incident with  $c$  and denote by  $v_1, v_2, v_3$  the other vertices of  $L$ . Let  $x$  be the number of code vertices distinct from  $c$  in  $L$ . We have  $0 \leq x \leq \min(3, k)$ . If  $c' \in C$  is a code vertex not adjacent to  $c$ , it has a unique projection on  $L$ , which is distinct from  $c$ . Hence the  $7-k$  vertices of  $C \setminus N[c]$  can be partitioned in three parts: one for each vertex  $v_i$ . Let  $k_i$  denote  $|(N(v_i) \setminus L) \cap C|$  for  $i = 1, 2, 3$ . We have  $k_1 + k_2 + k_3 = 7 - k$ .

The vertex  $c$  will receive from the vertices  $v_i$  the charge  $1/(k_i + 1 + x)$ . So  $c$  receives from the vertices (distinct from  $c$ ) of line  $L$  a total charge of

$$f_L(k, x, k_1, k_2) = \frac{1}{k_1 + 1 + x} + \frac{1}{k_2 + 1 + x} + \frac{1}{7 - k - k_1 - k_2 + 1 + x}.$$

We now turn our attention to the possible values of  $(k, x, k_1, k_2)$ . Since the vertices  $v_1, v_2$  and  $v_3$  can only be separated by a vertex not in  $N[c]$ , there must be at least two code vertices in  $C \setminus N[c]$ . Hence, we have  $0 \leq k < 6$ . Moreover,  $0 \leq x \leq \min(3, k)$  and  $k_3 = 7 - k - k_1 - k_2 \geq 0$ . Let  $L_0, \dots, L_5$  be the six lines incident with  $c$ . For each value of  $k$ , we consider the partitions  $(k_1^{(i)}, k_2^{(i)}, k_3^{(i)})$  of the lines  $L_i$  in order to compute the maximal charge of  $c$ :

$$\frac{1}{k+1} + \sum_{i=0}^5 f_{L_i}(k, x^{(i)}, k_1^{(i)}, k_2^{(i)}).$$

If  $k = 0$ , then  $x = 0$  and  $k_i \neq 0$  for any  $i = 1, 2, 3$  since  $k = 0 = k_i$  implies that  $c$  and  $v_i$  are not separated.

So the possible partitions, up to permutations of the values  $k_1, k_2, k_3$ , are given in the following table.

$(k_1, k_2, k_3)$	(1, 1, 5)	(1, 2, 4)	(1, 3, 3)	(2, 2, 3)
$f(0, 0, k_1, k_2)$	1.16667	1.03333	1	0.91667

We have at most three times the partition (1, 1, 5). Indeed, at most seven vertices have  $c$  and a unique other code vertex in their neighbourhood. The maximum charge that  $c$  can receive is done with three lines with partition (1, 1, 5),

giving charge  $f(0, 0, 1, 1) = 7/6$  and three lines with partition  $(2, 2, 3)$  giving charge  $f(0, 0, 2, 2) = 11/12$ .

In this precise case,  $c$  will receive  $1 + 3 \cdot 7/6 + 3 \cdot 11/12 = 7.25 < 8$ .

If  $k = 1$ , the possible partitions, up to permutations are given in the following table.

$(k_1, k_2, k_3)$	$(0, 1, 5)$	$(0, 2, 4)$	$(0, 3, 3)$	$(1, 1, 4)$	$(1, 2, 3)$	$(2, 2, 2)$
$f(1, 0, k_1, k_2)$	1.66667	1.53333	1.5	1.2	1.08333	1
$f(1, 1, k_1, k_2)$	0.97619	0.91667	0.9	0.83333	0.78333	0.75

Since  $k = 1$ , there is exactly one line among  $L_0, \dots, L_5$  that has one code vertex (i.e. with  $x = 1$ ). This line cannot have a partition  $(0, 1, 5)$  (otherwise  $c$  and  $v_1$  are not separated). So this line will give charge at most  $f(1, 1, 0, 1) = 41/42$ . At most one line without code vertex (i.e.  $x = 0$ ) has a partition  $(0, 1, 5)$  and gives a charge of at most  $f(1, 0, 0, 1) = 5/3$ . The other four lines without code vertex give a charge of at most  $f(1, 0, 1, 1) = 6/5$ . Finally,  $c$  gets a charge at most  $1/2 + 41/42 + 5/3 + 4 \cdot 6/5 = 7.94 < 8$ .

If  $k = 2$ , we have the following partitions and values of  $f(2, x, k_1, k_2)$ .

$(k_1, k_2, k_3)$	$(0, 1, 4)$	$(0, 2, 3)$	$(1, 1, 3)$	$(1, 2, 3)$
$f(2, 0, k_1, k_2)$	1.7	1.58333	1.25	1.16667
$f(2, 1, k_1, k_2)$	1	0.95	0.86667	0.83333
$f(2, 2, k_1, k_2)$	0.72619	0.7	0.66667	0.65

Either the two code neighbours of  $c$  belong to different lines or belong to the same line. In the first case, the best choice of partitions for the two lines with a code vertex, neighbour of  $c$ , is  $(0, 1, 4)$ . So the lines give each a charge at most  $f(2, 1, 0, 1) = 1$ .

Note that for all lines  $L_j$  with  $x = 0$ , there is at most one vertex  $v_i^{(j)}$  with  $k_i^{(j)} = 0$  (otherwise some vertices will not be separated). So among the four lines without a code vertex except for  $c$  (i.e. with  $x = 0$ ), at most one line has partition  $(0, 1, 4)$  and the best choice of partitions for the other three lines is  $(1, 1, 3)$ . Hence, these four lines give together a charge at most  $f(2, 0, 0, 1) + 3f(2, 0, 1, 1) = 17/10 + 3 \cdot 5/4$ . So  $c$  gets a charge at most  $7.78333 < 8$  in this case.

In the second case, the two code neighbours of  $c$  belong to the same line. This line cannot have a partition  $(0, 1, 4)$  (otherwise,  $c$  and  $v_1$  are not separated). So this line will give charge at most  $f(2, 2, 1, 1) = 2/3$ , corresponding to a partition  $(1, 1, 3)$ . Then the other five lines can give charge at most  $f(2, 0, 0, 1) + 4f(2, 0, 1, 1) = 17/10 + 4 \cdot 5/4$ . So  $c$  receives a charge at most  $7.7 < 8$ .

If  $k = 3$ , the possible partitions and values of  $f(3, x, k_1, k_2)$  are given in the following table.

$(k_1, k_2, k_3)$	$(0, 1, 3)$	$(0, 2, 2)$	$(1, 1, 2)$
$f(3, 0, k_1, k_2)$	1.75	1.66667	1.33333
$f(3, 1, k_1, k_2)$	1.03333	1	0.91667
$f(3, 2, k_1, k_2)$	0.75	0.73333	0.7
$f(3, 3, k_1, k_2)$	0.59286	0.58333	0.56667

If all three code neighbours belong to the same line, say  $L_0$ , then the best choice of partitions is  $(1, 1, 2)$  for  $L_0$ , once  $(0, 1, 3)$  and three times  $(1, 1, 2)$  for the other lines without a code vertex except of  $c$ . So  $c$  can get a charge at most  $1/4 + f(3, 3, 1, 1) + f(3, 0, 0, 1) + 4f(3, 0, 1, 1) = 7.9 < 8$ .

If two code neighbours belong to the same line, say  $L_0$ , then the best choice of partitions is  $(0, 1, 3)$  for  $L_0$ ,  $(0, 1, 3)$  for the line with one code vertex except of  $c$ , once  $(0, 1, 3)$  and three times  $(1, 1, 2)$  for the other lines. So  $c$  receives a charge at most  $1/4 + f(3, 2, 0, 1) + f(3, 1, 0, 1) + f(3, 0, 0, 1) + 3f(3, 0, 1, 1) = 7.78333 < 8$ .

Finally, if the three code neighbours belong to three distinct lines, then the best choice of partitions is  $(0, 1, 3)$  for the three lines with a code vertex distinct from  $c$ , once  $(0, 1, 3)$  and twice  $(1, 1, 2)$  for the other lines. So  $c$  gets a charge at most  $1/4 + 3f(3, 1, 0, 1) + f(3, 0, 0, 1) + 2f(3, 0, 1, 1) = 7.76667 < 8$ .

It is not possible to have  $k = 4$ . Indeed, if it is the case, at least two lines, say  $L_0$  and  $L_1$  do not have any code vertex except  $c$ . Then at most one vertex on  $L_0$  or  $L_1$  has only  $c$  in its neighbourhood. If this vertex is on  $L_1$ , it means that  $L_0$  has the partition  $(1, 1, 1)$ . But then,  $L_1$  has the partition  $(0, 1, 2)$  and the vertex having only one extra code vertex in its neighbourhood is not separated from one vertex in  $L_0$ , which is a contradiction.

If  $k = 5$ , then there are two code vertices in  $C \setminus N[c]$ . It is impossible to have two lines  $L_0$  and  $L_1$  incident with  $c$  that have no other code vertices. Indeed, among the six vertices of  $L_0$  and  $L_1$ , two will have the same code vertices in their neighbourhood, which is impossible. So there is exactly one line without another code vertex and five lines with exactly one other code vertex. The only partition possible is  $(0, 1, 1)$  up to permutation. So  $c$  gets a charge at most  $1/6 + f(5, 0, 0, 1) + 5f(5, 1, 0, 1) = 8$ .

Note that at least one vertex of  $C$  do not have  $k = 5$ . If  $c$  has  $k = 5$ , then its five neighbours in  $C$  are on distinct lines and they all have at most  $k = 3$  vertices in their neighbourhood.

In conclusion, the total charge is strictly less than 64, the number of vertices of the GQ(3, 5). This is a contradiction.  $\square$