

1 Direct detection of *Aspergillus* and azole resistance of *Aspergillus fumigatus* on
2 bronchoalveolar lavage fluid. Validation of a new *Aspergillus* real-time PCR.

3

4 **Short title: Validation of a new *Aspergillus* PCR.**

5

6 **Authors:** Ga-Lai M. Chong¹, Wendy W.J. van de Sande², Gijs J.H. Dingemans³, Giel R. Gaajetaan³,
7 Alieke G. Vonk², Marie-Pierre Hayette⁴, Dennis W. E. van Tegelen³, Guus F.M. Simons³, Bart J.A.
8 Rijnders¹.

9

10 **Affiliations:**

11 ¹ Department of Internal Medicine, Infectious Diseases, Erasmus Medical Centre, Rotterdam, the
12 Netherlands.

13 ² Medical Microbiology and Infectious Diseases, Erasmus Medical Centre, Rotterdam, the
14 Netherlands.

15 ³ PathoNostics B.V., Maastricht, the Netherlands.

16 ⁴ Department of Clinical Microbiology, University Hospital of Liège (CHU), Liège, Belgium.

17

18 **Corresponding author:**

19 Ga-Lai M. Chong, MD.

20 Department of Internal Medicine, Infectious Diseases.

21 Erasmus Medical Centre, Rotterdam.

22 Room Z-840, PB2040, 3000 CA Rotterdam, the Netherlands.

23 g.chong@erasmusmc.nl

24 Fax.0031107035945.

25 Tel.0031644533371.

26

27 **Manuscript category:**

28 Major article.

29

30 **Key words:** *Aspergillus* species, *Aspergillus fumigatus*, invasive aspergillosis, haematology, intensive
31 care unit, azole resistance, polymerase chain reaction.

32

33 **Abstract word count:** 250

34 **Text word count:** 3088

35 **Tables:** 3

36 **Figures:** 1

37 **References:** 22

38 **Online supplement:** 1 table and 5 figures

39 **Abstract**

40

41 Introduction

42 Azole resistance in *Aspergillus fumigatus* is increasingly reported. We describe the validation of
43 AsperGenius[®], a new multiplex real-time polymerase chain reaction (PCR) assay consisting of two
44 multiplex real-time PCRs: one which identifies the clinically relevant *Aspergillus* species, and one
45 which detects the TR34, L98H, T289A, Y121F mutations in CYP51A and differentiates susceptible
46 from resistant *A. fumigatus* strains.

47

48 Methods

49 The diagnostic performance was tested on 37 bronchoalveolar lavage (BAL) samples from
50 haematology patients and on 40 BAL samples from intensive care unit (ICU) patients using BAL
51 galactomannan ≥ 1.0 or positive culture as the gold standard for the presence of *Aspergillus*.

52

53 Results

54 In the haematology and ICU groups combined, there were 22 BAL samples with IA (2 proven, 9
55 probable and 11 non-classifiable). Nineteen of the 22 BAL samples were positive according to the gold
56 standard. The optimal cycle threshold value for the presence of *Aspergillus* was <36 . Sixteen of the 19
57 BAL samples had a positive PCR (2 *Aspergillus* species and 14 *A. fumigatus*). This resulted in a
58 sensitivity, specificity, positive and negative predictive value of 88.9%, 89.3%, 72.7% and 96.2% for
59 the haematology group and 80.0%, 93.3%, 80.0% and 93.3% in the ICU group, respectively. The
60 CYP51A real-time PCR confirmed 12 wildtype and 2 resistant strains (1 TR34/L98H and 1
61 TR46/Y121F/T289A mutant).

62

63 Conclusion

64 The AsperGenius[®] multiplex real-time PCR allows for a sensitive and fast detection of *Aspergillus*
65 species directly in BAL samples. More importantly, this assay detects and differentiates wildtype from
66 resistant strains even if BAL cultures remained negative.

67

68

69 **Introduction**

70

71 *Aspergillus fumigatus* is the most frequent cause of invasive mould infections in immunocompromised
72 patients. Its mortality varies substantially and depends on patient characteristics and the extent of
73 disease. Mortality in intensive care unit (ICU) patients with invasive aspergillosis (IA) can be as high
74 as 90% (1). In haematology patients, a relatively low mortality is observed when the diagnosis is made
75 early and treatment with voriconazole, the current standard of care (2), is initiated promptly (3). In
76 2002, the landmark study by Herbrecht *et al.* showed that treatment of IA with voriconazole resulted in
77 an improved survival (4). However, a series of recent publications described the appearance of azole
78 resistance in *A. fumigatus* (5-10). This resistance is caused by a mutation in the CYP51A gene of *A.*
79 *fumigatus* at position 98 (L98H) together with a 34-basepair tandem repeat (TR) in the promoter
80 region (TR34). CYP51A encodes for cytochrome p450 sterol 14 α -demethylase, the target of azoles.
81 The majority of these mutated strains were cultured from patients never exposed to azoles. It is
82 assumed that resistance development is caused by environmental azole exposure (11). More recently,
83 van der Linden *et al.* described a second mutation, a 46-basepair TR combined with the point
84 mutations Y121F and T289A (12). In this study, 47 of 921 patients (5.1%) were diagnosed with
85 TR34/L98H and 13 (1.4%) with the TR46/Y121F/T289A mutation. Occasionally, other mutations have
86 also been described (13-16). Infections with azole resistant strains are associated with a very high
87 mortality (17).

88

89 Currently, the absence of a non-culture based, fast and readily available azole susceptibility testing
90 method compromises the identification of azole resistance. This is a major limitation as the mortality of
91 IA increases substantially when the initiation of adequate therapy is delayed (18). Furthermore, most
92 *Aspergillus* infections are diagnosed indirectly using galactomannan (or beta 1-3 d-glucan) testing
93 because cultures remain negative in most patients. Therefore, even if culture based azole resistance
94 testing would become broadly available, this would only be helpful in a subset of patients.

95

96 This study describes the laboratory and first clinical validation of AsperGenius[®], a new *Aspergillus*
97 real-time polymerase chain reaction (PCR) that detects *Aspergillus* species directly from

- 98 bronchoalveolar lavage (BAL) and simultaneously identifies the most prevalent CYP51A mutations in
99 *A. fumigatus*.

100 **Methods**

101

102 This retrospective study was performed at the Erasmus Medical Centre in the Netherlands. The
103 following information was obtained: age, sex, mortality, underlying disease, reason for ICU admission,
104 hospital admission duration, presence and treatment of IA. For the *Aspergillus* PCR, we used stored
105 BAL samples of historical patients. BAL samples of haematological and ICU patients were selected
106 because these patients are at high risk for IA.

107

108 *Processing of BAL samples*

109

110 BAL samples from ICU patients (1-2 ml) were incubated with 0.1 M dithiothreitol (DTT) to reduce
111 viscosity. This was not needed for BAL samples from neutropenic haematology patients.
112 Subsequently, all BAL samples were centrifuged at high speed (10 min at 13400 g). After
113 centrifugation, the supernatant and the pellet were processed in different ways. The supernatant was
114 added to 2 ml NucliSENS[®] lysis buffer (BioMérieux, Boxtel, the Netherlands) and incubated for 10 min
115 at room temperature. An Internal Control (IC) was added to the BAL to monitor PCR inhibition, DNA-
116 extraction efficiency and manual handling errors. The pellet was transferred to green bead tubes
117 (Roche Diagnostics, Indianapolis, USA) and 500 µl NucliSENS[®] lysis buffer was added together with 5
118 µl of IC. The pellets were subsequently bead-beaten in a MagNA Lyser instrument (Roche
119 Diagnostics) for 45 sec at 6500 rpm. Proteinase K (Roche Diagnostics) was added and incubated for
120 10 min at 65 °C, and subsequently inactivated for 10 min at 95 °C. After centrifugation, the
121 supernatant of the lysed pellet suspension was transferred to a new tube. DNA from both supernatant
122 and pellet was extracted with the NucliSENS[®] miniMAG magnetic extraction (BioMérieux) according to
123 the manufacturer's instructions. The DNA from both the pellet and supernatant were tested separately.

124

125 *AsperGenius[®] multiplex real-time PCR assay*

126

127 The AsperGenius[®] multiplex real-time PCR assay (PathoNostics, Maastricht, the Netherlands) was
128 used for the detection of *Aspergillus* species and the identification of prevalent mutations conferring
129 resistance against triazoles. The AsperGenius[®] species multiplex assay allows for specific detection of

130 *A. fumigatus* complex, *A. terreus* and *Aspergillus* species by targeting the 28S rRNA multicopy gene.
131 The *A. fumigatus* probe detects the most relevant species of the *Fumigati* complex: *A. fumigatus*, *A.*
132 *lentulus*, *A. udagawae* and *A. viridinutans*. The *Aspergillus* species probe specifically detects *A.*
133 *fumigatus*, *A. terreus*, *A. flavus* and *A. niger*. In addition the *A. nidulans* could be detected based on
134 sequence information. Detection of the IC is included. The AsperGenius[®] resistance multiplex assay
135 targets the single copy CYP51A gene of *A. fumigatus* and detects TR34, L98H, Y121F and T289A to
136 differentiate wildtype from mutant *A. fumigatus* strains via melting curve analysis. The real-time PCR
137 was performed according to the manufacturer's instructions. Detection of four different fluorescent
138 labels (emission spectra: 495 nm, 530 nm, 598 nm, 645 nm) was enabled by using the Rotor-Gene Q
139 (Qiagen, Heidelberg, Germany) for all experiments. The real-time PCR assay was first validated on
140 DNA of 131 *A. fumigatus* cultures including resistant strains (Erasmus Medical Centre) before testing
141 BAL samples. These strains were identified to the species level on morphology and by sequencing of
142 the internal transcribed spacer region. Furthermore, the assay was tested for cross reactivity with
143 species selected on their prevalence in the respiratory tract and/or their genomic similarity. The
144 specificity was tested for the following species: *P. marneffeii*, *P. chrysogenum*, *Fusarium* species,
145 *Scedosporium* species, *R. oryzae*, *S. cerevisiae*, *C. neoformans*, *C. albicans*, *C. lusitanae*, *C. krusei*,
146 *C. dubliniensis*, *C. guilliermondii*, *C. tropicalis*, *C. glabrata*, *C. parapsilosis*, *P. jirovecii*, *B. pertussis*, *E.*
147 *coli*, *H. influenzae*, *M. catarrhalis*, *P. aeruginosa*, *S. aureus*, *S. pneumoniae*, *K. pneumoniae*, *C.*
148 *pneumoniae*, *L. pneumoniae*, *M. pneumoniae*.

149

150 Each extracted BAL sample was tested in duplicate and a no template control (blank) was included in
151 each run to exclude contamination. A sample was considered positive when one of the duplicates
152 showed increased fluorescence above the threshold. Synthetic single-stranded DNA targets (IDT,
153 Heverlee, Belgium) were included in the assay as a standard (positive control) for the melting peaks.
154 These positive control sequences were tested simultaneously with the BAL samples to determine if
155 the melting peak represents wildtype or resistant *A. fumigatus* strains.

156

157 *Gold standard*

158

159 BAL samples with a positive galactomannan (≥ 1.0) or positive BAL or sputum cultures for *Aspergillus*
160 were selected as the gold standard for positivity. True negatives were BAL samples with a negative
161 BAL galactomannan in combination with a negative culture from BAL or sputum. BAL samples with
162 only a positive serum galactomannan (≥ 0.5) were considered to be negative as there was no
163 microbiological evidence of the presence of *Aspergillus*.

164

165 *Classification of IA*

166

167 The European Organization for Research and Treatment of Cancer/Invasive Infectious Diseases
168 Study Mycoses Group (EORTC/MSG) criteria for IA were used to classify patients into *possible*,
169 *probable* or *proven* IA. A patient is considered to have **possible IA** if a new and otherwise
170 unexplained well-defined intrapulmonary nodule (with or without halo sign), an air-crescent sign, or a
171 cavity within an area of consolidations is radiologically documented in an immunocompromised host.
172 **Probable IA** is diagnosed when on top of these radiological findings microbiological proof of *A.*
173 *fumigatus* infection is documented by galactomannan antigen detection (Platelia™ Bio-Rad inc.) or
174 positive cultures of *A. fumigatus*. Galactomannan was considered positive in BAL fluid if ≥ 1.0 and in
175 serum when ≥ 0.5 . **Proven IA** is defined as histopathologic evidence of invasive mould infection and
176 microbiological proof of *A. fumigatus* infection. Immunocompromised patients who had a positive
177 galactomannan test but who could not be categorized into probable IA, because the radiology of the
178 lungs was non-specific, were referred as **non-classifiable IA**, a category not included in the EORTC-
179 MSG definitions. In clinical practice, these patients are treated similarly to patients with probable IA
180 because the outcome of these patients is comparable to patients with probable IA (19). Note that most
181 ICU patients with a clinical diagnosis of IA will fall into this non-classifiable category because in
182 contrast to the neutropenic patients, the findings on high-resolution computed tomography or chest X-
183 ray in ICU patients with IA is mostly non-specific (1).

184

185 *PCR cut-off analysis*

186

187 To determine the most appropriate *Aspergillus* PCR cut-off for clinically significant positivity, we first
188 analysed the cycle threshold (Ct) values of the *Aspergillus* PCR on 37 BAL samples of 34

189 haematological patients. Three patients in the haematology group underwent a BAL twice at different
190 times and therefore contributed two BAL samples each. The lowest Ct value of the pellet or
191 supernatant was used. The optimal cut-off was assessed with receiver operator characteristic (ROC)
192 curves. Areas under the curve (AUC) were estimated to determine discriminatory power (IBM® SPSS®
193 statistics, version 21). In a second set of 40 BAL samples from 39 ICU patients we confirmed the
194 usefulness of the cut-off that was obtained in the haematology population. The sensitivity, specificity,
195 positive and negative predictive values (PPV, NPV) were calculated for the two groups separately and
196 combined.

197 **Results**

198

199 The AsperGenius[®] multiplex real-time PCR assay was first tested on 131 *A. fumigatus* strains. Based
200 on the PCR assay, 17 resistant strains were identified which all carried the L98H/TR34 mutation.
201 These data were confirmed by sequencing the CYP51A regions. Furthermore, the specificity of the
202 assay was tested with species selected on their prevalence in the respiratory tract and/or their
203 genomic similarity. No cross-reactivity was observed for *the A. fumigatus*, *A. terreus* and the resistant
204 probes. Limited cross-reactivity was observed with the *Aspergillus* species probe for *R. oryzae* and *P.*
205 *chrysogenum* that resulted in false positive signals when using a 1000 times higher DNA load (50
206 picogram) than the *A. fumigatus* DNA load needed to get a PCR positive results with a CT of 36 (50
207 femtogram or 2 DNA copies/ml).

208

209 In the haematology and ICU groups combined, there were 22 BAL samples with proven, probable or
210 non-classifiable IA (table 1). More detailed information on the complete set of haematology and ICU
211 BAL samples can be found in the online supplement. There were three patients with non-classifiable
212 IA, one in the haematology group and two in the ICU group who had negative BAL galactomannan
213 and culture, but had a positive serum galactomannan. Because BAL galactomannan tests and
214 cultures were negative, there was no microbiological evidence that *Aspergillus* was present in these
215 BAL samples. Therefore, these three BAL samples were counted as negatives in the statistical
216 analysis.

217

218 The ROC curves for the different groups are shown in figure 1. The diagnostic accuracy as given by
219 the AUC for the haematology group was 0.92 (95% CI 0.79 – 1.00; p-value < 0.001). The AUC was
220 0.91 (95% CI 0.76 – 1.00; p-value < 0.001) for the ICU group and 0.91 (95% CI 0.81 – 1.00; p-value <
221 0.001) for the two groups combined. The most optimal Ct value cut-off for the *Aspergillus* species PCR
222 was < 36 for the 37 BAL samples of the haematology patients. Table 2 shows the IA classification
223 related to the Ct < 36 cut-off and table 3 shows the BAL samples according to the gold standard
224 related to the Ct < 36 cut-off. In the haematology group, the Ct < 36 cut-off resulted in a sensitivity,
225 specificity, PPV and NPV of 88.9%, 89.3%, 72.7% and 96.2%, respectively. In the ICU group (n=40),
226 the Ct < 36 cut-off value resulted in a sensitivity, specificity, PPV and NPV of 80.0%, 93.3%, 80.0%

227 and 83.3%, respectively. Therefore, the overall sensitivity, specificity, PPV and NPV was 84.2%,
228 91.4%, 76.2% and 94.6%, respectively.

229

230 In the haematology and ICU patients combined, 19 BAL samples of patients with proven, probable or
231 non-classifiable IA were identified based on the gold standard. From these 19 BAL samples, 16 had a
232 positive *Aspergillus* PCR (15 positive for both the pellet and supernatant, 1 positive for only the pellet).

233 Fourteen of the 16 BAL samples had a positive *A. fumigatus* PCR and the remaining two were
234 *Aspergillus* species. In all 14 positive *A. fumigatus* PCR BAL samples, the CYP51A resistance PCR

235 was successful. Twelve strains were determined as wildtype and two as mutant strains. One sample
236 had a TR46/Y121F/T289A mutation and the other had a TR34/L98H mutation. More information on

237 the melting curves of the mutant strains can be found in the online supplement. Both patients of these
238 BAL samples showed clinical failure of voriconazole therapy. The first patient was treated with

239 allogeneic stem cell transplantation for acute myeloid leukaemia. The patient died of culture positive
240 pulmonary and cerebral IA developed during voriconazole prophylaxis (3 days before he died, the

241 single serum voriconazole level was high at 8 mg/L). The second patient developed IA during
242 remission induction chemotherapy for acute myeloid leukaemia. The patient showed an increased

243 serum galactomannan level, progressive pulmonary infiltrates and pleural effusion (galactomannan
244 levels in pleural fluid were > 2.0) despite 14 days of therapeutic voriconazole serum levels (> 4 mg/L,

245 supplement figure S4). Cultures were repeatedly negative. The patient survived after surgical drainage
246 and 8 weeks of liposomal amphotericin-B combined with voriconazole.

247 **Discussion**

248

249 In this study, the AsperGenius[®] multiplex real-time PCR showed good performance characteristics for
250 the diagnosis of IA directly on clinical samples in 2 distinct patient populations at risk for this infection.

251 In addition to the fast and correct identification of *A. fumigatus*, this PCR simultaneously differentiated
252 azole susceptible from resistant strains. In contrast, current conventional microbiological tools for
253 susceptibility testing of *A. fumigatus* are rarely helpful because they are time-consuming, not widely
254 available and patients with IA are mostly culture negative. This multiplex real-time PCR assay tackles
255 these problems by enabling diagnosis within hours after a BAL sample is submitted to the laboratory.

256

257 In the last decade, the use of galactomannan testing and high-resolution computed tomography of the
258 lungs allowed for an early diagnosis of IA. Together with the availability of voriconazole as the
259 preferred therapy, this resulted in a major decrease in IA-related mortality (3, 20). However, now that
260 azole resistance in *A. fumigatus* is increasing (5-10), the availability of azole resistance testing with a
261 short turn-around time is critical to secure this improved survival in patients with IA. The PCR that was
262 validated in this study can serve this purpose. In accordance with the PCR results, patients can be
263 switched to other non-azole antifungal therapy in an early phase and not when patients clinically
264 deteriorate.

265

266 The ROC curves showed that the most optimal Ct value cut-off was 36. This cut-off was comparable
267 to the cut-off described for the 2 *Aspergillus* PCR assays tested by Torelli *et al.* (21). Moreover, these
268 PCR assays were validated on BAL samples of haematology and ICU patients, the same subset of
269 patients as in the present study. Given these observations, the 36 Ct value cut-off is probably
270 accurate.

271

272 In the haematology group, there were one false negative and two false positive *Aspergillus* PCR
273 results when compared with the gold standard. The false negative result was in a BAL sample of a
274 patient with non-classifiable IA (patient no. 5 in table 1). The lung abnormalities could also be a side
275 effect of the chemotherapy that the patient received for her acute promyelocytic leukaemia. It is
276 possible that this patient did not have IA and that the galactomannan of 1.1 in BAL was false positive.

277 The two false positive *Aspergillus* PCR BAL samples were from patients with possible IA. The BAL
278 galactomannan of the first patient was 0.7 and of the second was 0.4. The first patient was treated
279 with antifungal therapy because there was no alternative diagnosis. In the second patient, a lung
280 biopsy was performed that showed an organizing pneumonia without signs for a fungal infection. As
281 with every diagnostic test, there is no galactomannan cut-off with a 100% diagnostic accuracy. A BAL
282 galactomannan cut-off of 0.5 has an increased sensitivity but a somewhat lower specificity (22). For
283 PCR validation purpose of this study, we considered a higher specificity more important and therefore
284 we selected the 1.0 galactomannan cut-off as the gold standard.

285

286 The PCR assay was performed on DNA extracted from both the pellet and the supernatant of the BAL.
287 The DNA extraction from the pellet is more labour intensive than the extraction of free-circulating DNA
288 from the supernatant. Therefore, it is reassuring that 15 of 16 supernatants were PCR positive. In the
289 remaining patient only the PCR of the DNA extracted from the pellet was positive (Ct value < 36). In
290 this particular patient, the PCR positivity of the pellet was corroborated by a positive sputum culture.
291 Therefore, it may be reasonable and more time efficient to first test the supernatant and only test the
292 pellet if the supernatant is negative in patients with a positive *Aspergillus* culture, a positive
293 galactomannan, or a very high clinical suspicion.

294

295 The *Aspergillus* species probe showed cross-reactivity for the *P. chrysogenum* and *R. oryzae*. *P.*
296 *chrysogenum* is rarely pathogenic in humans. *R. oryzae* can cause comparable symptoms as invasive
297 aspergillosis, but the clinical prevalence is low. Furthermore, for both these species, a 1000 times
298 higher load of DNA was needed to get a Ct-value result of 35 with the *Aspergillus* species probe.
299 Thus, we believe that these species will not compromise the performance of the PCR.

300

301 This study has also some limitations. The validation was performed on readily available leftover BAL
302 fluids from historical patients. At the clinical microbiology laboratory of the Erasmus Medical Centre, all
303 superfluous BAL fluids are stored at -20 °C for future research purposes. Therefore, no selection bias
304 occurred during storage of the samples. Nevertheless, the results of this study should be confirmed on
305 a larger sample set from different hospitals and ideally prospectively collected across different
306 countries. Another limitation is the fact that only the CYP51A mutations that are included in the PCR,

307 will be detected. As such, this PCR will not replace culture based sensitivity testing and when this
308 PCR is used, the results should be interpreted in the epidemiological context. Finally, the diagnostic
309 characteristics of every test and in particular the PPV will depend on the background incidence of the
310 population tested. Therefore, the PPV and NPV we describe may be different in other patient
311 populations.

312

313 When confirmed in a larger study, this PCR may be incorporated in the EORTC-MSG criteria. The
314 *Aspergillus* PCR could be used in combination with galactomannan testing as it provides information
315 on the *Aspergillus* species involved and azole resistance.

316

317 In conclusion, this new multiplex real-time PCR allows for a sensitive and fast detection of *Aspergillus*.
318 Furthermore, it can differentiate wildtype from resistant strains even on culture negative BAL samples.
319 This enables on-time and targeted therapy in IA-patients.

320 **Acknowledgement**

321 The authors want to thank Jacques Meis and Ferry Hagen for providing azole resistant *A. fumigatus*
322 strains.

323

324 **Funding**

325 This work was supported by a grant of the Pieken in de Delta programme from Agentschap NL (PID
326 102028), the Netherlands.

327

328 **Conflict of interests**

329 GJHD, GRG, DWET and GFMS are employees of PathoNostics B.V.

330

331 **Meeting where the information has been presented**

332 Part of this work were presented at the following conference:

- 333 – 24th European Congress of Clinical Microbiology and Infectious Diseases (ECCMID),
334 Barcelona, 10 – 13th of May 2014; Title “A new diagnostic assay for the detection of
335 *Aspergillus fumigatus* and its resistance pattern by real-time PCR”, number 0216.

336

337 **Corresponding author:**

338 Ga-Lai M. Chong, MD.

339 Department of Internal Medicine, Infectious Diseases.

340 Erasmus Medical Centre, Rotterdam.

341 Room Z-840, PB2040, 3000CA Rotterdam, the Netherlands.

342 g.chong@erasmusmc.nl

343 Fax.0031107035945

344 Tel.0031644533371.

345

346

347 **References**

- 348 1. **Meersseman, W., S. J. Vandecasteele, A. Wilmer, E. Verbeken, W. E. Peetermans, and E.**
349 **Van Wijngaerden.** 2004. Invasive aspergillosis in critically ill patients without malignancy. *Am*
350 *J Respir Crit Care Med* **170**:621-5.
- 351 2. **Walsh, T. J., E. J. Anaissie, D. W. Denning, R. Herbrecht, D. P. Kontoyiannis, K. A. Marr,**
352 **V. A. Morrison, B. H. Segal, W. J. Steinbach, D. A. Stevens, J. A. van Burik, J. R.**
353 **Wingard, T. F. Patterson, and A. Infectious Diseases Society of.** 2008. Treatment of
354 aspergillosis: clinical practice guidelines of the Infectious Diseases Society of America. *Clin*
355 *Infect Dis* **46**:327-60.
- 356 3. **Slobbe, L., S. Polinder, J. K. Doorduyn, P. J. Lugtenburg, A. el Barzouhi, E. W.**
357 **Steyerberg, and B. J. Rijnders.** 2008. Outcome and medical costs of patients with invasive
358 aspergillosis and acute myelogenous leukemia-myelodysplastic syndrome treated with
359 intensive chemotherapy: an observational study. *Clin Infect Dis* **47**:1507-12.
- 360 4. **Herbrecht, R., D. W. Denning, T. F. Patterson, J. E. Bennett, R. E. Greene, J. W.**
361 **Oestmann, W. V. Kern, K. A. Marr, P. Ribaud, O. Lortholary, R. Sylvester, R. H. Rubin, J.**
362 **R. Wingard, P. Stark, C. Durand, D. Caillot, E. Thiel, P. H. Chandrasekar, M. R. Hodges,**
363 **H. T. Schlamm, P. F. Troke, B. de Pauw, R. Invasive Fungal Infections Group of the**
364 **European Organisation for, C. Treatment of, and G. the Global Aspergillus Study.** 2002.
365 Voriconazole versus amphotericin B for primary therapy of invasive aspergillosis. *N Engl J*
366 *Med* **347**:408-15.
- 367 5. **Warris, A., C. M. Weemaes, and P. E. Verweij.** 2002. Multidrug resistance in *Aspergillus*
368 *fumigatus*. *N Engl J Med* **347**:2173-4.
- 369 6. **Mellado, E., G. Garcia-Effron, L. Alcazar-Fuoli, W. J. Melchers, P. E. Verweij, M. Cuenca-**
370 **Estrella, and J. L. Rodriguez-Tudela.** 2007. A new *Aspergillus fumigatus* resistance
371 mechanism conferring in vitro cross-resistance to azole antifungals involves a combination of
372 *cyp51A* alterations. *Antimicrob Agents Chemother* **51**:1897-904.
- 373 7. **Verweij, P. E., E. Mellado, and W. J. Melchers.** 2007. Multiple-triazole-resistant
374 aspergillosis. *N Engl J Med* **356**:1481-3.
- 375 8. **Rocchi, S., E. Daguindau, F. Grenouillet, E. Deconinck, A. P. Bellanger, D. Garcia-**
376 **Hermoso, S. Bretagne, G. Reboux, and L. Millon.** 2014. Azole-resistant *Aspergillus*

- 377 fumigatus isolate with the TR34/L98H mutation in both a fungicide-sprayed field and the lung
378 of a hematopoietic stem cell transplant recipient with invasive aspergillosis. *J Clin Microbiol*
379 **52**:1724-6.
- 380 9. **Bader, O., M. Weig, U. Reichard, R. Lugert, M. Kuhns, M. Christner, J. Held, S. Peter, U.**
381 **Schumacher, D. Buchheidt, K. Tintelnot, U. Gross, and D. P. MykoLabNet.** 2013. cyp51A-
382 Based mechanisms of *Aspergillus fumigatus* azole drug resistance present in clinical samples
383 from Germany. *Antimicrob Agents Chemother* **57**:3513-7.
- 384 10. **Fischer, J., S. van Koningsbruggen-Rietschel, E. Rietschel, M. J. Vehreschild, H.**
385 **Wisplinghoff, M. Kronke, and A. Hamprecht.** 2014. Prevalence and molecular
386 characterization of azole resistance in *Aspergillus* spp. isolates from German cystic fibrosis
387 patients. *J Antimicrob Chemother* **69**:1533-6.
- 388 11. **Snelders, E., R. A. Huis In 't Veld, A. J. Rijs, G. H. Kema, W. J. Melchers, and P. E.**
389 **Verweij.** 2009. Possible environmental origin of resistance of *Aspergillus fumigatus* to medical
390 triazoles. *Appl Environ Microbiol* **75**:4053-7.
- 391 12. **van der Linden, J. W., S. M. Camps, G. A. Kampinga, J. P. Arends, Y. J. Debets-**
392 **Ossenkopp, P. J. Haas, B. J. Rijnders, E. J. Kuijper, F. H. van Tiel, J. Varga, A.**
393 **Karawajczyk, J. Zoll, W. J. Melchers, and P. E. Verweij.** 2013. Aspergillosis due to
394 voriconazole highly resistant *Aspergillus fumigatus* and recovery of genetically related
395 resistant isolates from domiciles. *Clin Infect Dis* **57**:513-20.
- 396 13. **Escribano, P., T. Pelaez, P. Munoz, E. Bouza, and J. Guinea.** 2013. Is azole resistance in
397 *Aspergillus fumigatus* a problem in Spain? *Antimicrob Agents Chemother* **57**:2815-20.
- 398 14. **Alanio, A., E. Sitterle, M. Liance, C. Farrugia, F. Foulet, F. Botterel, Y. Hicheri, C.**
399 **Cordonnier, J. M. Costa, and S. Bretagne.** 2011. Low prevalence of resistance to azoles in
400 *Aspergillus fumigatus* in a French cohort of patients treated for haematological malignancies. *J*
401 *Antimicrob Chemother* **66**:371-4.
- 402 15. **Mortensen, K. L., R. H. Jensen, H. K. Johansen, M. Skov, T. Pressler, S. J. Howard, H.**
403 **Leatherbarrow, E. Mellado, and M. C. Arendrup.** 2011. *Aspergillus* species and other molds
404 in respiratory samples from patients with cystic fibrosis: a laboratory-based study with focus
405 on *Aspergillus fumigatus* azole resistance. *J Clin Microbiol* **49**:2243-51.

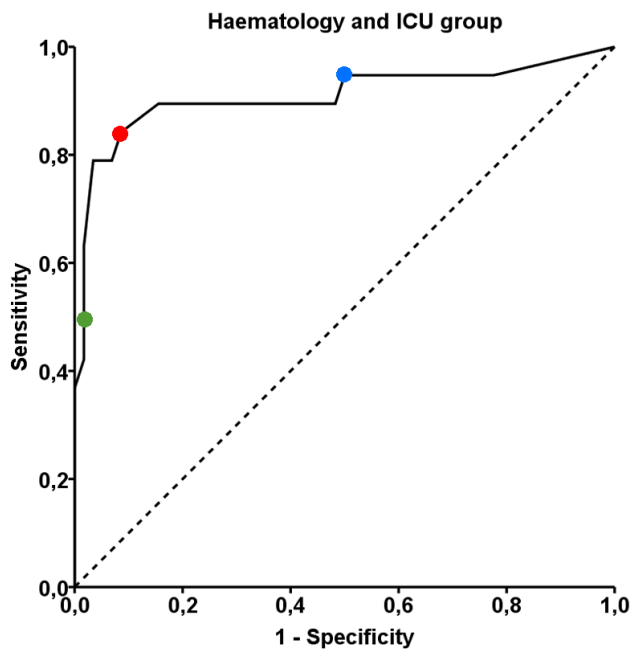
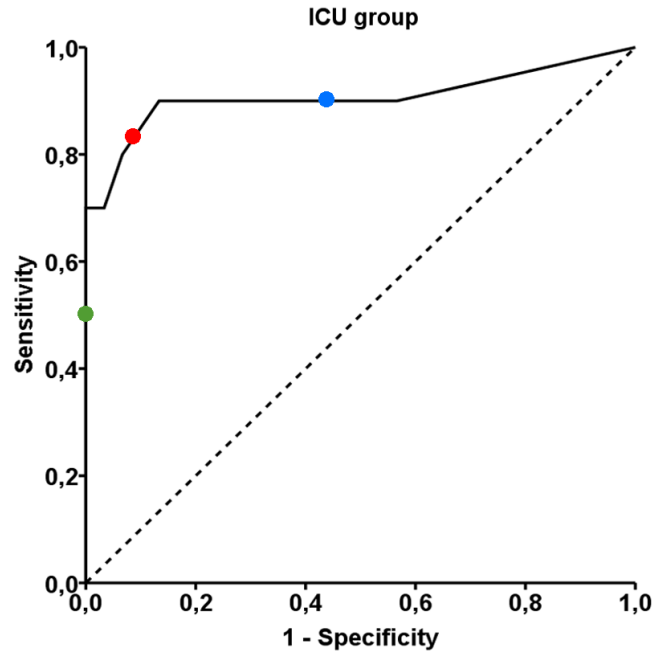
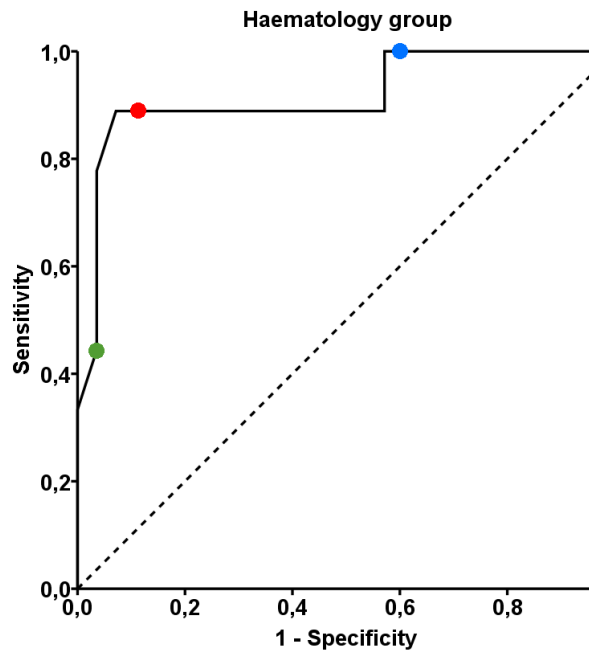
- 406 16. **Zhao, Y., C. R. Stensvold, D. S. Perlin, and M. C. Arendrup.** 2013. Azole resistance in
407 *Aspergillus fumigatus* from bronchoalveolar lavage fluid samples of patients with chronic
408 diseases. *J Antimicrob Chemother* **68**:1497-504.
- 409 17. **van der Linden, J. W., E. Snelders, G. A. Kampinga, B. J. Rijnders, E. Mattsson, Y. J.**
410 **Debets-Ossenkopp, E. J. Kuijper, F. H. Van Tiel, W. J. Melchers, and P. E. Verweij.** 2011.
411 Clinical implications of azole resistance in *Aspergillus fumigatus*, The Netherlands, 2007-2009.
412 *Emerg Infect Dis* **17**:1846-54.
- 413 18. **Lin, S. J., J. Schranz, and S. M. Teutsch.** 2001. Aspergillosis case-fatality rate: systematic
414 review of the literature. *Clin Infect Dis* **32**:358-66.
- 415 19. **Nucci, M., S. A. Nouer, M. Graziutti, N. S. Kumar, B. Barlogie, and E. Anaissie.** 2010.
416 Probable invasive aspergillosis without prespecified radiologic findings: proposal for inclusion
417 of a new category of aspergillosis and implications for studying novel therapies. *Clin Infect Dis*
418 **51**:1273-80.
- 419 20. **Neofytos, D., D. Horn, E. Anaissie, W. Steinbach, A. Olyaei, J. Fishman, M. Pfaller, C.**
420 **Chang, K. Webster, and K. Marr.** 2009. Epidemiology and outcome of invasive fungal
421 infection in adult hematopoietic stem cell transplant recipients: analysis of Multicenter
422 Prospective Antifungal Therapy (PATH) Alliance registry. *Clin Infect Dis* **48**:265-73.
- 423 21. **Torelli, R., M. Sanguinetti, A. Moody, L. Pagano, M. Caira, E. De Carolis, L. Fuso, G. De**
424 **Pascale, G. Bello, M. Antonelli, G. Fadda, and B. Posteraro.** 2011. Diagnosis of invasive
425 aspergillosis by a commercial real-time PCR assay for *Aspergillus* DNA in bronchoalveolar
426 lavage fluid samples from high-risk patients compared to a galactomannan enzyme
427 immunoassay. *J Clin Microbiol* **49**:4273-8.
- 428 22. **Maertens, J., V. Maertens, K. Theunissen, W. Meersseman, P. Meersseman, S. Meers, E.**
429 **Verbeken, G. Verhoef, J. Van Eldere, and K. Lagrou.** 2009. Bronchoalveolar lavage fluid
430 galactomannan for the diagnosis of invasive pulmonary aspergillosis in patients with
431 hematologic diseases. *Clin Infect Dis* **49**:1688-93.
- 432
- 433

434 **Legend of figure**

435

436 **Figure 1. Receiver operator characteristic curves of AsperGenius[®] species multiplex real-time**
437 **polymerase chain reaction (PCR) in bronchoalveolar lavage in the haematology, intensive care**
438 **(ICU) group and combined.**

439



- Aspergenius® species multiplex PCR
- - - No discrimination
- Cycle threshold value 30
- Cycle threshold value 35
- Cycle threshold value 40

Table 1. Clinical characteristics, radiological findings and results of the galactomannan and AsperGenius[®] multiplex real-time polymerase chain reaction (PCR) for 22 bronchoalveolar lavage (BAL) samples of patients with proven, probable and non-classifiable invasive aspergillosis (IA).

Patient no.	Clinical setting ^a	IA diagnosis ^b	Underlying disease/host factor(s) ^c	Radiological findings	BAL or sputum culture ^d	Pathology ^e	Galactomannan level		AsperGenius [®] multiplex real-time PCR ^f				CYP51A PCR
							Serum	BAL	Ct value of <i>Aspergillus</i> species PCR		Ct value of <i>A. fumigatus</i> PCR		
									Supernatant	Pellet	Supernatant	Pellet	
1	HAEM	Probable	MM, allogeneic HSCT	Specific	Pos	NA	0.2	1.5	Pos (29)	Pos (28)	Pos (29)	Pos (29)	WT
2	HAEM	Probable	AML	Specific	Neg	NA	NA	1.3	Pos (32)	Pos (34)	Pos (33)	Pos (35)	WT
3	HAEM	Probable	AML	Specific	Pos	NA	0.3	0.2	Pos (33)	Pos (27)	Pos (33)	Pos (28)	WT
4	HAEM	Probable	AML	Specific	Neg	NA	1.0	7.1	Pos (29)	Pos (31)	Pos (30)	Pos (32)	WT
5	HAEM	Non-classifiable	APL	Not specific	Neg	NA	0.1	1.1	Neg	Neg (39)	Neg	Neg	
6	HAEM	Probable	AML	Specific	Neg	Neg	0.4	2.0	Pos (31)	Pos (32)	Pos (33)	Pos (33)	TR34/L98H
7	HAEM	Non-classifiable	CLL, allogeneic HSCT	Not specific	Pos	NA	1.3	5.1	Pos (27)	Pos (27)	Pos (29)	Pos (29)	WT
8	HAEM	Probable	MM, allogeneic HSCT	Specific	Neg	NA	0.9	5.7	Pos (33)	Pos (35)	Neg (39)	Neg (42)	
9	HAEM	Non-classifiable	AML	Not specific	Neg	NA	0.6	0.1	Neg	Pos (34)	Neg	Neg	
10	HAEM	Non-classifiable	CLL	Not specific	Pos	NA	0.1	1.3	Pos (35)	Pos (32)	Neg (38)	Pos (34)	WT
11	ICU	Proven	Lung transplantation	Specific	Pos	Pos	NA	0.4	Neg (42)	Pos (35)	Neg	Neg (38)	
12	ICU	Non-classifiable	AML	Not specific	Neg	NA	0.1	1.6	Neg	Neg	Neg	Neg	
13	ICU	Probable	HL, allogeneic SCT	Specific ^g	Pos	NA	7.2	7.5	Pos (31)	Pos (23)	Pos (31)	Pos (24)	TR46/Y121F/T289A
14	ICU	Non-classifiable	Liver cirrhosis	Not specific	Neg	NA	0.6	0.3	Neg	Neg	Neg	Neg	
15	ICU	Non-classifiable	Lung transplantation	Not specific	Neg	Neg	0.1	1.2	Pos (31)	Pos (30)	Pos (32)	Pos (32)	WT
16	ICU	Proven	Dermatomyositis	Not specific	Neg	Pos	0.8	5.0	Pos (29)	Pos (27)	Pos (30)	Pos (29)	WT
17	ICU	Non-classifiable	HIV	Not specific	Pos	NA	NA	0.2	Pos (33)	Pos (33)	Neg	Pos (35)	WT
18	ICU	Probable	Dermatomyositis	Specific	Neg	NA	NA	5.9	Neg (36)	Neg (36)	Neg	Neg (40)	
19	ICU	Probable	Dermatomyositis	Specific	Neg	NA	5.2	5.9	Pos (33)	Pos (34)	Pos (35)	Neg (38)	WT
20	ICU	Non-classifiable	AML	Not specific	Neg	NA	8.1	0.2	Neg	Neg (37)	Neg	Neg	
21	ICU	Non-classifiable	Vasculitis	Not specific	Pos	NA	NA	6.5	Pos (26)	Pos (24)	Pos (26)	Pos (25)	WT
22	ICU	Non-classifiable	MM	Not specific	Pos	NA	0.3	22.7	Pos (32)	Pos (26)	Pos (34)	Pos (27)	WT

^a HAEM, haematology. ICU, intensive care unit.

^b IA, invasive aspergillosis.

^c MM, multiple myeloma. HSCT, haematopoietic stem cell transplantation. AML, acute myeloid leukaemia. APL, acute promyelocytic leukaemia. CLL, chronic lymphatic leukaemia. HL, Hodgkin lymphoma.

^d Pos, positive. Neg, negative.

^e NA, not available.

^f WT, wildtype. Ct, cycle threshold. Ct value < 36 was considered positive and Ct value ≥ 36 negative.

^g No specific radiological findings in the lung, but specific cerebral findings on magnetic resonance imaging.

Table 2. Epidemiological classification of invasive aspergillosis (IA) related to cycle threshold (Ct) cut-off of 36.

Classification of IA	Haematology group			ICU group		
	BAL sample n = 37			BAL samples n = 40		
	Ct < 36 cut-off	Ct ≥ 36 cut-off	Total	Ct < 36 cut-off	Ct ≥ 36 cut-off	Total
Proven IA	0	0	0	2	0	2
Probable IA	6	0	6	2	1	3
Non-classifiable IA	3	1	4	4	3	7
Possible	2	1	3	0	5	5
No IA	0	24	24	2	21	23

Note: BAL, bronchoalveolar lavage. ICU, intensive care unit.

Table 3. Bronchoalveolar lavage (BAL) samples with positive galactomannan or cultures according cycle threshold (Ct) cut-off of 36.

BAL samples	Haematology group			ICU group		
	BAL sample n = 37			BAL samples n = 40		
	Ct cut-off < 36	Ct cut-off ≥ 36	Total	Ct cut-off < 36	Ct cut-off ≥ 36	Total
BAL samples with positive galactomannan ≥ 1.0 and/or with positive culture	8	1	9	8	2	10
BAL samples with negative galactomannan < 1.0 and negative culture	3	25	28	2	28	30

Note: ICU, intensive care unit