SEPSIS AND ICU (J RUSSELL, SECTION EDITOR)

Procalcitonin for Antibiotic Treatment in Intensive Care Unit Patients

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Abstract Procalcitonin (PCT), a 116-aminoacids prohormone, has been substantially studied over the last 2 decades in the field of sepsis. Disappointingly low sensitivity values led to the abandonment of the concept of it as a diagnostic tool and then to its being considered more as a prognostic marker with a good correlation with severe infection. Later on, growing concerns about multidrug-resistant bacteria in the ICU environment and about the cost and side effects of antibiotics suggested that PCT might prove to be a valuable asset in stewardship programs. Numerous but hardly comparable randomized controlled trials assessing either initiation or deescalation in ICU patients have been published. Stewardship encompassing PCT should focus on the latter, because of the high negative predictive value of this biomarker. However, there still would be safety concerns if a systematic implementation of PCT were to be considered in daily stewardship programs in the ICU, especially in extrathoracic sepsis.

Keywords Procalcitonin · Diagnosis · Prognosis · Initiation · De-escalation · Antibiotic Stewardship · Intensive care unit · Cost-effectiveness · Safety

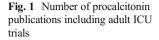
Introduction

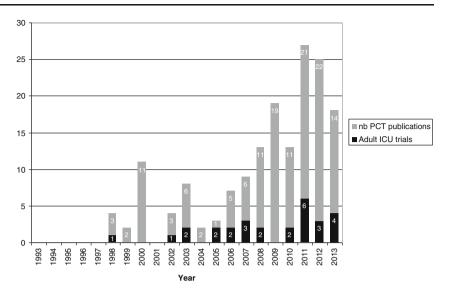
Since its first description in children and burned patients 2 decades ago [1], procalcitonin (PCT) has traveled a long way from diagnosis of infectious chronic obstructive pulmonary

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B. Lambermont Department of Intensive Care Medicine, University Hospital Centre of Liege, Liege, Belgium e-mail: B.Lambermont@chu.ulg.ac.be disease (COPD) exacerbation in the emergency department to its current concept as a possible tool for antibiotic stewardship in our busier intensive care units (ICUs) with worsening ecological environments [2, 3] (Fig. 1). Indeed, it was first thought to be helpful in the discrimination between severe infection and nonspecific hyperinflammatory states [4•]. Intensive care physicians are daily challenged with the risk of initiating useless and potentially toxic (for the patient and the environment) treatments in the absence of specific clinical signs and of a gold-standard biomarker in the field of sepsis. PCT was historically studied in various settings and clinical conditions, including emergency departments, neonatal sepsis, and ICU patients [5, 6]. However, unacceptably low sensitivity values in the setting of critically ill patients, ranging from 67 % to 80 % depending on the chosen cutoff [7, 8, 9•, 10•], led to its being considered rather as a prognostic tool in terms of severity of illness and outcome. In that sense, PCT met the fate of other acute phase reactants that did not show satisfactory specificity. PCT is notoriously raised, in the absence of infection, in pancreatitis, ischemic bowel disease, cardiopulmonary bypass, and metastatic disease [11] and with the intake of some drugs (monoclonal antibodies, antithymocyte globulin, etc.) [12., 13]. PCT does not rise incases of local bacterial, viral, parasitic, or fungal infection. Between 2006 and 2008, numerous studies involving very different types of patients (medical vs. surgical, immunocompetent vs. immunocompromised) and indications (severe community-acquired pneumonia, sepsis) [14, 15] were undertaken. Some studies focused strictly on prognostic significance, whereas others combined the diagnostic and prognostic abilities of the test. Interestingly, PCT was combined with others biomarkers (CRP, sTREM-1, SUPAR, TNF-alpha, IL-6, IL-8), clinical scoring systems (SAPSII), and biological markers of sepsis such as lactate [16-18]. The results were better in the multimodal approach, as opposed to the use of PCT alone, for determination of outcome (AUC 0.72-0.88). It is now commonly admitted that higher values (1.5–over 5 μ g/l) in high-risk patients are correlated with bacterial load and

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bacteremia [19], severity of organ failure [20, 21], and, in some studies, mortality [6, 22].

Core Text

After an initial encouraging report on the usefulness of PCT for safe reduction of antibiotic therapy in lower respiratory tract infections (RTIs) in 2004 [23, 24], it was not until 2008 that the concept of PCT as a possible antibiotic stewardship tool emerged in clinical studies involving ICU patients [25••] (Table 1). The growing pressure of multidrug-resistant bacteria in the ICU environment, combined with considerations regarding cost and limitation of drug toxicity and interactions [26, 27], offered the perfect opportunity for a new appraisal of this biomarker, which had somehow failed to fulfill its promises. It is considered to be useful because of favorable kinetics [28] and a high negative predictive value [17, 29–31].

Antibiotic stewardship encompassing PCT can be regarded as a lack of initiation of antibiotics in the absence of bacterial infection, thus calling into question the sensitivity of the biomarker, versus rapid stopping of them, in cases of a decrease of PCT, on the basis of a daily check, either because clinical cure is achieved or because infection has been safely ruled out.

Now, the first strategy encounters two hurdles. First, it obviously does not fit into clinical practice dealing with seriously ill patients, since protocol-overruling reports range from 20 % to 65 % [30, 32•, 33, 34]. In the Layios study, in 43/80 patients (belonging to the PCT arm, which comprised 258 patients) who had a PCT <0.25 μ g/l, the diagnosis was overruled by the treating physician, and they received antibiotics. Of note, 69.8 % of these treatments (30/43) were a posteriori confirmed by the infectious diseases specialist to have been appropriately initiated. Second, poor diagnostic sensitivity was once again confirmed recently (AUC 0.69),

and two recent studies showed that the strategy is a failure in an escalating or initiation process [10, 30].

The second strategy, however, is supported by the physiological decline within 48 h in noninfected patients [35, 36] and has recently been shown to be cost effective, thanks to a 2-day decrease in antibiotic consumption, although not altogether convincingly safe [32•, 37]. The same degree of concern about a possible excess of mortality had been raised in the PRORATA study [33], and the debate is ongoing. Prior to 2010, five randomized controlled trials (RCTs) evaluating deescalation in critically ill patients had shown reduction in antibiotic consumption, without excess of morbidity or mortality [38]. Interestingly, the same authors put into perspective the fact that in nondocumented sepsis, the optimal duration of antibacterial therapy is not known. Several other studies have been published since then, reporting the same proportion of safe antibiotic-free days (2-4 days) [31, 39•, 40], but only two focused merely on severe extra-thoracic sepsis. The ESICM meta-analysis reviewed seven RCTs in critically ill patients and confirmed the safety of shortening antibiotic administration by just over 3 days, in terms of a similar rate of superinfections and recurrence of infection in the PCT-guided arm. A consistent reduction of antibiotic therapy was also reported in the review published by Schuetz et al. [41...], mainly owing to shorter courses of antibiotic therapy (and not withholding of initiation) among moderate- and high-acuity care patients. The Schuetz study mixed lower RTIs and severe sepsis and septic shock without further definition. The proposed PCT cutoff values in the deescalating strategy in the ICU were roughly the same throughout all the recently published trials and metaanalyses, meaning a drop of 80 %-90 % from the peak value or a return to a level less than $0.25-1 \mu g/l$ in patients showing clinical signs of recovery. Mortality has not been significantly affected by that strategy in any of the trials published so far. Importantly, PCT was extensively studied in the setting of

Table 1 Publics	ation of randomized	Publication of randomized controlled trials (RCTs) of procalcitonin (PCT) guided antibiotic (AB) treatment in critically ill adults	rocalcitonin (PC	CT) guided a	untibiotic (AB)	treatment in critically ill adu	ults		
Author	Study Design	Diagnosis	и	Initiation/ esc	Deescalation	Initiation/ Deescalation Major Endpoint esc	AB Reduction in the PCT Arm	Mortality	Main Results
Nobré et al. [25••], 2008	prospective RCT	severe sepsis/septic shock	79	no	yes	AB duration	–3.5 days	not affected	Safety of deescalation reduction of AB duration and ICU LOS
Schroeder et al, [48]. 2009	prospective RCT	severe sepsis/septic shock	27	no	yes	AB use	-1.7 days	not affected	
Hochreiter et al. [49]. 2009	prospective RCT	postoperative sepsis	110	no	yes	AB use	-2 days	not affected	
Stolz et al. [34], 2009	prospective RCT	VAP	101	no	yes	AB-free days alive	+3.5 days	not affected	
Bouadma et al.	prospective RCT	sepsis	621	yes	yes	AB-free days	+2.7 days	not affected	
Jensen et al. [9•], 2011	prospective RCT	sepsis	1,200	ycs	Ю	death from any cause at day 28 AB use=second end-point	+2 days in the PCT arm	ARR=0.6 %	longer ICU LOS 1d, <i>p</i> =.004; more organ harm; possible 10 % risk increase in 60-day mortality
Agarwal et al.	review 5 RCTs+1	sepsis, VAP, septic shock	1,476	yes	yes	AB duration	-23 % to -37 %		×.
Schuetz et al.	abstract review 6 RCTs	VAP sensis/sentic shock	1.010	Ves	Ves	AB duration and	in 5 studies -20%	not increased in	
[41••], 2011		postoperative sepsis	01011	20	ۍ د	AB-free days alive		2/5 studies	
Heyland et al. [32•], 2011	review 5 RCTs	VAP, sepsis/septic shock, postoperative sepsis		ycs	ycs	patient safety and cost analysis	-2 days		overall costs may be reduced under circumstances; 7 % risk increase in hospital mortality
Matthaiou et al. [39•], 2012	meta-analysis 7 RCTs	VAP, sepsis/septic shock, postoperative sepsis	2,199 (1,098 PCT, 1,101	yes	yes	AB duration for the first infectious episode and	-3.5 days	not affected	could not be ruled out
Layios et al. [30], 2012	prospective RCT	VAP, sepsis/septic shock, postoperative sepsis	509	yes	no	zo-uay inotanty AB use	none	not affected	low sensitivity, useless for decision of initiation
Hoin et al. [51], 2013	retrospective 2005–2009	severe sepsis/septic shock	141	Ю	ycs	AB days on ICU, ICU reinfection rate, 28-day mortality rate, LOS in ICU, mean AB costs (per patient) and ventilation hours. Data	-1 day/year over a 4-year period	not affected	
Note. LOS, leng	th of stay; VAP, ven	Note. LOS, length of stay; VAP, ventilator-acquired pneumonia; ARR, adjusted risk ratio	ARR, adjusted 1	risk ratio					

lower RTIs namely, ventilator-acquired pneumonia (VAP) in immunocompetent adults-while severe sepsis (i.e., a syndrome defined as the host's systemic inflammatory response syndrome [SIRS] to infection) was the second cause of inclusion of patients. However, the source and/or the microbiological proof of infection have seldom been reported. This is very intriguing after almost 2 decades of striving-and with, sometimes, rigorous research-to establish the utility of a biomarker in less rigorous conditions. It is also, in our view, the biggest difficulty to overcome, since modern intensive care is becoming, alas, more and more syndromic. Of interest, the recently published and prematurely stopped study of Annane et al. [42] failed to include patients because 80.6 % of the eligible patients had a documented source of infection within 48 h of recognition of SIRS (before randomization), 77.6 % of whom had a documented pathogen. Now this was considered to be a major design flaw, but one definitely has to put into perspective the utility of a biomarker when modern pathogen identification techniques and experienced clinical judgment are combined. This point is very interestingly raised by Póvoa et al. [43], who reminded us of two studies, going 10 years back, that had shown the effectiveness of a shorter (6-8 days) duration of antibiotic therapy to be equal to that of a long-term course (10–21 days) in VAP, but without the use of any biomarker. Hence, the decision to recommend PCT's usage in the recent guidelines for deescalation in lower RTIs even in the case of septic shock [44] leaves us skeptical. Proposals and recommendations for the use of PCT in a strategy aiming at antibiotic stewardship were issued by Schuetz et al. [41••] and Foushee et al. in 2012 [12••], each in distinct environments (Europe vs. Northern America, primary setting vs. low-, intermediate-, and high-risk patients), recommending caution regarding their implementation in immunocompromised and unstable patients. This is in line with most studies focusing on the need for supplementary data in favor of safe antibiotic stewardship, always encompassing PCT in a multimodal approach. A prospective upcoming and well-enrolled study (the SAPS study), the largest to be conducted so far in ICU patients, will perhaps be able to answer questions about the cost, safety, and effectiveness of such a strategy [45].

However, although convincing from the physiopathological and, sometimes, evidence-based point of view, PCT's systematical implementation as a prognostic tool or, for therapeutic monitoring, as a clinical algorithm for ICU patients has not been widely encouraged so far.

Conclusion

PCT as an antibiotic stewardship tool aiming at appropriately initiating antibiotics—that is, only in the setting of severe infection-has recently once again proven to be futile, if not detrimental. The 2013 surviving sepsis campaign (SSC) guidelines propose PCT as a diagnostic aid, in conjunction with the usual clinical signs, provided its value is superior to 2 standard deviations above the normal value. This is, in our opinion, a surrogate marker for poor sensitivity, and it would have been more prudent not to include it at all in the diagnostic strategy. Now, interest in PCT's ability to contribute to infected critically ill patients' diagnosis and prognosis has not worn out, as large-scale ongoing clinical studies attest (accessed on clinicaltrials.gov on May 13, 2013), but we are doubtful about their ultimate daily clinical implementation, given the amount of literature already available and the understandable reluctance of the intensive care physician, facing the possibility of uncontrolled sepsis, not to initiate antibiotics.

Hence, PCT as a therapeutic monitoring tool has looked like an attractive alternative in view of its high negative predictive value, but conclusive data concerning safety of this strategy are still lacking, at least in extra-thoracic severe sepsis. Concerning VAP, past studies have shown efficacious and safe shorter duration of antibiotic therapy without the need for a biomarker. Low adherence to protocol, even in the setting of controlled infection, is another hurdle to its implementation in daily clinical practice, since reports of overruling range from 16 % to 65 % [32•, 43]. Only a grade 2C level of recommendation was attributed to PCT in the recently updated SSC guidelines when deescalation was considered. Rather, narrowing of the spectrum of antibiotics or stopping is left to "clinical judgment and information."

On the other hand, high-throughput molecular techniques such as multiplexed PCR and mass spectrometry allow more rapid and less empiric pathogen identification nowadays [46]. These techniques should be evaluated in terms of cost effectiveness, sensitivity, and specificity as part of a multimodal stewardship program in the ICU that could encompass bioscores such as the one recently described by Gibot et al. [47•]. This approach would thus imply a patient-tailored treatment based on individual phenotypic characteristics, combined with a biomarker allowing prompt stopping of antibiotics in the absence of infection or, even better, consensus on the optimal duration of therapy in sepsis without bacterial documentation.

Compliance with Ethics Guidelines

Conflict of Interest Nathalie Layios and Bernard Lambermont declare that they have no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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