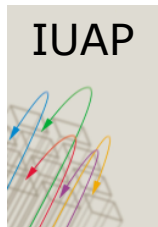


Transcranial Direct Current Stimulation (tDCS) in patients with disorders of consciousness

THIBAUT Aurore
PhD Candidate
Coma Science Group
Cyclotron Research Centre
University of Liège, Belgium

Annual IUAP meeting, May 22, 2014

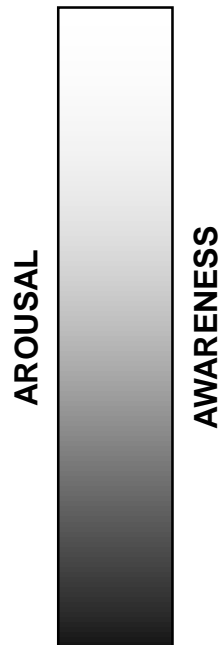


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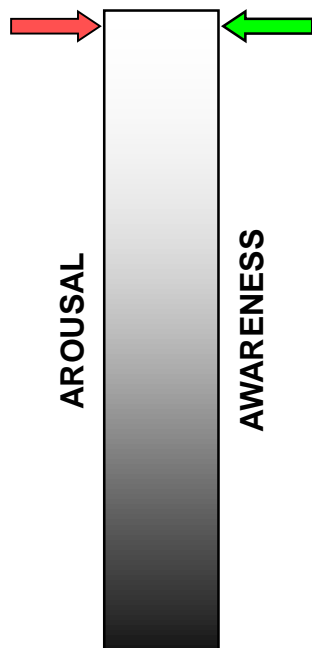
Disorders of consciousness

NORMAL CONSCIOUSNESS



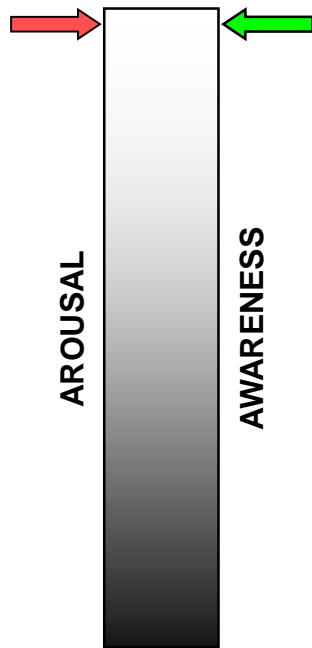
Disorders of consciousness

NORMAL CONSCIOUSNESS

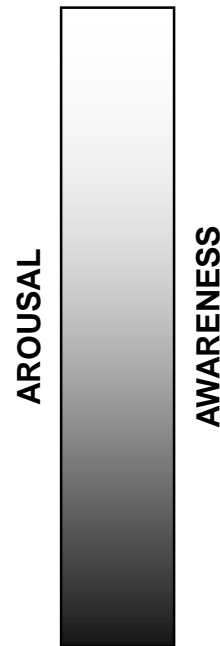


Disorders of consciousness

NORMAL CONSCIOUSNESS

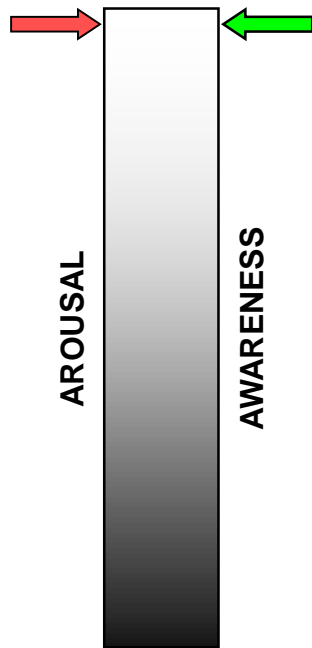


COMA

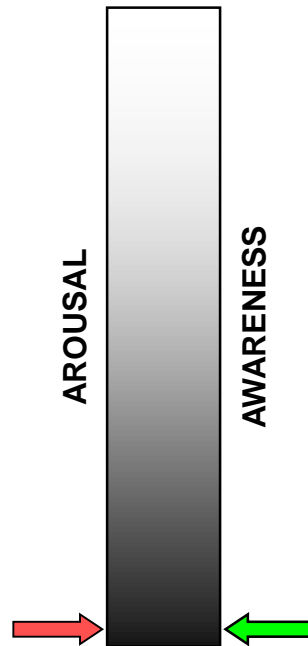


Disorders of consciousness

NORMAL CONSCIOUSNESS

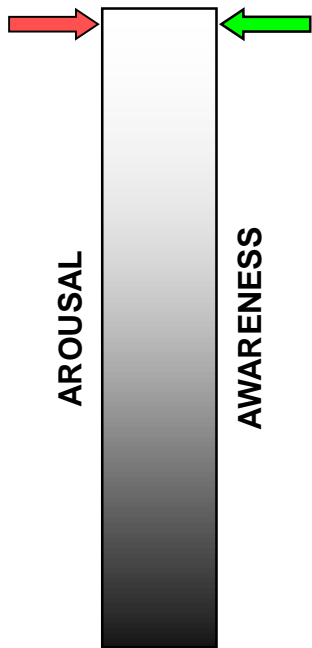


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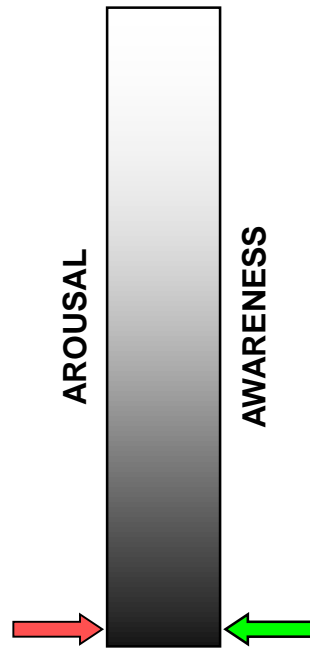


Disorders of consciousness

**NORMAL
CONSCIOUSNESS**



COMA

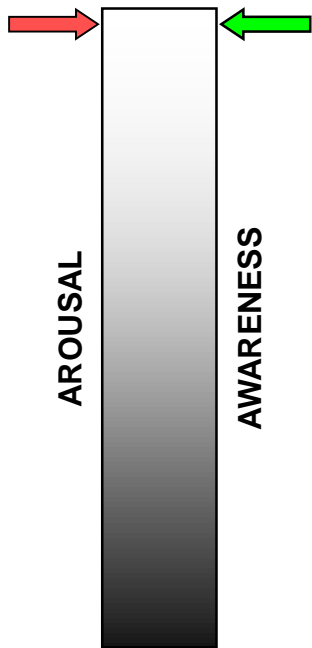


**VEGETATIVE STATE/
UNRESPONSIVE
WAKEFULNESS
SYNDROME**

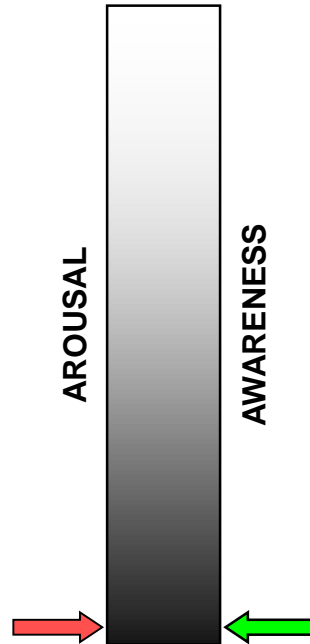


Disorders of consciousness

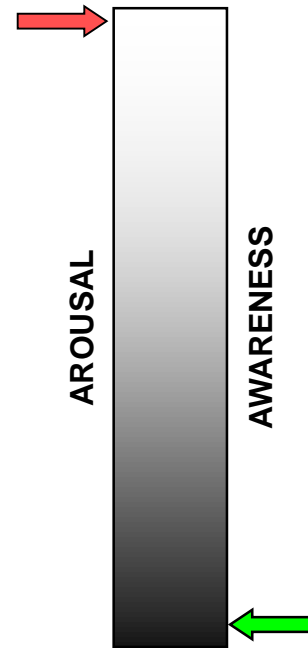
NORMAL CONSCIOUSNESS



COMA

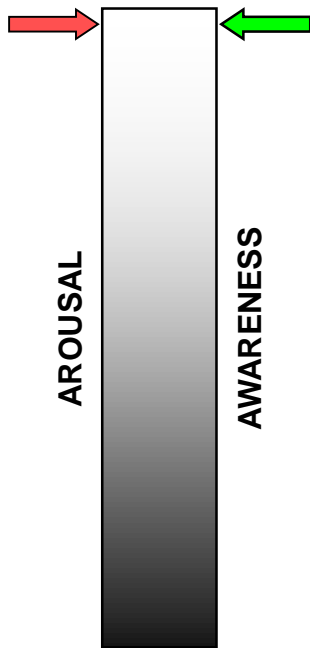


VEGETATIVE STATE/ UNRESPONSIVE WAKEFULNESS SYNDROME

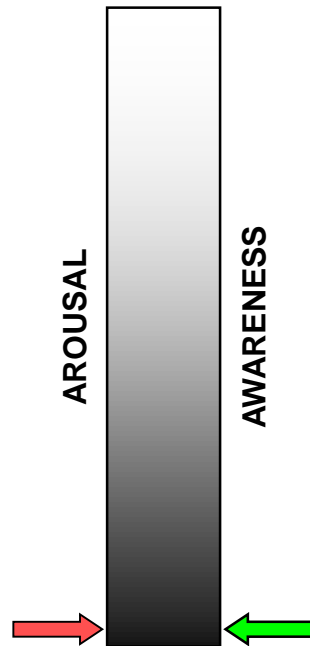


Disorders of consciousness

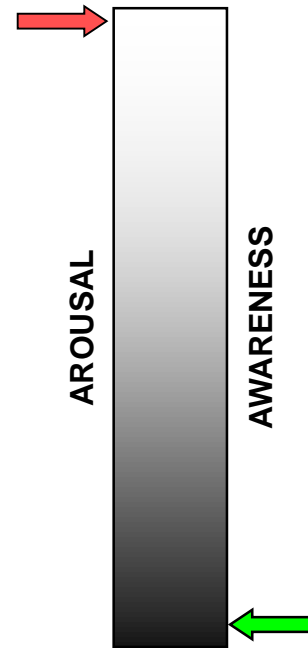
NORMAL CONSCIOUSNESS



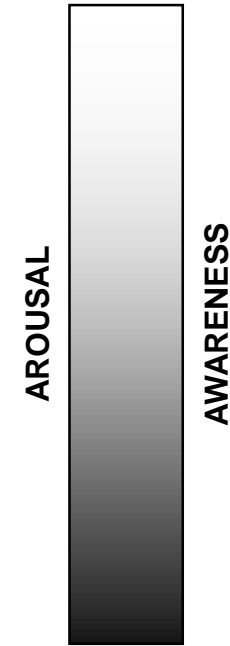
COMA



VEGETATIVE STATE/ UNRESPONSIVE WAKEFULNESS SYNDROME

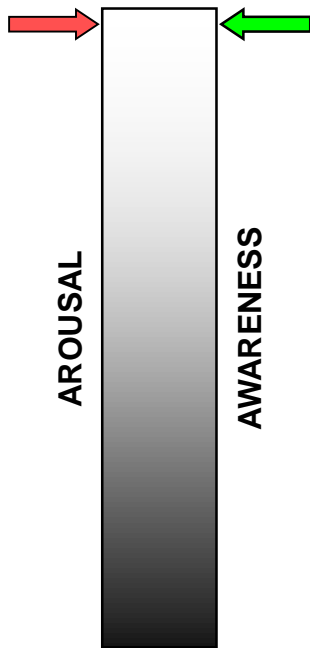


MINIMALLY CONSCIOUS STATE

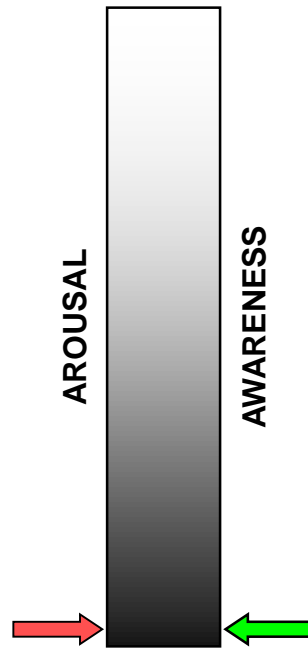


Disorders of consciousness

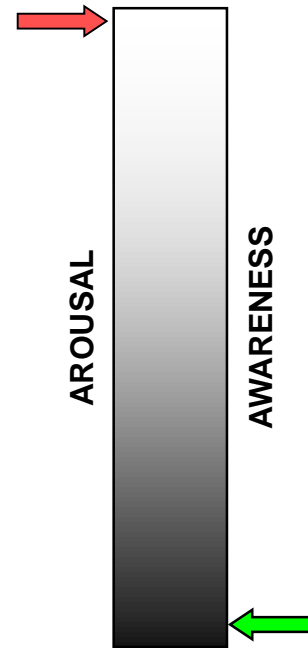
NORMAL CONSCIOUSNESS



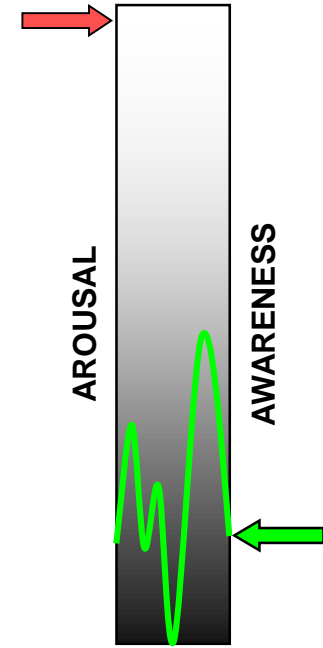
COMA



VEGETATIVE STATE/ UNRESPONSIVE WAKEFULNESS SYNDROME



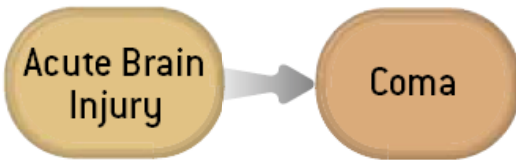
MINIMALLY CONSCIOUS STATE



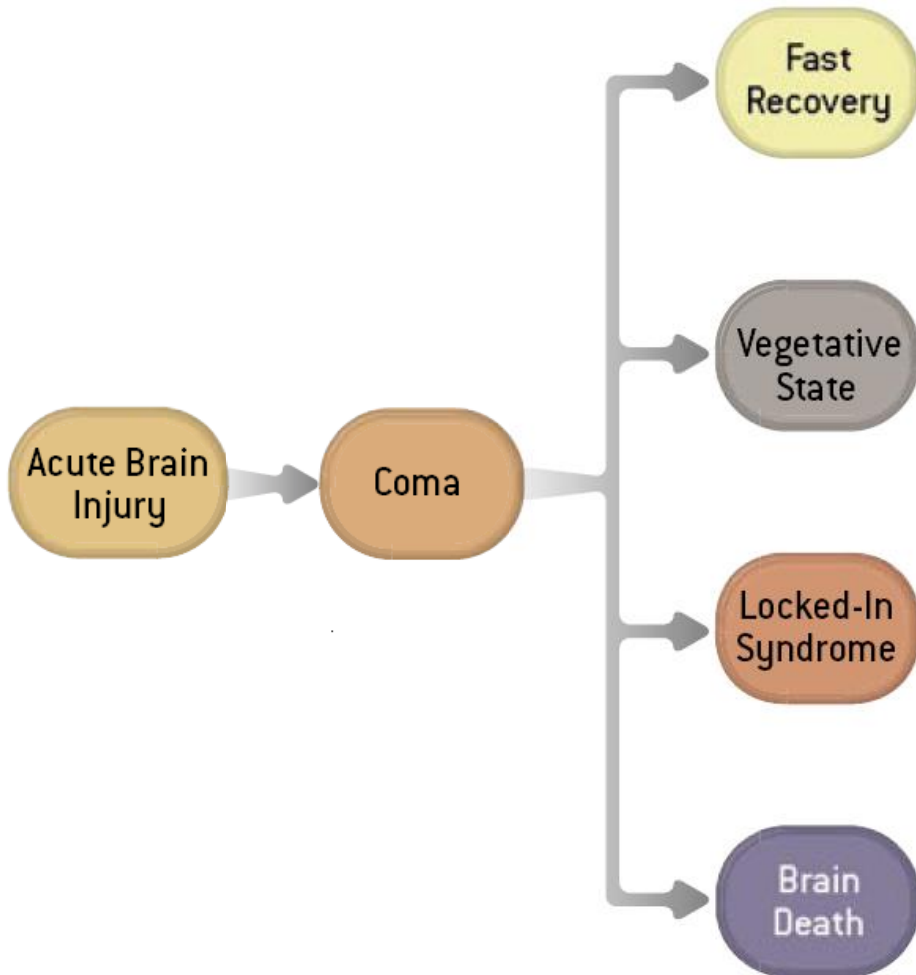
Clinical entities

Acute Brain
Injury

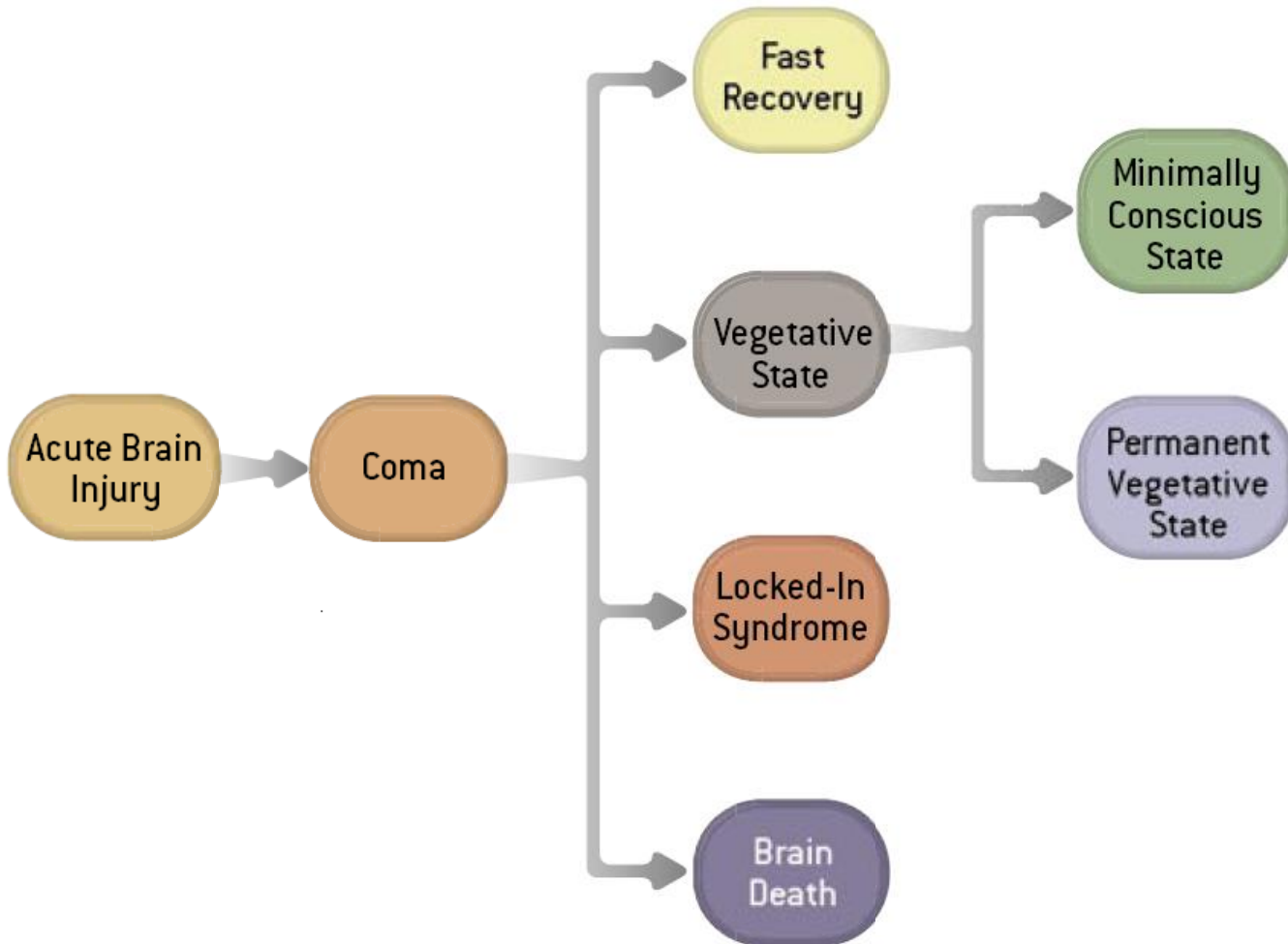
Clinical entities



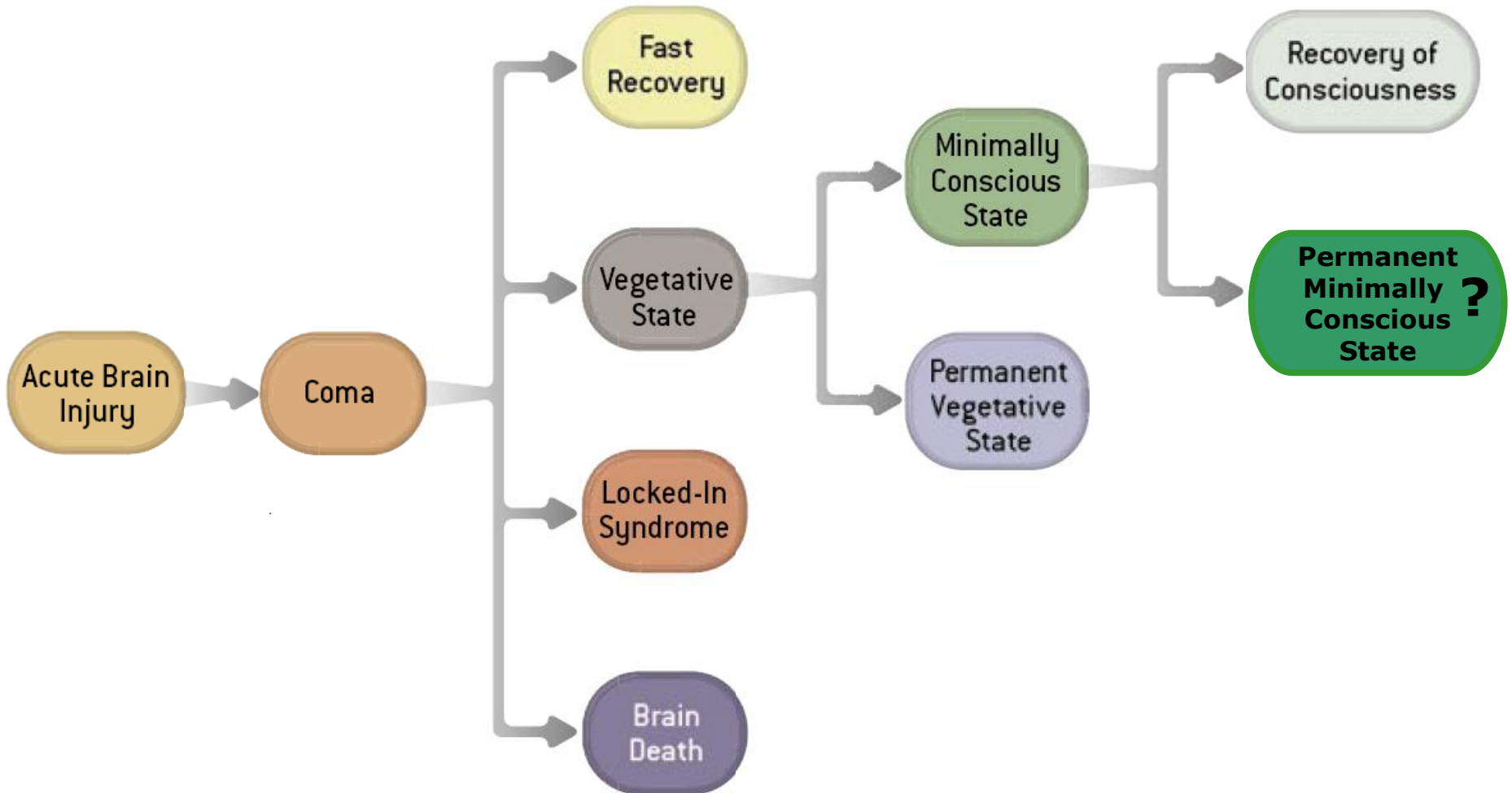
Clinical entities



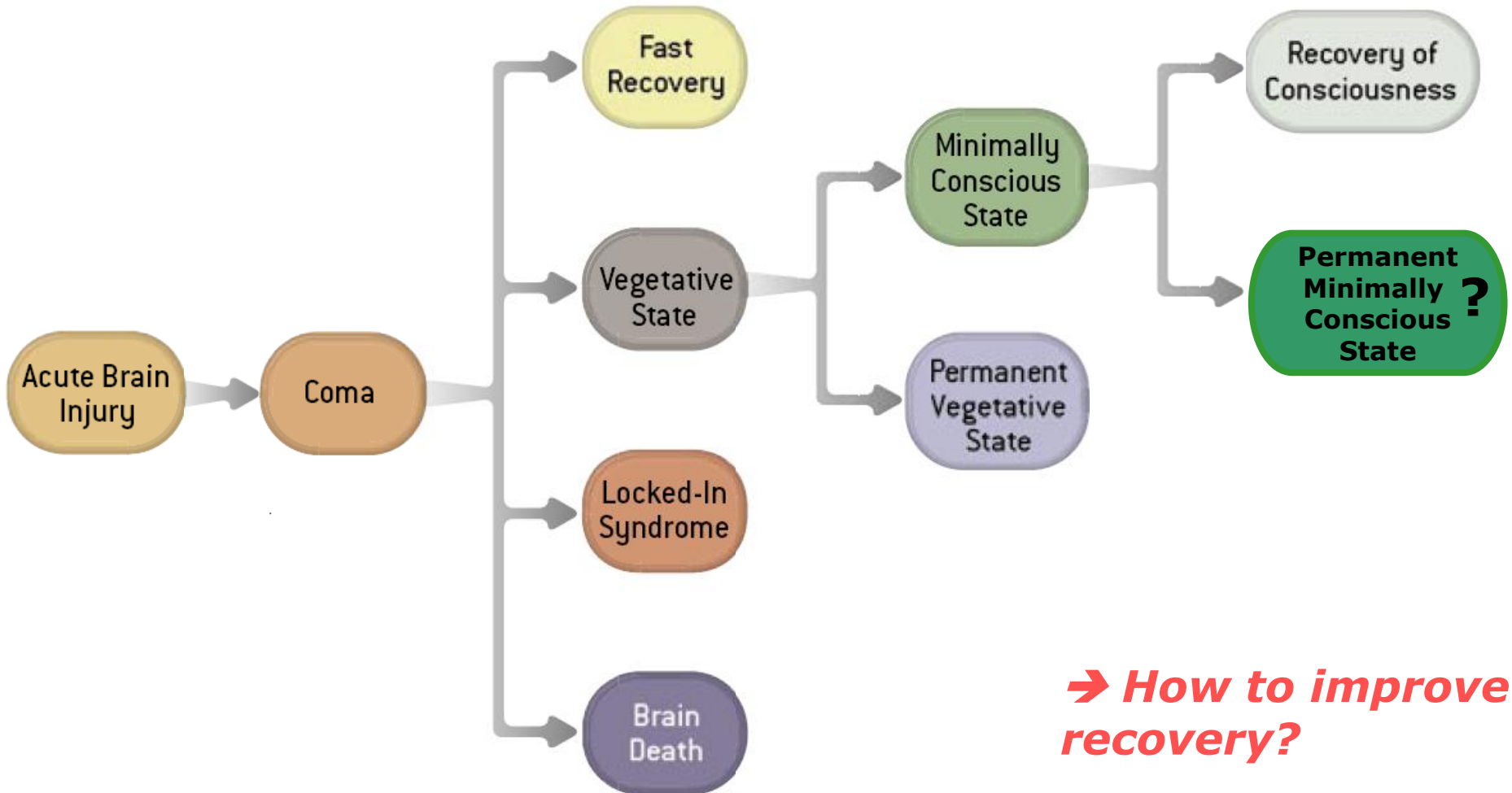
Clinical entities



Clinical entities



Clinical entities

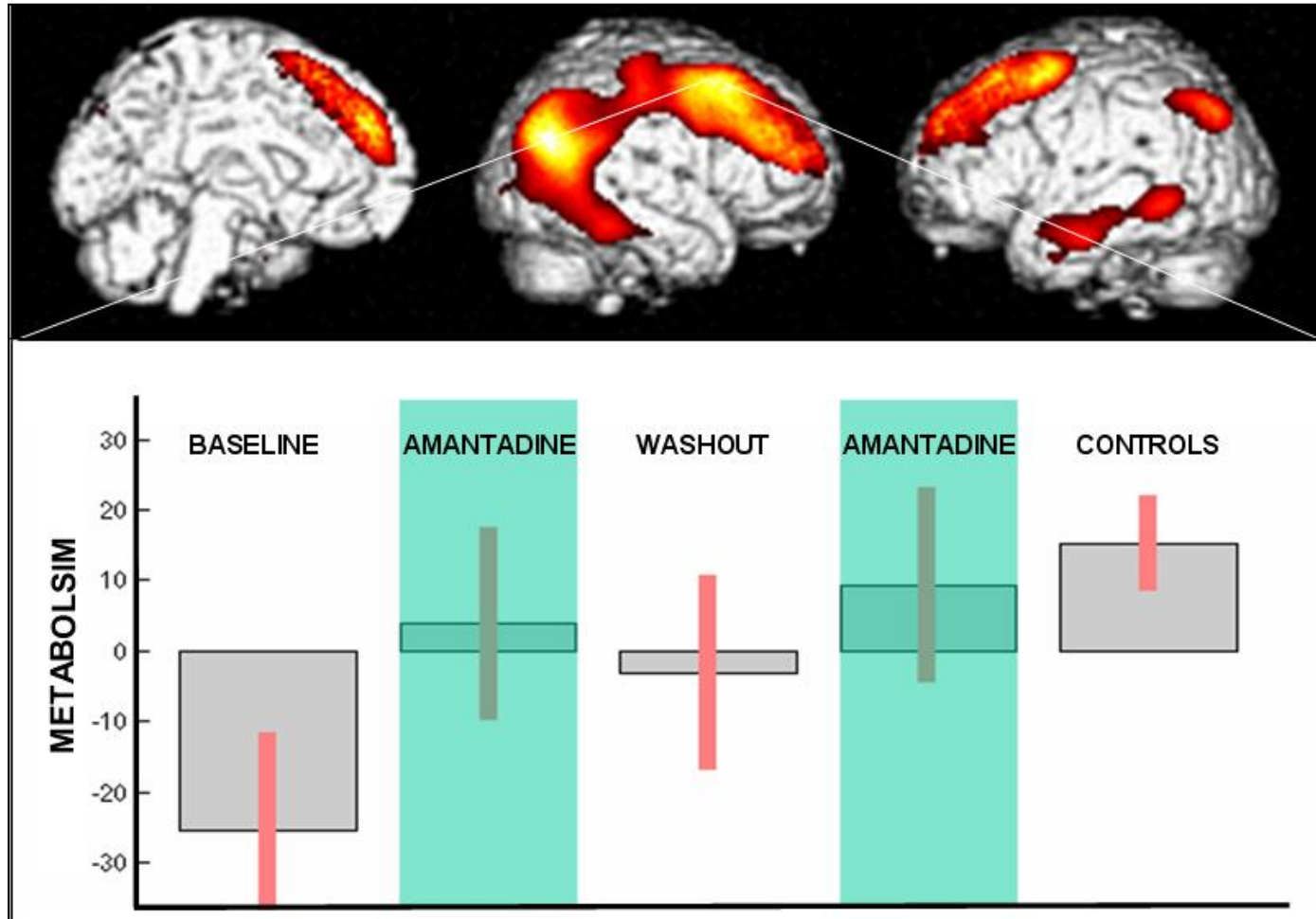


→ How to improve recovery?

Pharmacological treatments

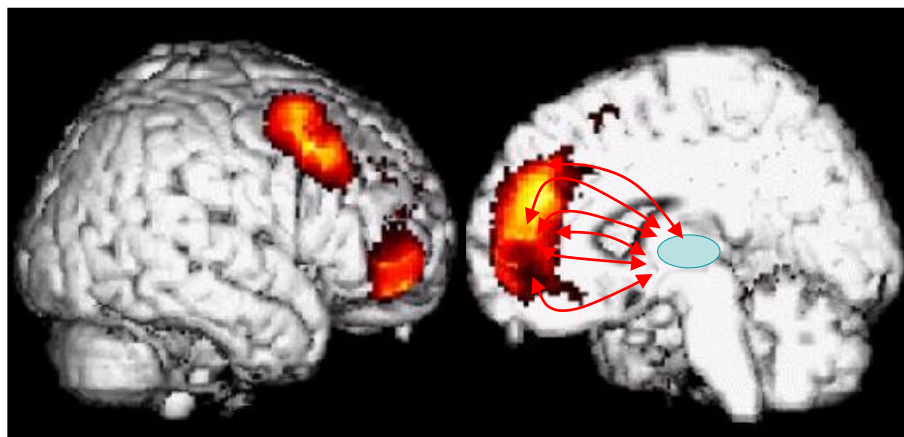
Drugs	Study (first author, year)	Number of patients and etiology	Diagnosis	Placebo control	Reported functional outcome
<i>Dopaminergic agents</i>					
Amantadine	Giacino (2012)	184 TBI	MCS/VS	Yes	Positive
	Schnakers (2008)	1 anoxic	MCS	No	Positive
	Patrick (2006)	10 TBI	Low responsive level	No	No effect
	Hughes (2005)	123 TBI	Coma	NA	No effect
	Saniova (2004)	41 TBI	'Persistent unconsciousness'	NA	Positive
	Meythaler (2002)	35 TBI	MCS	Yes	Positive
Bromocriptine	Brahmi (2004)	4 intoxication	Coma	No	Positive
Levodopa	Matsuda (2003)	3 TBI	VS	No	Positive
<i>Nonbenzodiazepine sedative</i>					
Zolpidem	Cohen (2008)	1 anoxic	Lethargic	No	Positive
	Shames (2008)	1 anoxic	MCS	No	Positive
	Singh (2008)	1 TBI	MCS	No	No effect
	Brefel-Courbon (2007)	1 hypoxic	Akinetic mutism	Yes	Positive
	Clauss (2006)	2 TBI, 1 anoxic	VS	No	Positive
	Clauss (2000)	1 TBI	Semi-comatose	No	Positive
<i>GABA agonist</i>					
Baclofen	Sarà (2007)	1 non-TBI	VS	No	Positive

Pharmacological treatment



Consciousness \approx thalamo-cortical

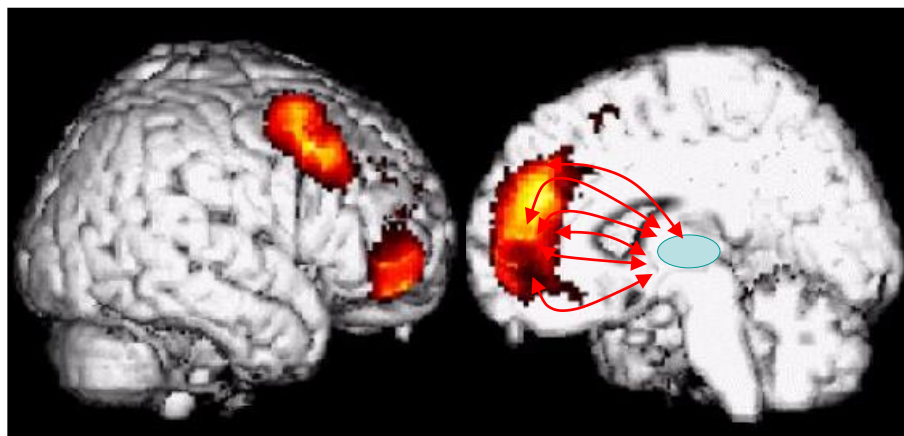
Intralaminar nuclei “reconnections”
in spontaneous recovery from
“vegetative” unresponsive state



Laureys et al, *Lancet* 2000

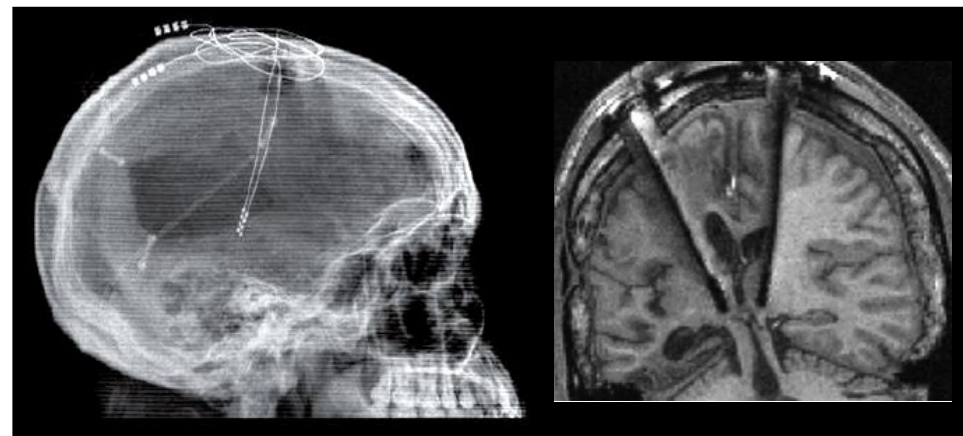
Consciousness \approx thalamo-cortical

Intralaminar nuclei "reconnections"
in spontaneous recovery from
"vegetative" unresponsive state



Laureys et al, *Lancet* 2000

Intralaminar nuclei stimulation
induces "recovery" from
minimally responsive state



Schiff et al, *Nature* 2007

MCS \rightarrow emerged

Why direct current?

Stimulation	Population	Effects	Authors
Motor cortex	Healthy subjects	Dexterity	Boggio et al. Neurosci Lett, 2006
	Hemiplegic patients	Dexterity and strength	Hummel et al. Lancet, 2006
	Spastic patients	Spasticity & ADL (activity of daily life)	Wu et al., Arch Phys Med Rehabil 2012
Prefrontal cortex	Healthy subjects	Memory	Marshall et al. J Neurosci, 2004
	Alzheimer's patients	Memory	Ferrucci et al. Neurology, 2008
	Stroke patients	Attention	Jo et al. Am J Phys Med Rehabil, 2009
	Aphasic patients	Language	Baker et al. Stroke, 2010

Cheap & easy to use

tDCS presumed mode of action

Short term effects (Nitsche et al., J Physiol 2000)

Modification of neuronal excitability (action potential)

tDCS presumed mode of action

Short term effects (Nitsche et al., J Physiol 2000)

Modification of neuronal excitability (action potential)

Long term effects (Nitsche et al., Neuroscientist 2010)

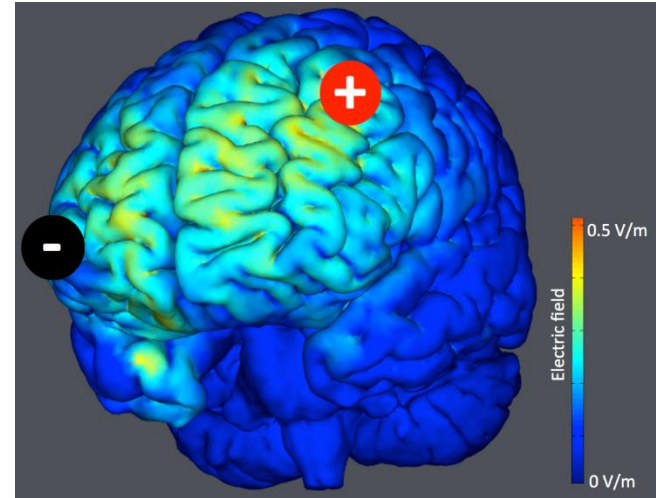
Action on opening of ion channels (Na^+ , Ca^{2+})

Increase NMDA receptors excitability

⇒ improve neuron excitability

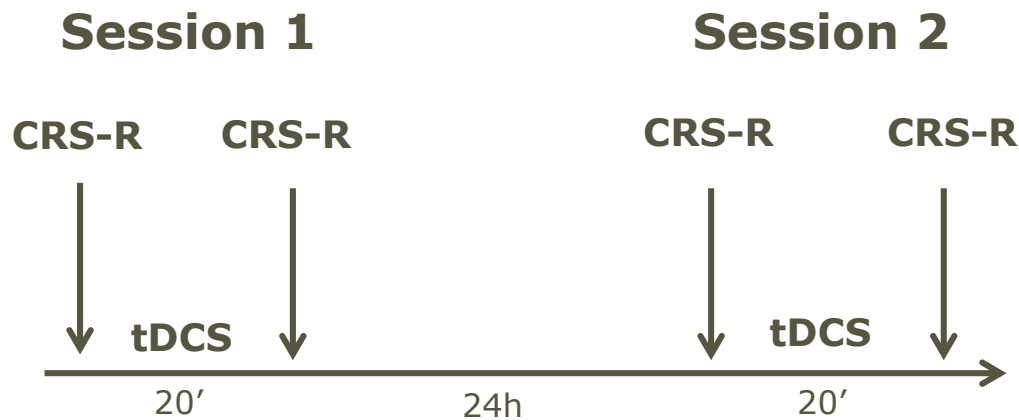
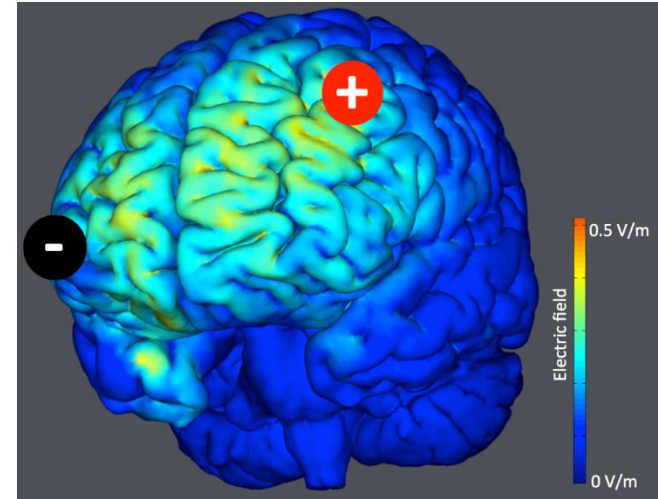
Methods

- Direct current
- 2 mA; 20 minutes
- Anode: PFDL (F3)
- Randomised, double blind, sham controlled



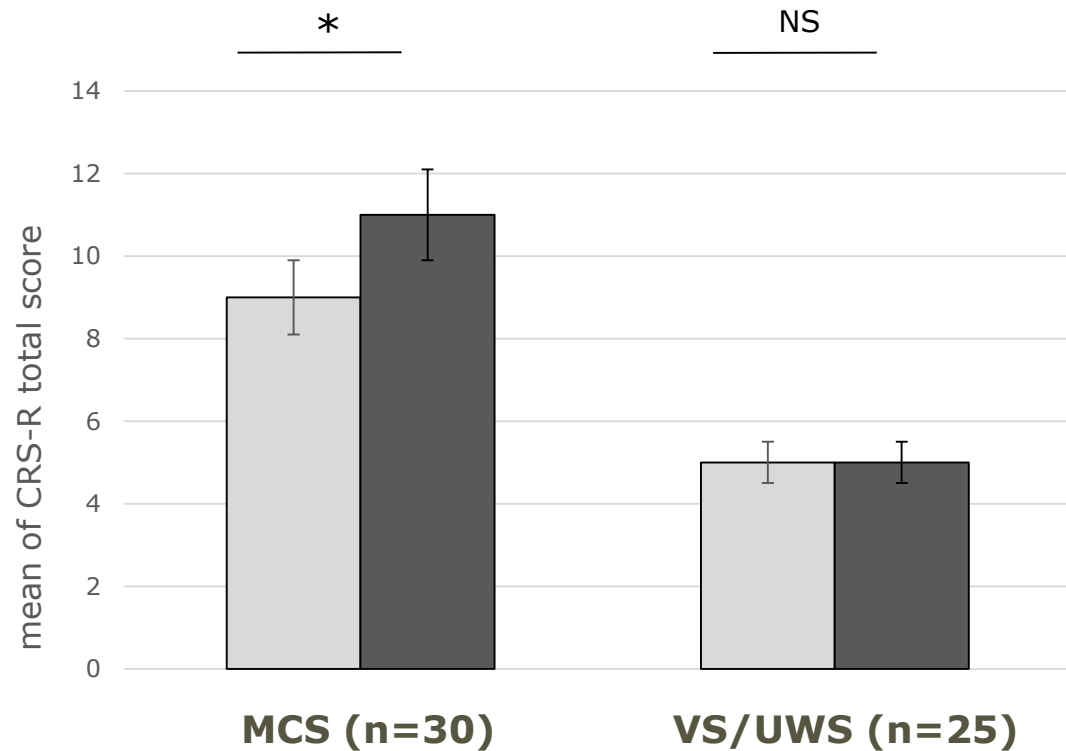
Methods

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- Randomised, double blind, sham controlled



Results

- 55 patients (43 ± 18 y)
- 25 VS/UWS, 30 MCS
- 25 TBI, 30 NTBI
- 35 chronic (>3 months)



* $p < 0.001$

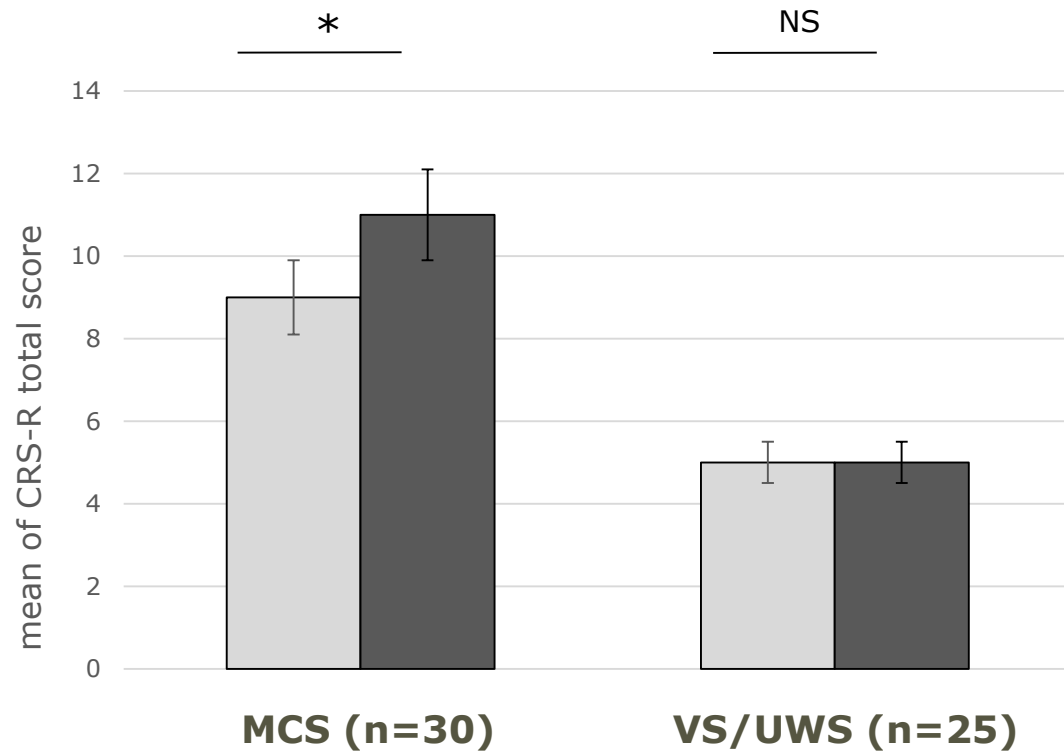
Results

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15 responders

Patient who showed signs of consciousness after tDCS and not before tDCS or before and after sham

- 2 UWS; acute
- 13 MCS (5 > 1y post insult)



* $p < 0.001$

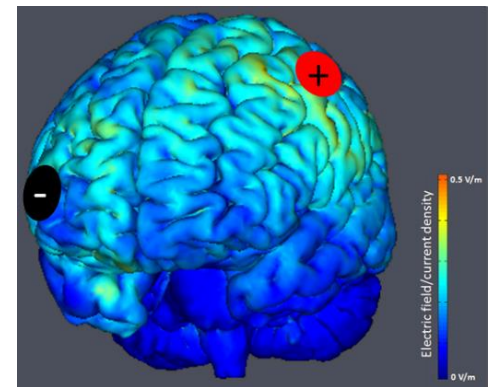
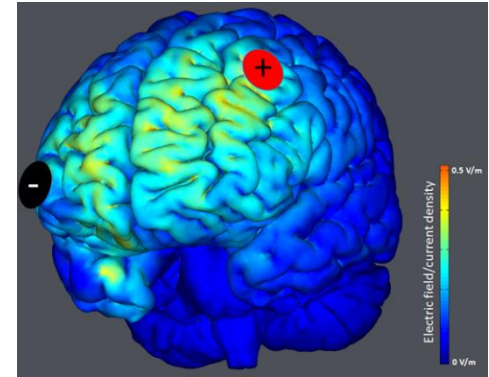
Neurophysiology

Prefrontal stimulation

- ↗ of DMN connectivity (rsfMRI)
- ↗ of α rhythm (EEG)

Motor stimulation

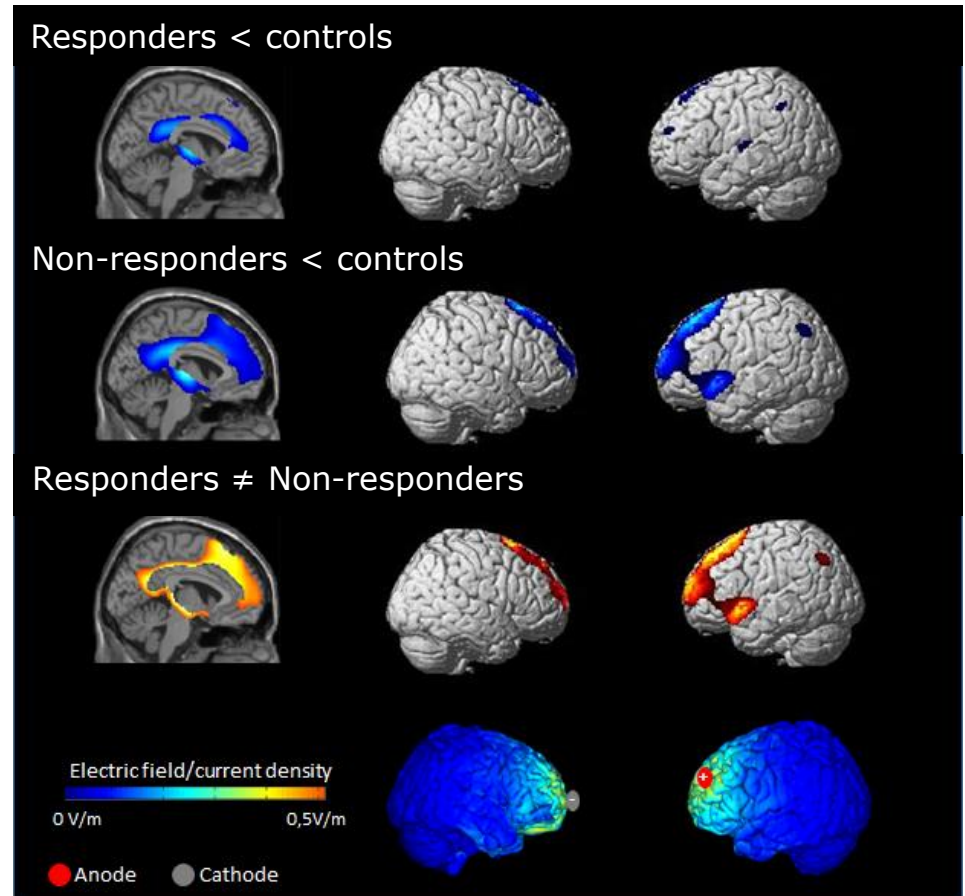
- rCBF ↗ in the left M1, right prefrontal cortex, right S1 (PET-scan)
- Functional connectivity ↗ within premotor, motor and sensorimotor areas (EEG)



Responders vs Non-responders : PET

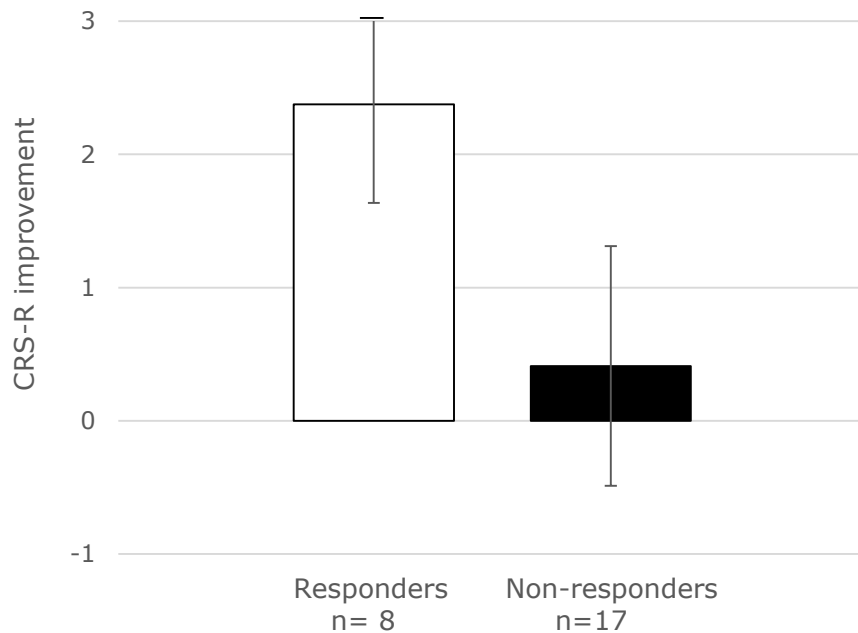
Responders (n=8) vs
non-responders (n=17)

Left prefrontal cortex
(stimulated area) and
thalamus were more
preserved in responders
as compare to non
responders

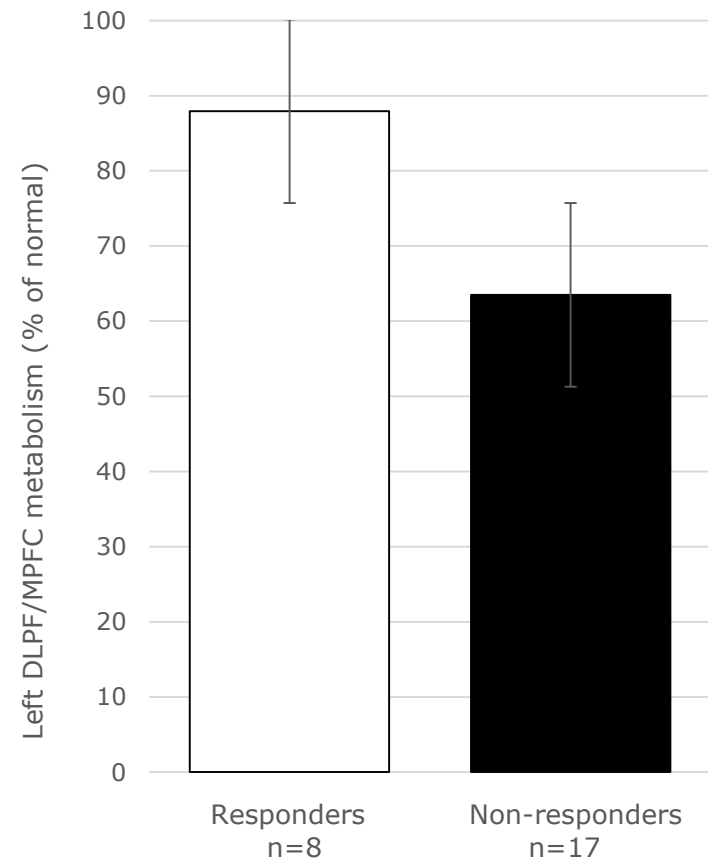


Responders vs Non-responders : PET

Behavioral improvement



% of brain metabolism



Repeated tDCS

Effects last \pm 90 minutes (Hummel et al., *Lancet*, 2006)

→ Short improvement, back to initial state

Repeated tDCS

Effects last \pm 90 minutes (Hummel et al., *Lancet*, 2006)

→ Short improvement, back to initial state

Daily stimulations (5days) (Fregni et al., *Pain*, 2006)

Improvement and extension of benefits

Randomised sham controlled double blind study

Repeated tDCS

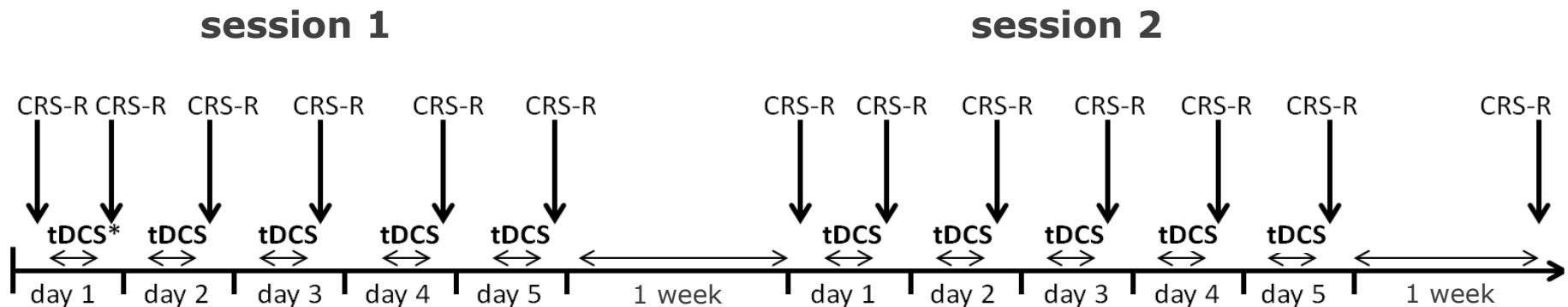
Effects last \pm 90 minutes (Hummel et al., *Lancet*, 2006)

→ Short improvement, back to initial state

Daily stimulations (5days) (Fregni et al., *Pain*, 2006)

Improvement and extension of benefits

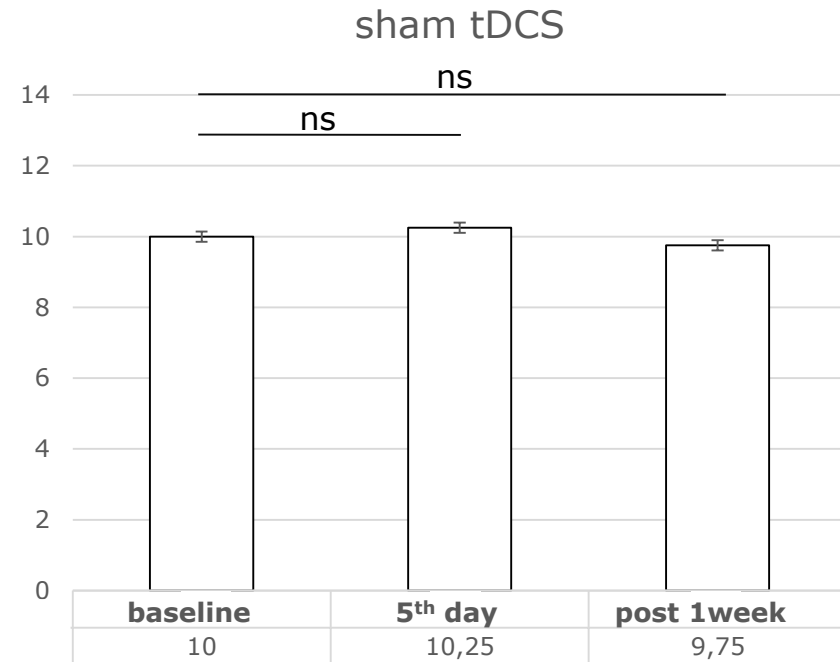
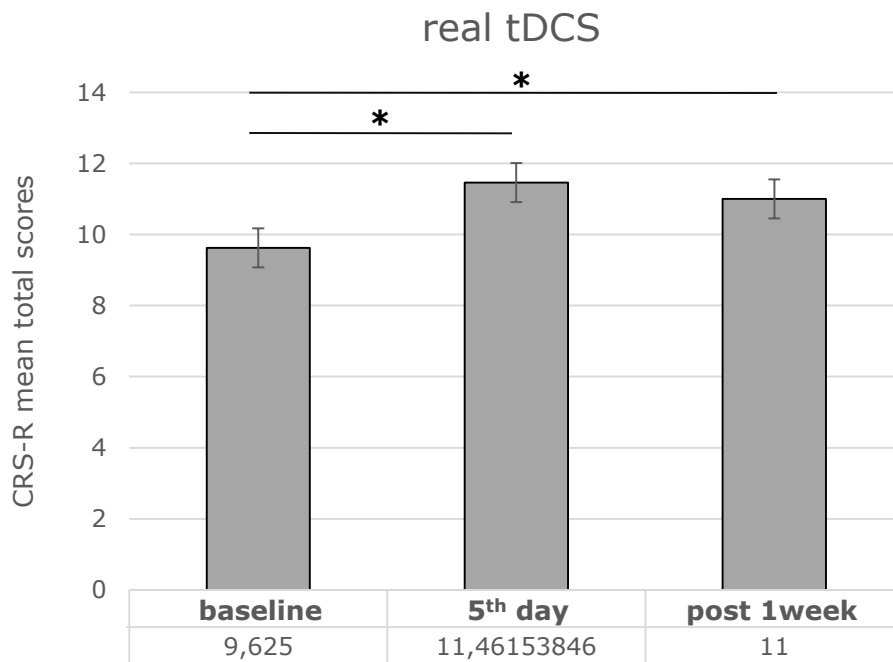
Randomised sham controlled double blind study



*tDCS = 20minutes

Repeated tDCS

Chronic MCS – N=13



➔ 7 responders (out of 13 patients)

* <0.025

rtDCS in chronic patients

Repeated tDCS in chronic patients at home or nursing home



Protocol:

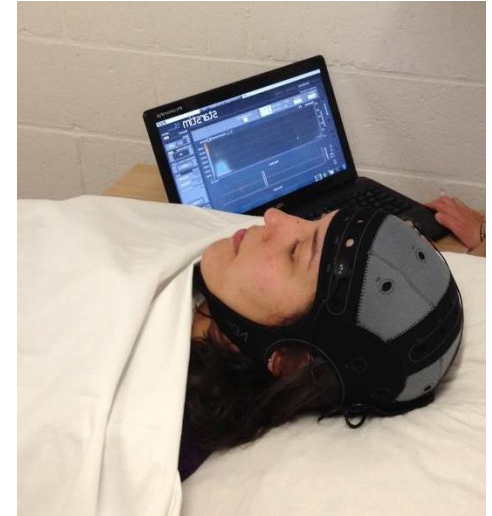
- tDCS over the prefrontal dorsolateral cortex
- 5 days per week during 4 weeks (2 tDCS sessions – real & sham)
- Stimulations made by the family (video)
- Assessment: CRS-R before – after 4 weeks – one month later
- Double blind randomized study (2 months of washout)
- Chronic patients (> 1year post insult) in MCS at home or nursing home

rtDCS in chronic – EEG

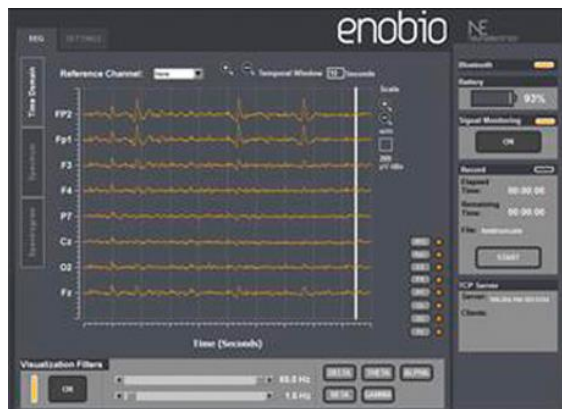
tDCS coupled with 8 electrodes EEG

Record cortical activity before,
during and after the stimulation

Electrodes: record and stimulate



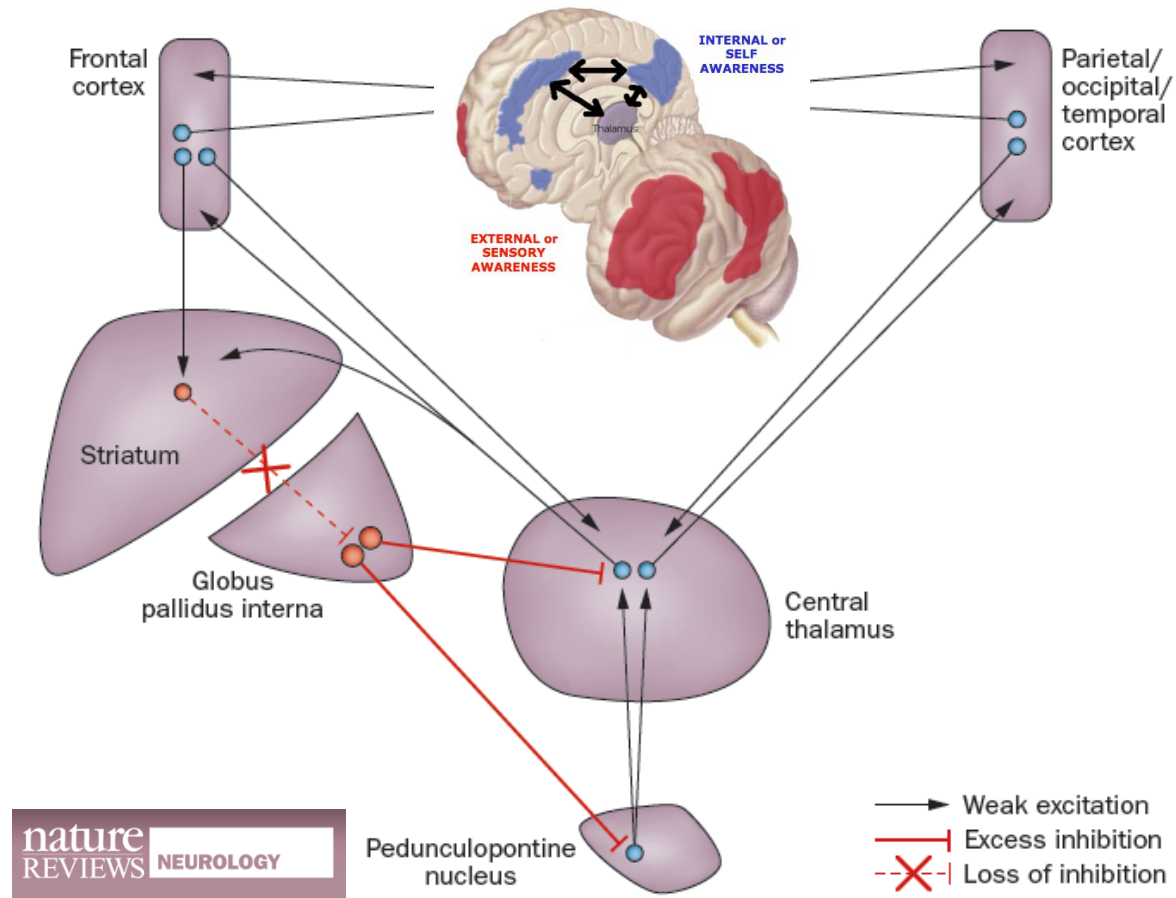
NE
neuroelectrics®



→ Understand the underlying neurophysiological effect of tDCS on a *damage* or *preserved* area

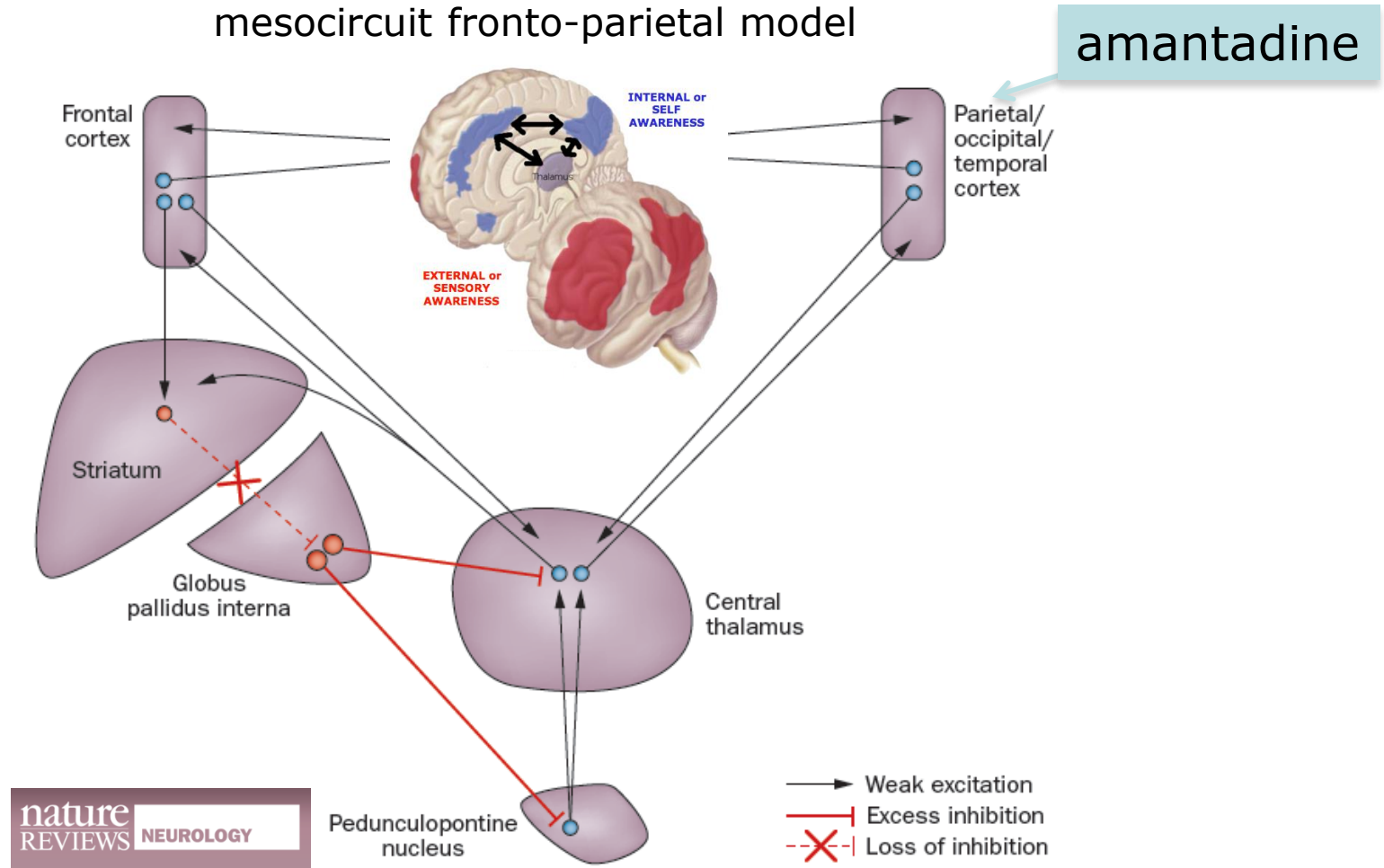
Consciousness \approx connectivity

mesocircuit fronto-parietal model



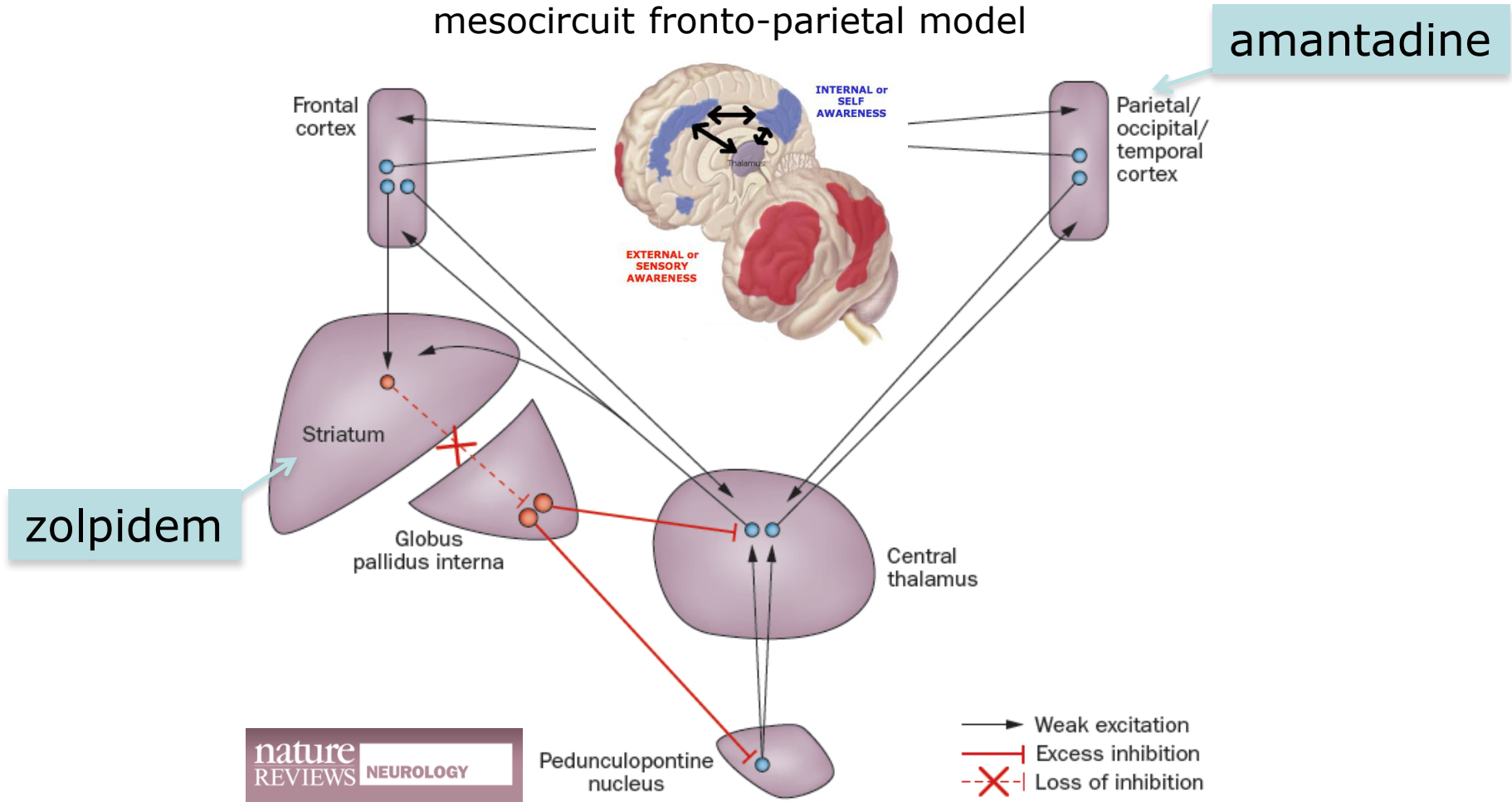
Consciousness \approx connectivity

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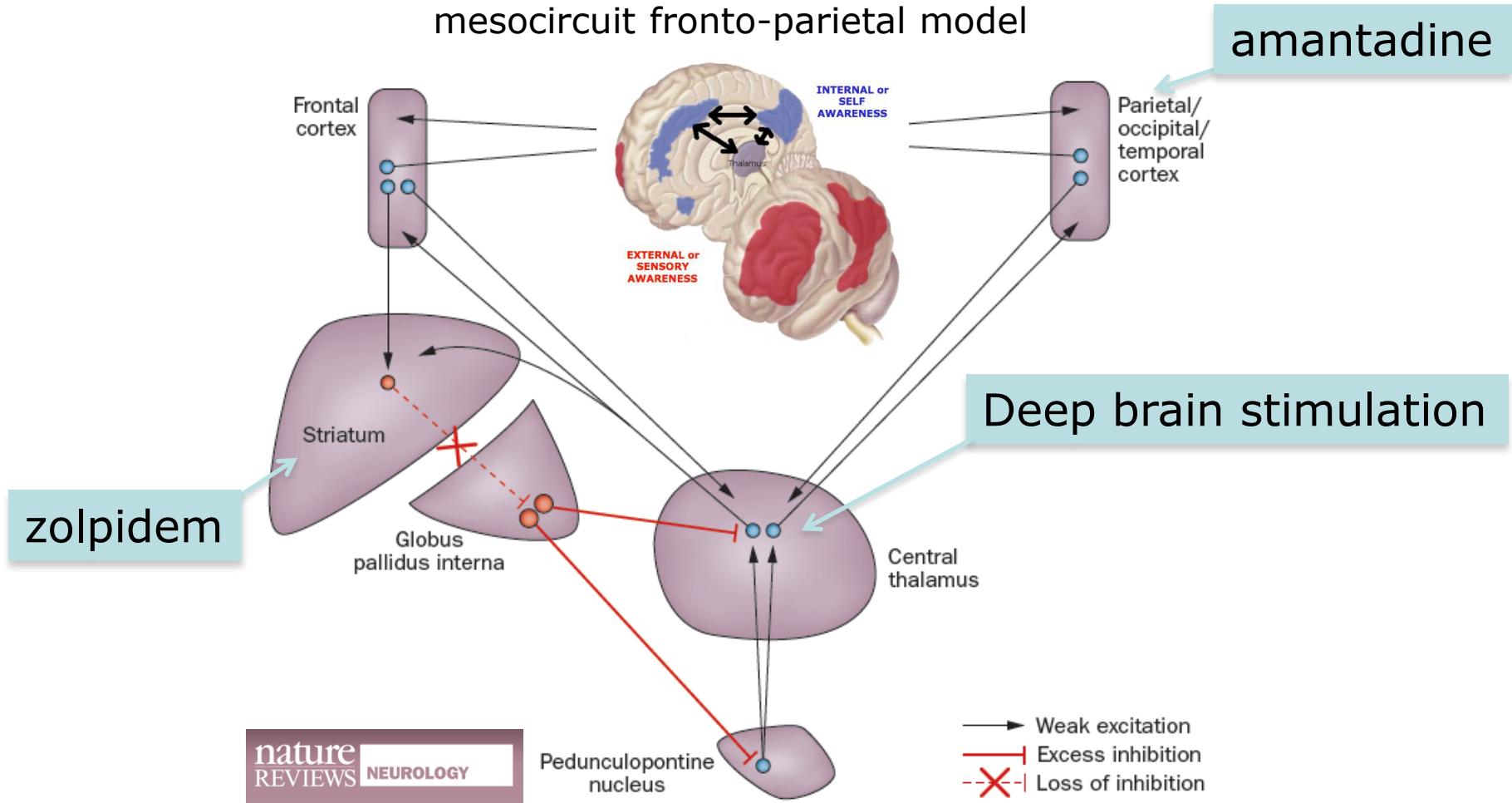
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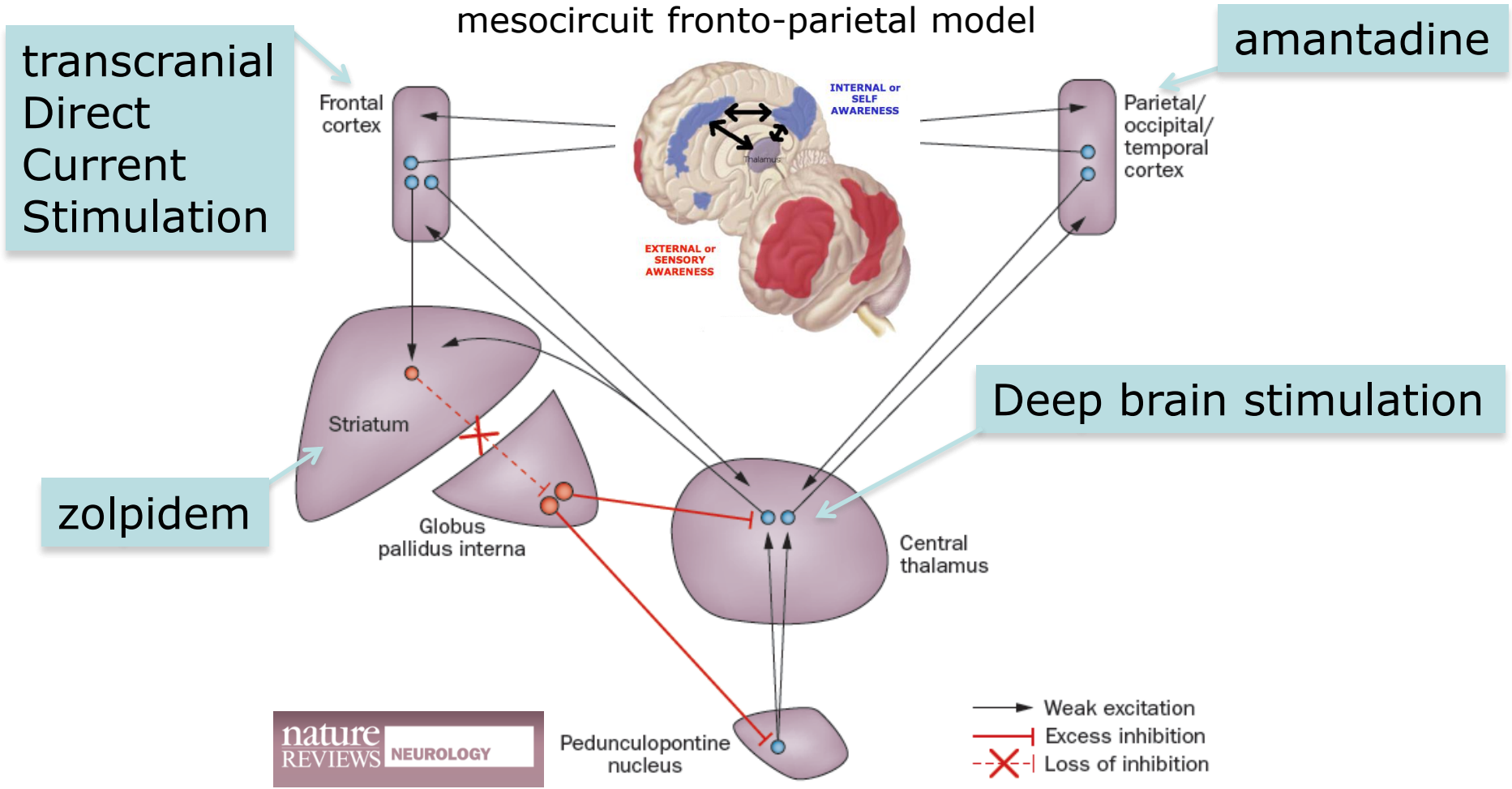


Consciousness \approx connectivity

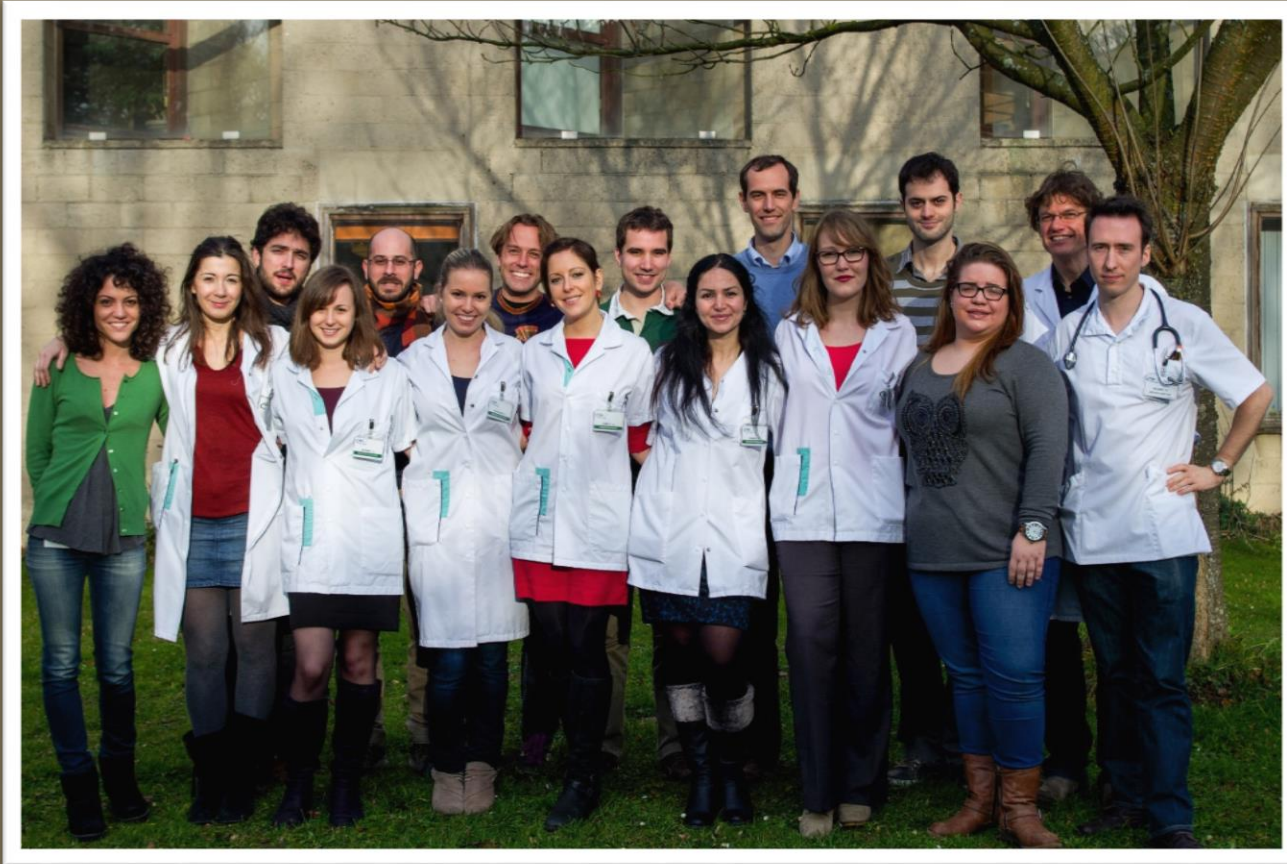
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Consciousness \approx connectivity



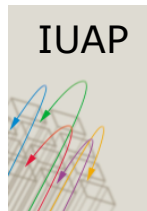
THANK YOU



James S. McDonnell Foundation



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Criticisms

Limitations:

- Short term effect (after 1-2h patients return to their initial state)
- Moderate clinical change
- Unknown neurophysiological effects on DOC

Clinical improvement

15 responders

Patient who showed signs of consciousness after tDCS and not before tDCS or before and after sham

- 2 UWS; acute
- 13 MCS (5 > 1y post insult)

2 VS/UWS ⇒ MCS

Visual pursuit

Response to command

2 MCS ⇒ EXIT

Functional communication

Functional use of objects

Conclusion

- A single stimulation transiently improves CRS-R scores
- Preserved metabolism in the stimulated area is requested
- 5 days of tDCS increase the lasting of the effects
- Could daily stimulations be helpful for patient's recovery?
- Could tDCS be implemented in daily clinical practice?

Future: tDCS could help patients with disorders of consciousness (acute or chronic) to increase their interaction with their environment

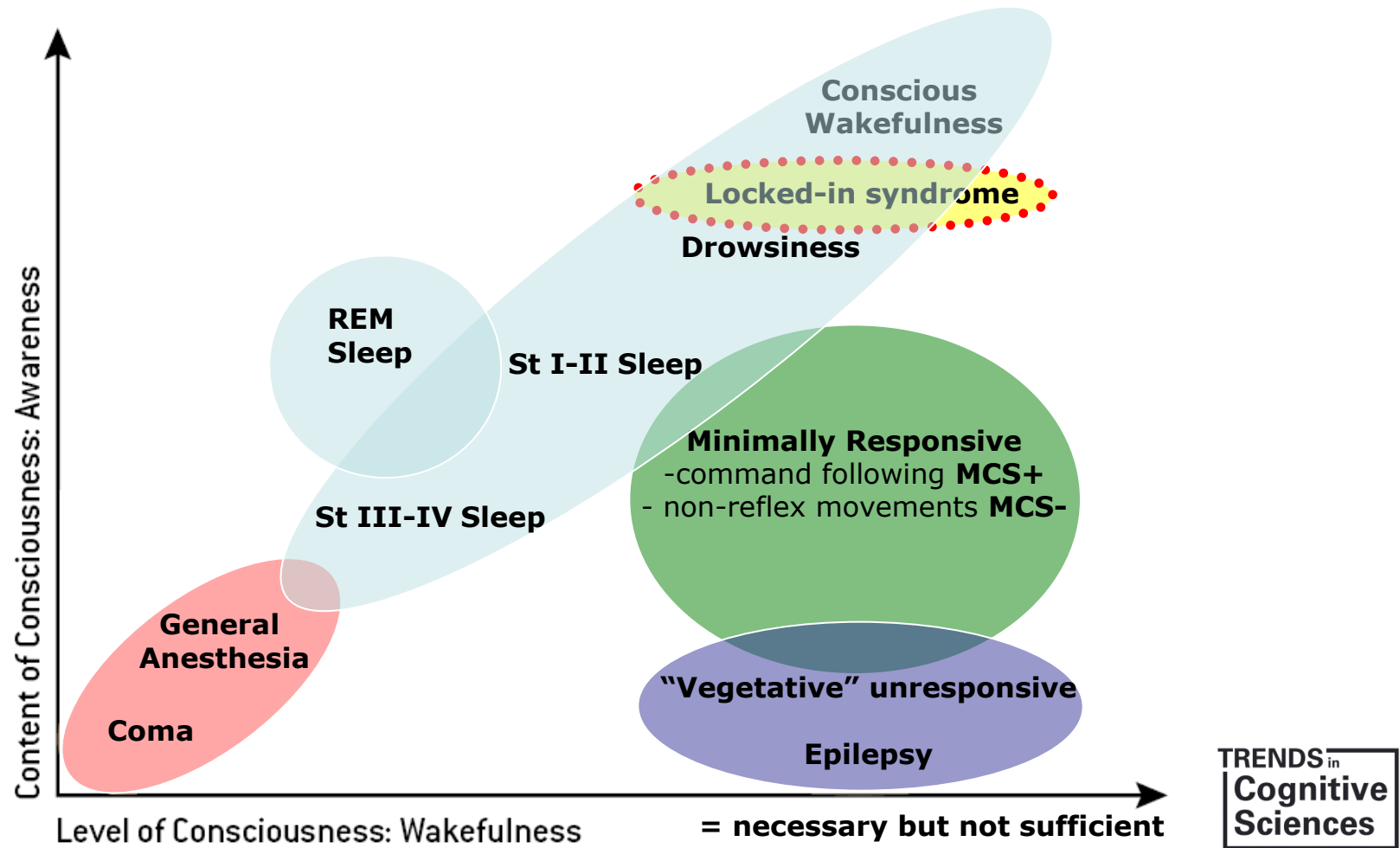
tDCS – advantages

DBS and **Amantadine** improve cognitive functions of patients with disorder of consciousness

But side effects

tDCS \implies improve cognition of patients in minimally conscious state without risk of brain damage or seizure

Reducing consciousness to 2D



tDCS - Motor



Parameters:

2 mA – 20 min

Cathode: M1 (C3&C4)

(↓ spasticity)

Anode: DLPF (F3&F4)

(↗ signs of consciousness)

- 1. Behavioral**
assessments:
CRS-R & Ashworth
- 2. EEG**