Auroral spirals at Saturn

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² Abstract.

We report observations of auroral spirals at Saturn propagating from mid-3 night to noon via dawn, based on Cassini/UVIS measurements. The aurora 4 during that sequence is observed for the first time to consist of detached fea-5 tures swirling as they propagate from dawn to early afternoon. The features 6 have a diameter of ~ 6000 km in the ionosphere, which would correspond to 7 12 to 15 R_S -wide plasma regions in the magnetosphere. Simultaneous ENA 8 enhancements are observed, however, they do not show a clear spiral form. 9 We estimate the velocity of the UV auroral features to decrease from 85%10 of rigid corotation $(28^{\circ}/h)$ near the equatorward edge to 68% of rigid coro-11 tation $(22^{\circ}/h)$ in the poleward edge. We discuss two possible scenarios which 12 could explain the generation of the auroral spirals. Firstly, we suggest that 13 the auroral spirals could be related to large dynamic hot populations which 14 create regions with strong velocity gradients. Alternatively, a less possible 15 theory could be that the auroral spirals are related to field line deformation 16 from the magnetosphere to the ionosphere, similar to the scenario proposed 17 to explain auroral spirals at Earth. Such field line twist can happen for a con-18 figuration where the magnetospheric source region is located between a pair 19 of plasma flow vortices. 20

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1. Introduction

Several theoretical and observational studies have dealt with the complexity of the au-21 roral morphology at Saturn. Early studies suggested that the brightness and shape of 22 Saturn's aurora varies with time and its general morphology corresponds to solar wind 23 changes [Grodent et al., 2005; Clarke et al., 2009]. Simultaneous HST and Cassini ob-24 servations suggested that the quasi-continuous main UV emission at Saturn is produced 25 by magnetosphere-solar wind interaction, through the shear in rotational flow across the 26 open closed field line boundary [Bunce et al., 2008]. Magnetic reconnection in the day-27 side magnetopause as well as in the nightside tail are suggested to largely influence the 28 morphology of Saturn's aurora [Cowley et al., 2004; Badman et al., 2005]. 29

The UV dawn auroral region, which is the main focus of the current study, is occa-30 sionally observed to exhibit bright enhancements and poleward auroral expansions often 31 accompanied by closure of open magnetic flux [Mitchell et al., 2009; Nichols et al., 2014; 32 Radioti et al., 2014, 2015; Badman et al., 2015]. Such bright enhancements in the dawn 33 sector are often attributed to nightside reconnection events. Small-scale intensifications 34 in the nightside auroral emission are suggested to be signatures of dipolarisation in the 35 tail [Jackman et al., 2013] and the precursor to a more intense activity following tail re-36 connection [Mitchell et al., 2009]. Localised UV enhancements related to tail reconnection 37 are observed to evolve into arc and spot-like small scale features, which resemble spirals 38 [Radioti et al., 2015]. These features are suggested to be related to plasma flows enhanced 30 from reconnection which diverge into multiple narrow channels then spread azimuthally 40 and radially. Additionally, small scale structures (from 500 km to several thousands of 41

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km) are observed by UVIS at 06 to 18 LT and are related to patterns of upward field 42 aligned currents related to Kelvin-Helmholtz instabilities in the magnetopause of Saturn 43 [Grodent et al., 2011]. Plasma vortices associated to Kelvin-Helmholtz instability are ob-44 served in Saturn's dawn magnetopause and are suggested to form field-aligned current 45 systems which give rise to vortex footprints in the ionosphere [Fukazawa et al., 2007; 46 Masters et al., 2010]. Finally, Meredith et al. [2013] reported detached small-scale struc-47 tures in Saturn's prenoon UV aurora, based on Hubble Space Telescope observations, and 48 interpreted them as signatures of field-aligned currents associated with propagating ULF 49 waves. 50

In this study we investigate the origin of large spiral auroral features in the dawn sector 51 and in one possible interpretation we consider their association with particle injections. A 52 multi-instrumental study which combined UV, ENA (Energetic Neutral Atoms) and SKR 53 emissions [Mitchell et al., 2009] showed that UV auroral enhancements in the dawn sector 54 are indicative of the initiation of several recurrent acceleration events in the midnight to 55 dawn quadrant at radial distances of $15-20 R_s$. Saturn's magnetospheric injections are associated with inward moving flux tubes related to interchange instability (e.g. Mauk 57 et al. [2005]; Paranicas et al. [2007]) or particle acceleration related to the collapse of the 58 plasma sheet and tail reconnection (e.g. [Carbary et al., 2008; Mitchell et al., 2009]). Both 59 types of injections are investigated based on energetic particles and ENA emissions and 60 are believed to be connected with each other [Mitchell et al., 2014a]. Particle injections 61 have been previously suggested to generate auroral emissions at Saturn [Radioti et al., 62 2009, 2013b]. Pitch angle diffusion and electron scattering within the injection region as 63

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⁶⁴ well as field-aligned currents driven by the pressure gradients along the boundaries of the ⁶⁵ injected hot plasma cloud could generate aurora.

2. Observations of auroral spirals at Saturn

Figure 1 shows a sequence of polar projections of Saturn's southern hemisphere obtained 66 with the FUV channel (111-191 nm) of the UVIS instrument [Esposito et al., 2004] on 67 board Cassini on DOY 197, 2008. The projections are constructed by combining slit 68 scans, which provide 64 spatial pixels of 1 mrad (along the slit) by 1.5 mrad (across the 69 slit) and the emission is assumed to peak at an altitude of 1100 km, using the method 70 described by *Grodent et al.* [2011]. Between the start of the 1st image and the end of the 71 last image, the sub-spacecraft planetocentric latitude increased from -28.7 to -21.4 degrees 72 and the spacecraft altitude changed from 9.5 to 11.5 $R_{\rm S}$. Because of the relatively high 73 sub-spacecraft latitude, the limb brightening effect is limited and therefore no correction 74 was applied. 75

During this sequence the main emission in the dawn sector consists of three detached 76 features labelled with a, b and c in Figure 1. The features are initially observed to be 77 aligned with the main emission, while as they propagate towards noon they form spirals, 78 a shape that is less evident for feature c. It should be noted that even though the features 79 do not completely satisfy the definition of a spiral, as they do not seem to curve out 80 from a central point, in the following we keep on using the term 'spiral' for simplicity. 81 A close up view of the auroral features a and b is presented in Figure 2. We choose to 82 analyse further only features a and b as their spiral shape is more evident in the UVIS 83 images. Particularly, in the first five panels (up to 0638 UT) the features are not very well 84 organised. They are observed to be aligned with the main emission, while progressively 85

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their shape changes and they become wider. The leading edge is moving slightly poleward 86 and the trailing edge is moving equatoward, an evolution more evident for feature b. At 87 0638 UT the features take a circular and coherent form. Two circles are drawn on top of 88 the emissions in the panel at 0638 UT for guidance. The spiral pattern continues during 89 at least the first half of the sequence (until 0800 UT). Afterwards the leading part of the 90 emissions is mainly evident, while the trailing part is fainter. A movie constructed based 91 on the polar projections of Figure 1 is included in the auxiliary material and shows the 92 evolution and motion of the features. The diameter of the features in the ionosphere is 93 on the order of 6000 km. The auroral features correspond to 12 to 15 R_S -wide plasma 94 regions in the magnetosphere. For the magnetic mapping on the equatorial plane we 95 use a magnetic field model incorporating a current sheet with half thickness of 2.5 R_S , a 96 magnetopause standoff distance of 22 and 27 R_s , consistent with Achilleos et al. [2008] 97 inner and outer magnetopause boundary position, and the current sheet scaling laws from Bunce et al. [2007]. The 'Cassini' model of Dougherty et al. [2005] is used as internal 99 magnetic field model. 100

Even though the morphology of this auroral region has been occasionally observed to change drastically (dramatic brightness enhancements and poleward expansions [*Mitchell et al.*, 2009; *Nichols et al.*, 2014; *Radioti et al.*, 2014, 2015; *Badman et al.*, 2015]), this is the first time that it is observed to break into separate detached spiral features along a large local time sector (8 hours in LT). It should be noted that *Meredith et al.* [2013] recently reported detached small-scale UV structures between 05 and 11 LT, which however do not exhibit a spiral form. UV features which resemble small-scale spirals are recently observed

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at one snapshot and were related to plasma flows enhanced from tail reconnection [*Radioti* et al., 2015].

The emissions discussed here should not be confused with the 'bifurcations' of the 110 main emission at noon-dusk quadrant [Radioti et al., 2011, 2013a], even though at the 111 later stage of their development (panel at 0950 and 1018 UT of Figure 1), they resem-112 ble morphologically the bifurcations. The UV spirals are observed to originate in the 113 postmidnight-predawn sector, while the auroral bifurcations are generated at noon (see 114 Figure 1 in [Radioti et al., 2011]). The later are interpreted as magnetopause reconnection 115 signatures based on their observed location and on the expansion of the main emission to 116 lower latitudes following the appearance of the bifurcations [Radioti et al., 2011]. 117

Simultaneously with the UV observations, the ion and neutral camera (INCA) on board 118 Cassini observed a localized enhancement in ENA emissions from Saturn's magnetosphere, 119 evidence of a rotating heated plasma region whose peak emission is located near 7-10 R_s 120 in the dawn-noon quadrant, possibly related to magnetospheric injections. Figure 3 shows 121 the ENA enhancement (indicated by the yellow arrow on the first panel) which starts in 122 the midnight-dawn quadrant (0200 UT), passes through dawn and then goes out of the 123 field of view. The images are integrated during 40 minutes centered on the time indicated 124 and the x-axis points noon. Due to the orientation of the camera a part of the emission 125 moves out of the field of view after 08:00 UT. The ENA emissions here consist of several 126 substructures. However, it is unclear whether they exhibit spiral forms, as we cannot 127 resolve the detailed structure due to limited resolution. Comparison of the UVIS and 128 INCA measurements suggests that the ENA enhancement is observed simultaneously and 129 on the same location as the UV feature a and partially b (Figure 1), implying that both 130

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¹³¹ UV and ENA emissions are associated with the same dynamical event. Previous studies ¹³² showed that similar ENA enhancements are closely correlated with UV transient features ¹³³ and are related to the same energetic particle injection event [*Mitchell et al.*, 2009; *Radioti* ¹³⁴ *et al.*, 2013b].

3. On the origin of the auroral spirals

3.1. Large scale energetic particle injections

The UV auroral spirals observed here might be the optical signatures of large magne-135 tospheric particle injections extended over several R_S . Saturn's magnetosphere contains 136 several sources of heated plasma either associated with inward moving flux tubes related to 137 interchange instability or particle acceleration related to nightside reconnection [Mitchell 138 et al., 2014a]. An association of the present UV auroral emissions with magnetospheric 139 injections is supported by the simultaneous UV-ENA emissions as discussed above. Addi-140 tionally, the UV brightness of the features decays with time, which is in accordance with 141 the expectations of the UV counterpart of particle injections, considering pitch angle dif-142 fusion and electron scattering as the driving mechanism [Radioti et al., 2013b]. However, 143 it should be noted that this cannot be the only triggering mechanism, as field-aligned 144 currents driven by the pressure gradients along the boundaries of the injected hot plasma 145 cloud related to the ENA enhancements should contribute to the UV emission part, as 146 explained by *Radioti et al.* [2013b]. 147

¹⁴⁸ A key parameter responsible for the spiral shape of the auroral emission is the corotation ¹⁴⁹ lag of the source population. Within an injection event the corotation fraction might ¹⁵⁰ change locally, because of the dynamics of the feature. *Carbary and Mitchell* [2014] ¹⁵¹ analysed ENA measurements and revealed substructures within the ENA emissions and

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large velocity variations as a function of local time and L-shell. They showed that in 152 the dawn sector the velocities decrease with radial distance (with an exception of a local 153 increase of the velocities at 20 R_S). In particularly, they showed that the velocity may 154 vary in the dawn sector from $\sim 30^{\circ}/h$ ($\sim 90\%$ of rigid corotation) at 5 R_S to $\sim 23^{\circ}/h$ 155 (~68% of rigid corotation) at $20R_s$. In addition to ENA emissions, particle measurements 156 have been used to investigate the flow velocities. Thomsen et al. [2010] showed that the 157 measured azimuthal flow speeds are characteristically below full corotation over 5 to 20 158 L-Shell, varying on average from $\sim 50\%$ to $\sim 70\%$ of rigid corotation. Livi et al. [2014] 159 demonstrated that between 10 and 13 R_S the thermal plasma rotation velocity accelerates 160 once more toward rigid corotation but abruptly stops at 13 R_s . They also showed that 161 the velocities may vary largely at given radial distance. For example at 12 R_S it may vary 162 from 20 to 80% of rigid corotation. 163

In the following, we estimate the velocity of the auroral feature based on the present 164 observations. We trace the motion of the feature a starting from panel at 0611 UT, as 165 in the beginning of the sequence the emission changes randomly from panel to panel and 166 at around 0611 UT stabilises its shape. We follow the motion of the leading part of the 167 emission (leading in local time) which persists until the end of the sequence. We trace 168 the longitude of the peak of the emission at certain latitudes $(70^\circ, 71^\circ, 72^\circ, 73^\circ \text{ and } 74^\circ)$ 169 and plot it as a function of time (panel a Figure 4). These locations are shown by the 170 yellow diamonds on top of the emission at panels 0706 and 0855 UT of Figure 2. We 171 apply a linear fit function (solid lines in panel a of Figure 4) to the set of data at each 172 latitude and we show that the emission rotates at a different fraction of the rigid corotation 173 starting from 68% (22°/h) for the poleward part and increasing up to 85% (28°/h) for 174

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the most equatorward part. In our analysis we consider that the electron drift is only due to corotation, because the gradient-curvature drift is negligible at these L-Shells. This statement is based on the estimation of the gradient-curvature drift for electron energies between 1-10 KeV, which we find to be on the order of 5% of the corotation for the L-shell under study, following the method described in *Roussos et al.* [2013].

Panel b Figure 4 shows the decrease of the feature's corotation fraction as a function 180 of latitude. The magnetically mapped radial distance is also indicated. The corotation 181 fraction is estimated to decrease with a rate of 4.2% of rigid corotation per degree of 182 latitude based on a linear fit (solid line). In Figure 4, panel c we plot a simulated emission 183 as a function of time based on the estimated corotation fraction. The snapshots are 184 calculated every 50 minutes and each color stands for the emission at different latitudes. 185 The simulated pattern resembles the motion of the leading part of the observed auroral 186 spiral. It should be noted that here we consider only the leading part of the auroral 187 emission. The evolution of the trailing part (lagging in local time) also requires a velocity 188 gradient. However, a similar analysis for the trailing part, which aims to derive the 189 gradient, would be inaccurate due to the fact that the spiral shape is not conserved until 190 the end of the sequence, possibly because the emission is below the UVIS threshold. 191

¹⁹² We suggest that the spiral auroral features could be the ionospheric signatures of large ¹⁹³ (12-15 R_S) injections, considering that within the injection the corotation fraction changes ¹⁹⁴ locally, because of the dynamical behaviour of the event. This scenario could work for ¹⁹⁵ strong velocity gradients at a rate of 4.2 % of rigid corotation per degree of latitude, as it ¹⁹⁶ is shown in the above analyses. The velocities estimated from the auroral measurements, ¹⁹⁷ which result at the velocity gradient are in accordance with the particle velocities derived

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based on magnetospheric data [*Thomsen et al.*, 2010; *Livi et al.*, 2014] and ENA emissions

¹⁹⁹ [Carbary and Mitchell, 2014].

3.2. Field line deformation from the magnetosphere to the ionosphere

Another possible interpretation of the UV auroral spirals, indirectly related to magne-200 tospheric plasma vortices, is field line deformation (twist) from the magnetosphere to the 201 ionosphere as suggested to explain auroral spirals (of 200-300 km large) at Earth [Keil-202 ing et al., 2009] and illustrated in panel a, Figure 5. Field line deformation can happen 203 for a configuration where the magnetospheric source region is located between a pair of 204 opposite rotating plasma flow vortices. The auroral spiral is related to a magnetic field-205 aligned current system which is generated to connect the magnetospheric source region 206 with the ionosphere [Keiling et al., 2009]. This scenario is in accordance with the fact 207 that the magnetospheric ENA emissions (Figure 3) do not form clear spirals, while the 208 simultaneous UV emissions (Figure 1) at the polar end of the twisted field lines form 209 spiral structures. The field line deformation theory differs from the scenario related to 210 injections. According to it, the spiral shape of the auroral emission is the result of the 211 twist of the field lines from the magnetosphere to the ionosphere and does not require 212 an extended in latitude (radial distance) magnetospheric plasma population with strong 213 velocity gradient, as it is the case for the scenario related to injections. 214

A similar process is suggested to explain auroral spiral-like structures observed only during a snapshot, following the onset of tail reconnection [*Radioti et al.*, 2015]. The present observations differ from those reported in *Radioti et al.* [2015], as they describe large scale spirals that are persistent for several hours. The formation of the spirals observed here could be related to vortices not necessary associated with tail reconnection.

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The field line deformation scenario requires that the auroral spiral is not the ionospheric 220 footprint of the magnetospheric plasma flow vortex, but however, flow vortices are essential 221 for providing the necessary conditions for the generation process of the auroral spiral form. 222 Cassini's energetic particle detector observed fast and brief episodes of convective flows 223 in the nightside magnetosphere [*Mitchell et al.*, 2014a], which have been identified as 224 transitional events between current sheet collapse and interchange. The brief periods 225 of radial flow could set up oppositely directed flow at their boundaries, assuming they 226 are limited in azimuth. Additionally, simulation studies [Jia et al., 2012] predict fast 227 plasma flows, released from tail reconnection at Saturn, to move towards the planet and 228 create flow shear with the surroundings. Consequently, those rapidly moving flux tubes 229 are expected to generate strong disturbances in the ionosphere. Plasma vortices in the 230 Saturnian magnetosphere could be formed by nonlinear Kelvin-Helmholtz instabilities at 231 Saturn's morning magnetopause [Masters et al., 2010]. However, such vortices cannot 232 provide the necessary conditions for the spiral formation in the ionosphere, in the context 233 of the field-line deformation scenario, as a pair of them would be same-directed. 234

In summary, even though there are arguments that a field line deformation theory could form auroral spirals at Saturn, this might not be the most possible scenario to interpret the present observations. The main concern is that there is no direct evidence that a twin vortex in the equatorial plane could remain coherent with time over a large local time sector, in order to support the persistence of the spiral shape observed here over several hours.

4. Summary and conclusions

In this study we report for the first time auroral spirals at Saturn propagating from 241 midnight to noon. The emission consists of several persistent detached swirling features 242 extended over a larger local time sector. Simultaneously ENA enhancements are observed, 243 however, they do not show a clear vortical form, possibly due to their limited resolution. 244 The UV features have a diameter of ~ 6000 km in the ionosphere, which would correspond 245 to 12 to 15 R_S -wide plasma regions in the magnetosphere. We estimated the velocity of 246 the auroral feature and concluded that there is a strong velocity gradient with a decrease 247 rate of 4.2% of rigid corotation per degree of latitude. In particularly, we show that 248 the velocity decreases from 85% ($28^{\circ}/h$) in the equatorward part to 68% ($22^{\circ}/h$) in the 249 poleward part. Particle velocities derived from magnetospheric data [Thomsen et al., 250 2010; Livi et al., 2014] and ENA emissions [Carbary and Mitchell, 2014], showed large 251 variations of the corotation velocity fraction as a function of radial distance in accordance 252 with the values derived from the auroral observations. We discuss two scenarios as possible 253 mechanisms for the generation of the auroral spirals. 254

Firstly, we suggest that the spiral auroral features could be the ionospheric signatures 255 of large (12-15 R_S) injections, considering that within the injection the corotation fraction 256 changes locally, because of the dynamical behaviour of the event. We perform a simple 257 simulation of the auroral emission considering magnetospheric plasma regions rotating 258 at different velocities and we generate the observed auroral pattern. This scenario could 259 work for strong velocity gradients at a rate of 4.2 % of rigid corotation per degree of 260 latitude. Alternatively, we consider field line deformation (twist) from the magnetosphere 261 to the ionosphere, illustrated in panel a, Figure 5, as another possible mechanism that 262

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could generate the auroral spirals. According to this scenario the auroral spiral is not 263 the ionospheric footprint of the magnetospheric plasma flow vortex, but flow vortices are 264 essential for providing the necessary conditions for the generation process of the auroral 265 spiral form. This scenario is in accordance with the fact that the magnetospheric ENA 266 (Figure 3) emissions do not form clear spirals, while the simultaneous UV emissions (Fig-267 ure 1) at the polar end of the twisted field lines form spiral structures. This is however, 268 a less possible theory as there is not evidence that a twin vortex in the equatorial plane 269 could remain coherent with time over a large local time sector. Finally, it should be 270 noted that the auroral features observed here could not be the direct optical signatures of 271 plasma vortical flows related to Kelvin-Helmholtz instabilities in the magnetopause [Mas-272 ters et al., 2010]. The Kelvin-Helmholtz vortical flows are generated close to noon and 273 propagate antisunward, while the UV features here are detected in the pre-dawn sector 274 and propagate with a large velocity of 68 to 85 % of rigid corotation towards noon. 275

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Figure 1. A sequence of polar projections of Saturn's southern aurora obtained with the FUV channel of UVIS on board Cassini. The first image starts at 0421 UT and the last one at 1018 UT on DOY 197, 2008. Noon is to the bottom and dusk to the right. The grid shows latitudes at intervals of 10° and meridians of 30°. Yellow arrows indicate the separate intensifications in the dawn-midnight quadrant. Feature a and b are exhibiting spiral structures.
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Figure 2. Projected close ups of a selected region on the polar projections from Figure 1 starting at 0421 to 1018 UT. The images show the two features a and b that form auroral spirals. Two circles are drawn on top of the two auroral features in the images taken at 0638 UT, in order to indicate the spiral form. The diamonds at panels 0706 and 0855 UT indicate the coordinates at certain latitudes (70°, 71°, 72°, 73° and 74°) used to calculate the corotation fractions.

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Figure 3. ENA emissions from Saturn's magnetosphere on DOY 197, 2008. The images are 40 min integration centered on the time indicated. The two circles represent the E-ring boundaries at 2.5 and 7.5 R_S . The innermost circle is Saturn's limb (1 R_S). The Z axis is aligned with Saturn's spin axis, X axis (highlighted in red) indicates the direction toward the sun, and Y axis points to dusk. Arrows indicate the ENA enhancement discussed in this work and possibly correspond to UV emission a and b shown in Figure 1.



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Panel a: Longitude of the leading part of the UV emission (see Figure 2) at five latitudes 379 $(70^{\circ}, 71^{\circ}, 72^{\circ}, 73^{\circ} \text{ and } 74^{\circ})$ as a function of time. Some of these points are indicated on top of 380 the emission at panels 0706 and 0855 UT of Figure 2. The solid lines represent a linear fit to 381 the set of data at each latitude. The corotation rates 68%, 72%, 75%, 80% and 85% correspond 382 to 22°/h, 24°/h, 25°/h, 26°/h and 28°/h, respectively. The error bars indicate the standard 383 deviations of longitude at a given time. Panel b: shows the decrease of the feature's corotation 384 fraction as a function of latitude. The respective magnetically mapped radial distance is derived 385 for magnetopause standoff distance of 22 and 27 R_S and is indicated for 70° and 75° latitude. 386 Details of the magnetic mapping are described in the text. The corotation fraction decreases 387 at a rate of 4.2% of rigid corotation per degree of latitude based on a linear fit (solid line). 388 Panel c: Simulated emission as a function of time based on the estimated corotation fraction. 389 The snapshots are taken every 50 minutes and each color stands for the emission at different 390 latitudes. 391



Figure 5. Schematic illustrating the generation of an auroral spiral and its source region, located between two oppositely rotating plasma vortices in the magnetosphere of Saturn (adapted from *Radioti et al.* [2015]). The field line twisting from the magnetosphere to the ionosphere, which gives rise to auroral spirals is illustrated in a close up. The same mechanism is believed to explain auroral spirals at Earth [*Keiling et al.*, 2009]. This simple illustration does not consider the bending of the field lines.