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The science case for an orbital mission to Uranus: Exploring the origins and evolution of ice giant planets

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1 **The science case for an orbital mission to Uranus: Exploring** 2 **the origins and evolution of ice giant planets**

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104 **Abstract**

105 Giant planets helped to shape the conditions we see in the Solar System today and they account for
106 more than 99% of the mass of the Sun's planetary system. They can be subdivided into the Ice
107 Giants (Uranus and Neptune) and the Gas Giants (Jupiter and Saturn), which differ from each other
108 in a number of fundamental ways. Uranus, in particular is the most challenging to our
109 understanding of planetary formation and evolution, with its large obliquity, low self-luminosity,
110 highly asymmetrical internal field, and puzzling internal structure. Uranus also has a rich planetary
111 system consisting of a system of inner natural satellites and complex ring system, five major natural
112 icy satellites, a system of irregular moons with varied dynamical histories, and a highly
113 asymmetrical magnetosphere. Voyager 2 is the only spacecraft to have explored Uranus, with a
114 flyby in 1986, and no mission is currently planned to this enigmatic system. However, a mission to
115 the uranian system would open a new window on the origin and evolution of the Solar System and
116 would provide crucial information on a wide variety of physicochemical processes in our Solar
117 System. These have clear implications for understanding exoplanetary systems. In this paper we
118 describe the science case for an orbital mission to Uranus with an atmospheric entry probe to
119 sample the composition and atmospheric physics in Uranus' atmosphere. The characteristics of such
120 an orbiter and a strawman scientific payload are described and we discuss the technical challenges

121 for such a mission. This paper is based on a white paper submitted to the European Space Agency's
122 call for science themes for its large-class mission programme in 2013.

123 **1. Introduction**

124 Giant planets account for more than 99% of the mass of the Sun's planetary system, and helped to
125 shape the conditions we see in the Solar System today. The Ice Giants (Uranus and Neptune) are
126 fundamentally different from the Gas Giants (Jupiter and Saturn) in a number of ways and Uranus
127 in particular is the most challenging to our understanding of planetary formation and evolution (e.g.,
128 Lissauer, 2005; Dodson-Robinson and Bodenheimer, 2010). Our Solar System provides the only
129 local laboratory in which we can perform studies that help us to understand the nature of planetary
130 systems in general. The fact that Kepler observations have shown that Uranus/Neptune class planets
131 are a common class of exoplanet (Fressin et al., 2013) makes it all the more timely and compelling
132 to better explore these fascinating systems.

133 The Ice Giants are fundamentally different from the Gas Giants (Jupiter and Saturn) in a number of
134 ways and Uranus in particular is the most challenging to our understanding of planetary formation
135 and evolution, with its puzzling interior structure, unclear energy balance and internal energy
136 transport mechanisms, and its high obliquity. Yet our exploration of the Ice Giants in our own Solar
137 System remains incomplete, with several fundamental questions unanswered. Voyager 2 remains
138 the only spacecraft to have returned data from the uranian environment, see for example papers in
139 Science 233(4759) from the Voyager 2 Uranus encounter, with an introduction given by Stone and
140 Miner (1986), and the current authoritative book on the Voyager 2 encounter science (Matthews et
141 al., 1991).

142 A mission to Uranus will provide observations and measurements that are vital for understanding
143 the origin and evolution of Uranus as an Ice Giant planet, answer the fundamental question of why
144 some giant planets become icy and other so gas rich, and provide a missing link between our Solar
145 System and planets around other stars. Observations of Uranus' rings and satellite system will also
146 bring new perspective on the origin of giant planet systems and will help validate the models
147 proposed for the origin and evolution of Jupiter's and Saturn's systems. The cruise phase will also
148 offer the possibility of testing the law of gravitation in a dynamic environment, still poorly probed,
149 and study the outer heliosphere and its connection to the Sun. Such a mission to the uranian system
150 would open a new window on the origin and evolution of the Solar System and directly addresses
151 two of European Space Agency's (ESA) Cosmic Vision themes "What are the conditions for Planet

152 Formation and the Emergence of Life?” and “How Does the Solar System Work?”. The
153 fundamental processes occurring within the uranian system confirm that the exploration of Uranus
154 is essential in meeting ESA’s Cosmic Vision goals. A mission to Uranus is also highlighted in the
155 NASA Planetary and Heliophysics Decadal Surveys (Squyres et al., 2011; Baker et al., 2013).

156 In 2013 ESA issued a call for science themes for its large-class (L-class) mission programme. This
157 paper represents the white paper on the scientific case for the exploration of Uranus that was
158 submitted to this call (a compilation of these white papers can be found at [http://sci.esa.int/science-
160 e/www/object/doc.cfm?fobjectid=52029](http://sci.esa.int/science-
159 e/www/object/doc.cfm?fobjectid=52029)) and looks forward to future missions. This white paper
161 followed the Uranus Pathfinder mission proposal that was submitted to ESA’s medium-class (M-
162 class) mission programme in 2010 which is described in Arridge et al. (2012). In September 2013 a
163 Uranus-focused workshop “Uranus beyond Voyager 2, from recent advances to future missions”,
164 was held at the Observatory of Paris (Meudon, France) and was attended by 90 scientists and
165 engineers from 12 countries, interested in the scientific exploration of this unique planetary system
166 (the detailed program, abstracts and lists of participants is available at
<http://uranus.sciencesconf.org>).

167 In section two of this paper the science case for a Uranus mission is presented and arranged into
168 three key themes: 1) Uranus as an Ice Giant Planet, 2) An Ice Giant Planetary System, and 3)
169 Uranus’ Aeronomy, Aurorae and Highly Asymmetrical Magnetosphere. In addition, a mission to
170 Uranus naturally provides a unique opportunity to study the outer heliosphere, fundamental
171 gravitational physics, and Solar System bodies such as Centaurs near the orbit of Uranus and so in
172 this paper we also describe the science case associated with a cruise phase in the outer Solar System.
173 The short mission concept that was described in the white paper is presented in section three along
174 with a discussion of the critical enabling technologies.

175 **2. Scientific case**

176 **2.1 Uranus as an ice giant planet: The interior and atmosphere of Uranus**

177 Figure 1 indicates the bulk composition for various Solar System objects and shows how different
178 the Ice Giants are from the Gas Giants. Jupiter is an H/He planet with an ice and rock mass fraction
179 of 4 – 12% as inferred from standard interior models (Saumon and Guillot, 2004). Uranus and
180 Neptune seem to consist mostly of ices and rocks, but current observations are only able to provide
181 an upper limit of 85% on the ice and rock mass fraction (Fortney and Nettelmann, 2010). The self-
182 luminosity of Uranus is the lowest of all the planets in the solar system, suggesting that the interior

183 of Uranus either is not fully convective or that it suffered an early loss of internal heat, perhaps in a
184 giant impact. The internally-generated magnetic field of Uranus is highly complex and unusual
185 which suggests some fundamental difference between the dynamo in Uranus' interior and those of
186 the Earth and Gas Giants. Understanding the internal structure of Uranus (the nearest Ice Giant) is
187 indispensable for estimating the bulk composition of outer planets, in particular their ice-to-rock
188 ratio. There is currently no interior model for Uranus that agrees with all the observations,
189 representing a significant gap in our understanding of the Solar System. Compared to the Gas
190 Giants, this differing bulk composition and the internal structure reflects the different formation
191 environments and evolution of the Ice Giants relative to the Gas Giants (e.g., Guillot, 2005),
192 providing a window onto the early Solar System. Table 1 lists the gross properties of Uranus. The
193 origin of Uranus' large obliquity is perhaps one of the most outstanding mysteries of our Solar
194 System. A variety of explanations have been invoked, including a giant impact scenario which may
195 also be implicated in Uranus' low luminosity and small heat flux, and tidal interactions (Boué and
196 Laskar, 2010; Morbidelli et al. 2012). Examining the interior structure and composition of Uranus
197 and its natural satellites, and studying the ring system may allow us to unravel the origin of this
198 Solar System mystery.

199 The composition of Uranus contains clues to the conditions in the protosolar cloud and the locations
200 in which it formed. For instance, a subsolar C:O ratio could indicate formation at a distance where
201 water (but not CH₄) was frozen. The common picture of gaseous planet formation by first forming a
202 10 M_E core and then accreting a gaseous envelope is challenged by state-of-the-art interior models,
203 which instead predict rock core masses below 5 M_E (Saumon and Guillot, 2004; Fortney and
204 Nettelmann, 2010). Uranus' inclination and low heat loss may point to another catastrophic event
205 and provides additional important constraints for planetary system formation theory. New
206 observations of Ice Giants are therefore crucial in order to resolve this and achieve Cosmic Vision
207 goals on understanding the formation of planets.

208 Uranus' atmosphere is unique in our Solar System in that it receives a negligible flux of heat from
209 the deep interior and experiences extremes of seasonal forcing due to the high 98° obliquity, with
210 each pole spending 42 years in darkness. This unusual balance between internal and radiative
211 heating means that Uranus' unique weather is governed principally by seasonal forcing.
212 Furthermore, the substantial enrichment of some heavy elements (but perhaps not all, N being
213 strongly depleted in the troposphere) and small envelopes of H₂-He in the Ice Giants and the cold
214 atmospheric temperatures relative to the Gas Giants yield unique physicochemical conditions.
215 Uranus therefore provides an extreme test of our understanding of planetary atmospheric dynamics;

216 energy and material transport; seasonally varying chemistry and cloud microphysics; structure and
217 vertical coupling throughout giant planet atmospheres. At higher altitudes, the temperature in
218 Uranus' thermosphere is several hundred degrees hotter than can be explained by solar heating (as
219 is also found for Saturn and Jupiter) and remains a fundamental problem in our understanding of
220 giant planet upper atmospheres in general (e.g., Herbert et al., 1987). Even though Earth-based
221 observations of Uranus (Infrared Space Observatory, Spitzer, Herschel, ground-based) have
222 improved dramatically in the decades since Voyager 2, many questions about this very poorly
223 explored region of our Solar System remain unanswered. Certain spectral regions, particularly those
224 obscured by telluric water vapour, are inaccessible from the ground. The overarching atmospheric
225 science objective is to explore the fundamental differences in origin, meteorology and chemistry
226 between the Ice and Gas Giants; to reveal the underlying mechanisms responsible for Uranus'
227 unique conditions.

228 **2.1.1 What is the internal structure and composition of Uranus**

229 At present there is no Uranus interior model that is consistent with all of the physical constraints,
230 such as Uranus' gravity field, luminosity, magnetic field, and realistic ice-to-rock ratio. Figure 2
231 illustrates a model that is consistent with the gravity and magnetic field data but not with the
232 luminosity of the planet. Uranus and Neptune are known to have substantial elemental enrichments
233 in carbon and deuterium (Owen and Encrenaz, 2006; Feuchtgruber et al., 2013), but abundances of
234 other simple elements (N, S and O), their isotopic ratios ($^{12}\text{C}/^{13}\text{C}$, $^{14}\text{N}/^{15}\text{N}$, $^{16}\text{O}/^{17}\text{O}$) and the noble
235 gases (He, Ne, Ar, Xe, Kr) have never been adequately constrained. Nevertheless, Uranus' bulk
236 atmospheric composition provides a key diagnostic of planetary formation models. To develop
237 improved models of Uranus' interior, better compositional data must be obtained (Helled et al.,
238 2010).

239 The mass of the core also places constraints on planetary formation models. For example, if H/He is
240 mixed into the deep interior with only a small central core this could suggest gas accretion onto a
241 low-mass proto-planetary core, or efficient vertical mixing, or inclusion of disk-gas into the
242 building planetesimals, rather than accretion onto a large ice-rock core of $\sim 10 M_{\text{E}}$. Furthermore, the
243 predicted large size of Uranus' core relative to the H_2 -He envelope may make Uranus our best
244 opportunity for studying the elemental composition and thermochemistry of the outer solar nebula
245 at the earliest stages of planetary formation. Measurements of Uranus' bulk atmospheric
246 composition, luminosity, magnetic and gravity fields, and normal-mode oscillations will place new
247 constraints on Uranus' interior and on the origins and evolution of Uranus. The gravity field can be

248 measured both by radio science and by observing the precession of Uranus' ten dense narrow
249 elliptical rings (Jacobson et al., 1992; Jacobson, 1998, 2007). Magnetic field measurements can be
250 used to assess the structure of the dynamo region. Measurement of noble gas abundances and
251 isotopic ratios can be obtained with a shallow (1 bar) entry probe, whilst some isotopic ratios can be
252 determined by remote sensing. A deep (>5 bar) atmospheric entry probe would be able to resolve
253 the question of whether the S/N ratio is enhanced above solar abundance. Giant-planet seismology,
254 building upon the mature fields of helio- and astro-seismology, will revolutionise our ability to
255 probe the interior structure and atmospheric dynamics of giant planets.

256 Improved knowledge of the composition and interior structure of Uranus will also provide deeper
257 insight into the processes that remixed material in the protoplanetary disk, caused for example by
258 the formation of Jupiter (Safronov, 1972; Turrini et al., 2011) or due to extensive primordial
259 migration of the giant planets (Walsh et al., 2011).

260 **2.1.2 Why does Uranus emit very little heat?**

261 Planets are warm inside and cool down as they age. Gravitational energy from material accretion
262 was converted to intrinsic, thermal energy during formation and is steadily radiated away through
263 their tenuous atmospheres as they age. Voyager measurements suggest that Uranus' evolution
264 produced a planet with negligible self-luminosity, smaller than any other planet in our Solar System
265 (Pearl et al., 1990). Thermal evolution models probe the energy reservoir of a planet by predicting
266 its intrinsic luminosity. Such models reproduce the observed luminosity of Jupiter and Neptune
267 after 4.56 Gyrs of cooling, independent of detailed assumptions about their atmosphere, albedo, and
268 solar irradiation. The same models, however underestimate it for Saturn and overestimate it for
269 Uranus. Indeed, Uranus's atmosphere appears so cold (its intrinsic luminosity so low) that,
270 according to standard thermal evolution theory, Uranus should be more than 3 Gyrs older than it is.
271 However, the uncertainties on the Voyager-determined energy balance are large enough to
272 substantially reduce that discrepancy. In particular, as the observational uncertainty (Pearl et al.,
273 1990) in the albedo and the effective temperature (derived from the brightness temperature) are
274 significant, Uranus could as well cool down adiabatically, just as Neptune, if its real heat loss is
275 close to the observed upper limit.

276 The small self-luminosity, combined with the sluggish appearance of the atmosphere as viewed by
277 Voyager, suggests that the interior of Uranus is either (a) not fully convective or that (b) it suffered
278 an early loss of internal heat. Case (b) would suggest that the interior is colder than in the adiabatic

279 case, with crystalline water deep inside (Hubbard et al., 1995). This points to a catastrophic event in
280 Uranus' early history that shocked the matter and led to a rapid energy loss. In case (a) we would
281 expect the interior to be warmer, with water plasma (e.g., Redmer et al., 2011) implying large-scale
282 inhomogeneities, possibly caused by immiscibility of abundant constituents such as helium and
283 carbon or upward mixing of core material, that inhibit efficient heat transport. However, during the
284 last decade ground-based observations have revealed the appearance of convective cloud features,
285 typically at mid-lattitudes, suggesting localised convective regions of adiabatic thermal gradients in
286 the deep troposphere (Sromovsky et al., 2007; de Pater et al., 2011). Vertical transport of energy
287 and material seems to occur only in localised regions on this enigmatic planet. In fact, the inferred
288 size of a non-convective internal region depends sensitively on the imposed intrinsic heat flux
289 value: a mostly stable interior is predicted if the heat flux is close to zero, but a fully convective
290 interior is possible, as for Neptune, should the upper limit of the observed heat flux value prove true.

291 In order to better constrain Uranus' internal heat flux (derived from the measured albedo and
292 brightness temperature) tighter observational constraints of these quantities are necessary. These
293 inferences come from a single measurement from the Voyager flyby, at a single point in Uranus'
294 seasonal cycle. Thus the balance between Uranus' emission and absorption may be seasonally
295 variable, and new global measurements of reflected solar and emitted infrared radiation are required
296 to assess the presence or absence of an internal heat source, and its importance as driving
297 mechanisms for Uranus' meteorological activity. Atmospheric properties and profiles, measured by
298 an atmospheric entry probe using a combination of radio science, an on-board accelerometer and a
299 nephelometer, may also shed light on heat transport in the atmosphere.

300 **2.1.3 What is the configuration and origin of Uranus' highly asymmetrical internal** 301 **magnetic field?**

302 Understanding the configuration of Uranus' internal magnetic field is essential for correctly
303 interpreting the configuration of the magnetosphere, its interaction with the rings, moons and solar
304 wind, and for understanding how dynamo processes in the interior of Uranus generate the field. In
305 contrast to the magnetic fields of Earth, Mercury, Jupiter and Saturn, which are dominated by a
306 dipole nearly co-aligned with the rotation axis, those of Uranus and Neptune are characterised by a
307 large offset and tilt between the dipole and spin axes with strong quadrupole and octupole
308 contributions to the internal magnetic field. The magnetic field data from Voyager 2 are sufficient
309 to crudely constrain the internal field of Uranus, but more complex and (currently) poorly
310 constrained models are required to fit the data (Holme and Bloxham, 1996). At the planetary

311 surface the magnetic dipole, quadrupole and octupole components of the total internal field are of
312 comparable strength, but at the top of the dynamo region ($\sim 0.75 R_U$) the latter two dominate. Figure
313 3 illustrates the highly asymmetrical nature of Uranus' internal magnetic field, using the model of
314 Herbert (2009) compared with Saturn's highly symmetrical internal field, using the model of Davis
315 and Smith (1990).

316 A variety of competing numerical dynamo models (e.g., Stanley and Bloxham, 2004, 2006;
317 Soderlund et al., 2013) have been developed which can explain these fields but new magnetic field
318 measurements are required to allow us to determine which is the closest to reality. The field is also
319 expected to have undergone secular changes since the Voyager 2 epoch (Christensen and Tilgner,
320 2004). Magnetic field measurements at a variety of planetocentric latitudes and longitudes will
321 provide a wealth of data from which to test these competing models. This will lead to significant
322 changes in our understanding of field generation in Ice Giant planets and of planetary magnetic
323 field generation in general. Models of the internal field can also be greatly improved by the use of
324 auroral images which provide additional high-latitude constraints. Herbert (2009) combined the
325 Voyager observations of the internal field and assumed magnetically conjugate southern and
326 northern UV auroral emissions to derive such a higher order model. Better-quality images of auroral
327 emissions than are possible from Earth (e.g., Lamy et al., 2012) are paramount for improving the
328 accuracy of the planetary field model.

329 **2.1.4 What is the rotation rate of Uranus' interior?**

330 A correct interpretation of the internal structure of Uranus relies on an accurate knowledge of the
331 internal rotation rate of the planet (Nettelmann et al., 2013). Modelling of Uranus' internal magnetic
332 field, and observations of radio emissions (Uranian Kilometric Radiation, UKR) and atmospheric
333 motions all provide independent estimates of the rotation rate of the planet, although not always
334 from the same region of the planet. Analyses of Voyager 2 data have yielded three estimates of the
335 rotation rate of Uranus, from 17 hours 12 minutes 36 seconds (± 72 seconds) (Herbert, 2009) to 17
336 hours 17 minutes 24 seconds (± 36 seconds) (e.g., Ness et al., 1991). New measurements of Uranus'
337 magnetic field and UKR will enable us to significantly improve the accuracy on the determination
338 of the planetary period (to a few parts in 10^{-5}), and check if second order effects (e.g., Saturn
339 displays different radio periods in both magnetic hemispheres, each varying with time) are present.

340 **2.1.5 How is Uranus' atmospheric structure and composition influenced by its unique** 341 **seasons?**

342 The potential absence of an internal heat source renders Uranus' weather unique among the giant
343 planets. Neptune, with its powerful self-luminosity, provides an important counter-example of a
344 convectively-active weather layer. The extreme 98° obliquity of Uranus subjects the atmosphere to
345 extremes of seasonal forcing, with each pole spending decades in darkness. Despite the bland
346 visible appearance of Uranus from Voyager, recent ground-based observations (e.g., Sromovsky et
347 al., 2007, 2009, 2014; de Pater et al., 2011; Fry et al., 2012) have shown the planet to be more
348 dynamically active than previously thought (figure 4).

349 Bright poles seen in 1.3-cm images from the Very Large Array (VLA) show that the polar regions
350 of the deep troposphere are depleted in absorbers relative to the equator, thus indicating large-scale
351 atmospheric motions (Hofstadter et al., 2006). The same pattern is seen in the CH_4 distribution at
352 higher altitudes (Karkoschka and Tomasko, 2009). Seasonal changes in clouds and dynamics have
353 also been observed: in 1986, the sunlit South Pole appeared bright due to a polar 'cap' of
354 stratospheric aerosols. The bright South Pole diminished over the ensuing years, and became a faint
355 polar band of brighter material, while a new collar of bright material became visible in the northern
356 springtime hemisphere. High resolution ground-based observations in 2012 (figure 4) reveal what
357 may be convective clouds of CH_4 , which may eventually form a polar hood as was seen in the
358 southern polar regions during the Voyager flyby (e.g., Atreya et al., 1991). All of these are
359 indicative of the meridional circulation, which on this highly seasonally driven planet is likely to be
360 unique, but also instructive about how planets work under more general obliquity/insolation
361 conditions. The long temporal baseline of high spatial resolution atmospheric observations will
362 allow us to study the nature, frequency, distribution and morphology of discrete cloud activity (e.g.,
363 storms, vortices). In particular, we aim to understand the origin, lifecycle and drift rates of Uranus'
364 dark spots and associated bright clouds (large anticyclonic vortices, e.g., Hammel et al., 2006), for a
365 direct comparison with the lifecycles observed on Neptune. Finally, the relative importance of wave
366 activity versus moist convection in vertical mixing could be uniquely tested on Uranus, given the
367 anticipated low levels of convective activity.

368 **2.1.6 What processes shape atmospheric chemistry and cloud formation on an ice giant?**

369 Reflected sunlight observations can be used to identify the composition and distribution of Uranus'
370 main condensation cloud decks. The brightest white features are thought to be caused by ices of
371 CH_4 , overlying a putative cloud of NH_4SH or H_2S , but probably not NH_3 (de Pater et al., 1991),
372 with a deep cloud of water hypothesised at much higher pressures. Thin photochemical haze layers
373 may exist above the condensate clouds in the upper troposphere and stratosphere, leading to

374 oscillations in the temperature profiles due to localised radiative heating. Indeed, stratospheric
375 hazes of small particles (likely to be condensed hydrocarbons) were observed in high-phase angle
376 imaging from Voyager 2 (Rages et al., 1991), a geometry that can only be provided by a visiting
377 spacecraft. These condensed hydrocarbons may sediment downwards into the troposphere, serving
378 as cloud condensation nuclei or as coatings for existing particles, complicating our capabilities for
379 uniquely identifying the composition of the cloud decks. The optical properties and spatial
380 distributions of these tropospheric and stratospheric hazes are poorly known, but they may
381 contribute significantly to the radiative heating of the upper atmosphere, and thus our understanding
382 of atmospheric circulation in Uranus' stably-stratified atmosphere.

383 Below the clouds, the atmospheric composition is poorly known. The altitude of the deep H₂O
384 condensation cloud is poorly understood because the bulk water abundance may be enhanced by
385 10-30 times the solar abundance (de Pater and Lissauer, 2010). The H₂O cloud may exist over
386 extended pressure ranges beneath 50-80 bar, and may even merge with a region of super-critical
387 H₂O in Uranus' interior. It is not clear what chemical gradients are responsible for the emergence of
388 dark spots (anti-cyclones) and associated bright orographic clouds. Above the clouds, the Infrared
389 Space Observatory (ISO, 1995-1998) and Spitzer Space Telescope (2003-Present) showed that
390 stratospheric chemistry initiated by UV-driven photolysis of CH₄ powers a rich photochemistry,
391 resulting in a soup of hydrocarbons in the upper atmosphere (Moses et al., 2005). This hydrocarbon
392 chemistry differs from the other giant planets, as the sluggish vertical mixing means that CH₄ is not
393 transported to such high altitudes, so that hydrocarbon photochemistry operates in a very different
394 regime (i.e., higher pressures) than on the other giants. Furthermore, ISO and Herschel (2009-2013)
395 observed oxygenated species in the high atmosphere, potentially due to infalling dust and comets
396 (Feuchtgruber et al. 1997; Cavalié et al., 2014). It is important to search for previously unidentified
397 or unmapped stratospheric species (CO, HCN, CO₂, etc.) such as those related to coupling between
398 the neutral atmosphere and the uranian ring/satellite system.

399 Remote sounding observations are required to place constraints on Uranus' bulk inventory, vertical
400 distribution, composition, and optical properties of Uranus' clouds and hazes. A deep (>5 bar)
401 atmospheric entry probe would enable the measurement of bulk CH₄ and H₂S abundances, as well
402 as the abundances of key noble gases and isotopic ratios to understand the origin of this ice giant.

403

404

405 **2.1.7 What processes govern upper atmospheric structure?**

406 The thermosphere and ionosphere form a crucial transition region between interplanetary space and
407 the planet itself. Powerful currents, generated by electric fields imposed by the magnetosphere, can
408 result in large energy inputs to the upper atmosphere; the energy from these sources may be tens to
409 hundreds of times greater than that due to the absorption of solar (extreme ultra-violet, EUV)
410 radiation. The unique orientations of Uranus' magnetic dipole and spin axis combined with strong
411 seasonal driving produce a highly time-dependent and complex interaction between the solar wind,
412 magnetosphere, ionosphere and thermosphere. Therefore, this system provides a unique opportunity
413 to understand how insolation and particle precipitation from the solar wind magnetosphere
414 contribute to the energy balance in the upper atmosphere. These processes are suspected to be
415 involved in maintaining a temperature several hundred Kelvin hotter than can be explained by solar
416 heating alone. This requires additional heating and the apparent partial seasonal control (Melin et al.,
417 2011, 2013) suggests that this is strongly modulated by the way in which varying magnetospheric
418 configurations couple with the upper atmosphere to produce time-variable fields and currents.

419 Mapping temperatures, electron densities, and the distributions of ions and molecules in the
420 ionosphere and thermosphere using UV and IR remote sensing (in concert with in situ
421 magnetospheric fields and particles measurements, section 2.3) will permit an unravelling of the
422 thermospheric heating problem and will provide evidence for auroral activity in response to varying
423 solar activity.

424 **2.2 An ice giant planetary system: rings and natural satellites**

425 Uranus has a rich planetary system of both dusty and dense narrow rings, and regular and irregular
426 natural satellites. This unique example of a planetary system holds an important key to help us
427 unravel the origin and evolution of the Solar System. Figure 6 illustrates some of the main features
428 of rings and natural satellites over a wide range of radial distances and figure 7 shows a zoom of the
429 inner region from Uranus to Miranda, the innermost of the five major moons. From this figure one
430 can see the dense packing of the uranian ring and inner satellite system. Ground-based observations
431 have found changes in the rings and satellites since the Voyager 2 flyby, indicating that
432 fundamental instabilities in the coupled ring-moon system are of clear importance for understanding
433 the evolution of planetary systems (de Pater et al., 2007). Figure 8 shows Voyager's single high-
434 phase image of Uranus' ring system, revealing a plethora of dust structures. More recent
435 observations have revealed an outer ring system (Showalter and Lissauer, 2006; de Pater et al.,

436 2006b, 2013). However, yet the lack of a near-infrared spectrometer on Voyager 2 means that the
437 composition of the rings is almost entirely unknown. It is clear from the albedo that the ring particle
438 surfaces, and possibly the particles themselves, are very different from those in Saturn's ring and
439 must include a non-water-ice component.

440 The five largest moons of Uranus (Miranda, Ariel, Umbriel, Titania, Oberon – see figure 9) are
441 comparable in sizes and orbital configurations to the medium-sized moons of Saturn. They are,
442 however, characterised by larger mean densities, about 1500 kg m^{-3} on average, and by different
443 insolation patterns, with their poles directed towards the Sun during solstice, owing to the large
444 axial tilt of the planet. Oberon lies outside of the magnetosphere (depending on season, during
445 solstice it spends periods in the magnetotail), and Titania is sometimes outside the magnetosphere
446 depending on the upstream solar wind conditions (figure 6), but Miranda, Ariel and Umbriel orbit
447 within the magnetosphere and hence space weathering should have modified their surface
448 properties, causing particles to be ejected from their surfaces. The observations performed during
449 the flyby of Voyager 2 revealed surprising amounts of geological activity on these moons, possibly
450 involving cryovolcanic processes. Finally, the uranian system is host to a set of irregular moons
451 with evidence for dynamical groupings that may hold keys to understanding the evolution of
452 Uranus, in particular the great collision hypothesis for the obliquity of Uranus.

453 The study of the moons and rings of Uranus – in particular their composition and dynamical
454 stability, their subsurface and deep interior structure, and their geological history and evolution and
455 how that relates to their formation – are important parts of ESA's Cosmic Vision goal for
456 understanding how the Solar System works. The possibility that Uranus' irregular satellites are
457 captured Centaurs or comets can also contribute to understanding small primitive bodies and may
458 provide lessons for our understanding of the origin of life in the Solar System, particularly since
459 objects exposed to the solar wind are subjected to very different space weathering processes than
460 those protected from the solar wind (e.g., figure 6).

461 **2.2.1 What is the composition of the uranian rings?**

462 The composition of the uranian rings is almost entirely unknown, as Voyager 2 did not carry an
463 infrared spectrometer capable of detecting the rings. However, it is clear from their low albedo that
464 at least the surfaces of the ring particles are very different from those in Saturn's rings, and must
465 have a significant non-water-ice component. The particle-size distribution of Uranus' main rings is
466 also mysterious, where the main rings contain particles between 10cm and 10m, with a surprising

467 lack of cm-size particles detected by the Voyager 2 radio occultation (French et al., 1991). The ring
468 system has also changed significantly since the Voyager flyby in ways we do not understand
469 (Showalter and Lissauer, 2006) and new rings and satellite components have been discovered.
470 These need to be characterised at close range in order to understand how their rapid evolution fits
471 into various paradigms of Solar System evolution.

472 A Uranus orbiter will enable high-resolution near-infrared and visible observations of the rings and
473 small moons which will constitute a significant advance in our understanding of the evolution of the
474 uranian system and will provide constraints on planetary evolution models. Observations of the
475 narrow rings are needed to unravel the dynamics of their confinement and to confirm theories of
476 self-maintenance and of shepherding by moons, which are relevant to other disk systems including
477 protoplanetary disks. Mapping the spatial variations of both composition and particle size will
478 clarify phenomena such as contamination and material transport within the system. Stellar, solar
479 and radio occultations will enable the determination of the ice-fraction and size distribution of ring
480 particles. A dust detector can directly determine from *in-situ* measurements the number densities as
481 well as the speed and size-distributions of dusty ring material. Moreover, a chemical analyzer
482 subsystem can provide unique information on the composition of these grains, bearing the
483 possibility to constrain isotopic ratios of the constituents (Briais et al., 2013). Because larger ring
484 particles and the uranian satellites are the main sources of the dust, dust measurements give direct
485 information on the composition of these bodies. Also of interest are the rings' interaction with
486 Uranus' extended exosphere and their accretion/disruption interplay with the nearby retinue of small
487 moons.

488 **2.2.2 How do dense rings behave dynamically?**

489 The main rings are eccentric and inclined and generally bounded by sharp edges – see reviews by
490 Elliot and Nicholson (1984) and French et al. (1991). Although theories exist regarding these
491 characteristics, including resonant interactions, “shepherding” by nearby satellites, and self-
492 maintenance, the mechanisms are far from understood. Our understanding of these mechanisms is
493 highly relevant to other disc systems, including protoplanetary and debris discs. Existing data give
494 preliminary hints that self-gravity wakes and spiral density waves, which are important diagnostics
495 as well as driving phenomena in Saturn’s rings (e.g., Cuzzi et al., 2010), also exist in at least some
496 parts of Uranus’ rings, but much more detailed observation is needed to characterise them.

497 The rings of Uranus are the best natural laboratory for investigating the dynamics of dense narrow
498 rings, an important complement to the dense broad disk exemplified by Saturn's rings, and diffusive
499 rings at Jupiter and Neptune (Tiscareno, 2013). These observations will undoubtedly reveal many
500 new structures and periodicities, and possibly new moons that play important roles in ring
501 confinement. Rings can also shed light on the planet's gravitational and magnetic fields as well as
502 the influx of interplanetary meteoroids (e.g., Hedman and Nicholson, 2013). High-resolution
503 images of the rings from a number of orbits and phase angles are needed in order to unravel their
504 dynamics.

505 **2.2.3 How do Uranus' dusty rings work?**

506 The Cassini mission has taught us that dusty rings are shaped by solar radiation forces, which
507 depend on particle properties (size, albedo, etc.), as well as by the gravitational influence of
508 satellites. Thus, a study of the dynamical structure of dusty rings will unveil much about the
509 particles' currently unknown material properties.

510 The post-Voyager discovery of the ν ring is especially intriguing, as this dusty ring lies between the
511 orbits of two closely-packed satellites, but does not itself have any apparent source (Showalter and
512 Lissauer, 2006). It is quite possible that the ν ring is the remains of a moon that was disrupted by a
513 collision fairly recently. The innermost dusty ζ ring appears to have moved several thousand km
514 outward between the Voyager 2 flyby and recent Earth-based observations (de Pater et al., 2007),
515 but this changing ring has not been studied closely. Ring particles could be lost to the planet by the
516 drag force from the extended exosphere of Uranus (Broadfoot et al., 1986) and may lead to similar
517 effects as the 'ring rain' at Saturn (O'Donoghue et al., 2013). Finally, Voyager's single high-phase
518 image of the rings revealed a plethora of otherwise unknown dust structures (Murray and
519 Thompson, 1990). The bright bands and gaps in this dusty region are difficult to reconcile with
520 conventional theories.

521 High-resolution images of these dusty rings will allow us to determine their structure and evolution.
522 Detailed observations may reveal one or more large source objects for this dusty region with
523 possible evidence of accretion among them. In-situ detection with a dust detector, together with
524 radio and plasma wave observations, would permit a direct measurement of the local dust density,
525 possibly leading to the discovery of new dust populations (Kempf et al., 2005) and interactions with
526 the magnetosphere (Hsu et al., 2011). A dust detector can also provide information on the size-
527 distribution (Spahn et al., 2006) and the composition of grains (Postberg et al., 2011), as well as on

528 their charge state, which might be key (Horányi, 1996) to understand the individual (Horányi et al.,
529 1992) and collective Hedman et al. (2010) dynamics of micron-sized particles. Such in-situ
530 measurements have the potential to reveal the mechanisms behind the rapid evolution of the uranian
531 dust rings seen in ground-based data (de Pater et al., 2007) and the intriguing similarities to other
532 ring systems (de Pater et al., 2006a).

533 **2.2.4 How do the rings and inner satellites interact?**

534 The inner moons of Uranus comprise the most densely-packed known satellite system, as can be
535 seen in figure 7, with 13 known-objects on orbits ranging from 49770 to 97700 km (Cordelia to
536 Mab) from the planet's centre. This crowded system appears to be subject to mutual collisions on
537 timescales as short as $\sim 10^6$ yr (Duncan and Lissauer, 1997; Showalter and Lissauer, 2006; French
538 and Showalter, 2012), and several moons show measurable orbital changes within a decade or less,
539 raising important questions regarding the origin, evolution, and long-term stability of the Uranus
540 system. Lying immediately exterior to Uranus' main ring system, but outside the "Roche limit" so
541 that collisional products are able to re-accrete into new moons, these uranian inner satellites both
542 interact with the rings (as well as with each other) and comprise a parallel system, a natural
543 laboratory in which the effects of collisional disruption and re-accretion can be studied. The moon
544 Mab lies at the centre of the μ ring, which shares with Saturn's E ring the unusual characteristic of a
545 blue colour likely due to a preponderance of monodisperse small particles (de Pater et al., 2006b).
546 However, while Enceladus creates the E ring by means of a fine spray of water crystals escaping
547 from geysers, Mab seems much too small (~ 50 km across) to plausibly sustain any internal
548 activity; it is, however, important to note that the same was formerly said of Enceladus. Mab also
549 exhibits large unexplained deviations in its orbit (Showalter et al., 2008). Close observations of the
550 surface of Mab, as well as its orbit and its interaction with the μ ring, are certain to yield significant
551 discoveries on the evolution of coupled ring-satellite systems. Astrometric imaging of the uranian
552 inner moons would significantly contribute to understanding this system, identifying resonant and
553 chaotic interactions that can explain its current workings and past history.

554 **2.2.5 What is the origin of the ring/inner satellite system?**

555 The close packing of Uranus' small moons and its ring system has suggested that there could be a
556 genetic link between the two. Colwell and Esposito (1993) have suggested that Uranus' rings may
557 be the debris of moons destroyed by the meteoroid bombardment over the age of the Solar System.
558 The giant impact theory for Uranus' large obliquity also provides a mechanism for producing the

559 rings from a disruption of the original satellite system (Coradini et al., 2010). More recently it has
560 been suggested that tides themselves may destroy moons and create the rings (Leinhardt et al.,
561 2012). These scenarios are similar to recent suggestions that the satellite systems of Saturn, Uranus
562 and Neptune may have resulted from ring evolution (Crida and Charnoz, 2012). These scenarios
563 would imply the existence of a cycle of material between rings and moons. Since Uranus'
564 ring/moon system evolves on timescales as short as decades, *in situ* tracking of this evolution would
565 be a formidable opportunity to study this cycle, which may be at work also for Neptune and Saturn,
566 but on longer time-scales for these systems. By leading a comparative study of spectral
567 characteristics of the rings and moons, we may unveil the origin of both the satellites and rings by
568 inferring whether they are made of the same material or not.

569 **2.2.6 What is the composition of the uranian moons?**

570 The five major satellites of Uranus (Miranda, Ariel, Umbriel, Titania, and Oberon) are comparable
571 in orbital configuration and sizes to the medium-sized icy moons of Saturn, but with markedly
572 higher mean densities (1500 kg m^{-3} on average). Figure 9 shows photometrically correct and equal-
573 scale images of these five moons. The albedos of the five major satellites of Uranus, varying
574 between 0.21 and 0.39, are considerably lower than those of Saturn's moons, except Phoebe and the
575 dark hemisphere of Iapetus. This reveals that water ice, which dominates their surfaces, is mixed in
576 varying proportions to other non-ice, visually dark and spectrally bland, material that is possibly
577 carbonaceous in origin (Brown and Cruikshank, 1983). Carbon dioxide has been detected from
578 telescopic observations on Ariel, Umbriel and Titania, but has not been detected on the furthest
579 regular Uranian satellite, Oberon (Grundy et al., 2006). The detected CO_2 ice appears to be
580 concentrated on the trailing hemispheres of these satellites, and it decreases in abundance with
581 increasing semi-major axis (Grundy et al., 2006), as opposed to what is observed in the Saturn
582 system.

583 Due to the absence of a near infrared spectrometer in the payload of Voyager 2, no detailed
584 information is available on the surface chemistry of the icy moons. Just to give a few examples,
585 there is no indication about the chemistry of the structural provinces identified on the surfaces of
586 Titania and Oberon, exhibiting different albedos and different crater density that reveal different
587 ages. Similarly unknown is the nature of dark material (perhaps rich in organics) that fills the floors
588 of major impact craters on Oberon, as well as the composition of the annulus of bright material that
589 is enclosed in the large crater Wunda on Umbriel. The chemical nature of the flows of viscous
590 material observed on Ariel and Titania is also unknown, while a clear indication of the presence of

591 ammonia hydrate on the surface of Miranda, suggested by Bauer et al. (2002) on the basis of
592 telescopic observations, is lacking. The major moons also differ from other major satellites around
593 giant planets in that they have very different insolation patterns, with their poles directed towards
594 the Sun during solstice, owing to the large obliquity of the planet. Also, Oberon lies outside of the
595 magnetosphere (depending on season), and Titania is sometimes outside the magnetosphere
596 depending on the upstream solar wind conditions (figure 6), but Miranda, Ariel and Umbriel orbit
597 within the magnetosphere and hence space weathering should have modified their surface
598 properties, causing particles to be ejected from their surfaces.

599 The observations performed during the flyby of Voyager 2 revealed surprising amounts of
600 geological activity on these moons, possibly involving cryovolcanic processes. As can be seen from
601 figure 10, Miranda exhibits striking structural geology, despite its small size (472 km in diameter),
602 with ridges and grooves that may be the result of internal differentiation processes (Janes and
603 Melosh, 1988) or the surface expression of large-scale upwelling plumes (e.g. Pappalardo et al.,
604 1997). Similar internal processes possibly occurred on the comparably-sized Enceladus in the
605 saturnian system, before its intense surface activity and cryovolcanic plumes developed.

606 Observations of Miranda thus provide a unique opportunity to understand how small moons can
607 become so active (Castillo-Rogez and Lunine, 2012). Moreover, the convex floors of Ariel's graben
608 may provide the only evidence for widespread cryovolcanism in the form of viscous extrusive
609 cryolava flows (Croft and Soderblom, 1991; Schenk, 1991), a process that has been elusive in the
610 Solar System, with only a few small examples documented elsewhere to date, for example, Sippar
611 Sulcus on Ganymede (Schenk and Moore, 1995) and Sotra Patera on Titan (Lopes et al., 2013).
612 However, only very limited observations were possible during Voyager 2's brief encounter, at
613 which time only the southern hemispheres of the satellites were illuminated. The diversity of the
614 medium-sized icy satellites at Uranus demonstrates the complex and varied histories of this class of
615 object.

616 Very little is known about the composition of the irregular moons of Uranus yet they may hold keys
617 for understanding the evolution of the uranian system, particularly in relation to the great collision
618 hypothesis (e.g., Parisi et al., 2008). Photometrically, Sycorax and Caliban are redder than Uranus
619 and its regular satellites, perhaps similar to Kuiper belt objects, Centaurs and comet nuclei (e.g.,
620 Maris et al., 2001) suggesting an origin as captured objects. Although, spectrally in the near-IR
621 they are more difficult to interpret, and rotational effects may need to be included where the
622 surfaces are spectrally inhomogeneous (Romon et al., 2001).

623 By using an imaging spectrometer in the near infrared range from 0.8 μm to at least 5 μm , it will be
624 possible to unveil the surface composition of the moons by identifying and mapping various
625 chemical species (with particular emphasis on non-water-ice materials, including volatiles and
626 organics). This will ultimately enable an unprecedented correlation of surface composition with
627 geologic units at various spatial scales. Spatially resolved chemical mapping will also help separate
628 the relative contributions of endogenic subsurface chemistry and exogenic magnetosphere-driven
629 radiolysis across the moons (e.g., Cartwright et al., 2013), the transport of dust in the uranian
630 system (e.g., Tosi et al., 2010) and assess the role of processes that exchanged material between the
631 surface and subsurface.

632 **2.2.7 What is the origin of Uranus' moons and how have they evolved?**

633 As in the jovian and saturnian systems, tidal and magnetospheric interactions are likely to have
634 played key roles in the evolution of the uranian satellite system. For instance, intense tidal heating
635 during sporadic passages through resonances is expected to have induced internal melting in some
636 of the icy moons (Tittlemore and Wisdom, 1990; Tittlemore, 1990). One such tidally induced melting
637 event may have triggered the geological activity that led to the late resurfacing of Ariel. The two
638 largest moons, Titania and Oberon, with diameters exceeding 1500 km, might still harbour liquid
639 water oceans between their outer ice shells and inner rocky cores, remnants of past melting events
640 (Hussmann et al. 2006).

641 The surfaces of the five major satellites of Uranus exhibit extreme geologic diversity; however,
642 understanding of their geologic evolution and tectonic processes has suffered greatly from
643 incomplete Voyager image coverage (imaging restricted to the southern hemispheres) and only
644 medium to low image resolutions (order of several kilometres per pixel, except for part of Miranda)
645 which only allow characterization of the largest geologic units in the areas that could be imaged
646 (e.g., Croft and Soderblom, 1991). The crater size-frequency distributions of the five satellites, used
647 as a tool for dating surface features and for constraining impactor origin, are known only for the
648 southern hemispheres and crater sizes larger than a few kilometres (e.g. Plescia, 1987). There are
649 also still large uncertainties in the bulk composition of the moons (e.g. Hussmann et al., 2006),
650 which provide fundamental constraints on their origins.

651 High-resolution images of the satellite surfaces, which will provide key information on the ages and
652 compositions of the surfaces and will constrain the dynamical and geologic histories that led to the
653 observed diversity. For example, Miranda and Ariel exhibit evidence of significant endogenic

654 geological activity. High-resolution surface mapping will enable us to determine the degree to
655 which tectonic and cryovolcanic activity has occurred, permitting characterisation of the role played
656 by tidal dissipation and understanding whether uranian moons have experienced internal activity
657 similar to that at Enceladus. Mapping of the moons will help constrain the nature and timescale of
658 this activity, and characterizing the environment in their vicinity may reveal outgassing if, as at
659 Enceladus, activity is continuing. Collisional activity amongst the irregular satellites can produce
660 contamination of the regular satellite surfaces with material from the irregular satellites via dust
661 transport (Schubert et al., 2010). High-resolution imagery and spectral data could reveal evidence of
662 such processes.

663 Accurate astrometric measurements can also be used to quantify the influence of tidal interactions
664 in the system at present, providing fundamental constraints on the dissipation factor of Uranus
665 (Lainey et al., 2008). Gravimetric and magnetic measurements, combined with global shape data,
666 will greatly improve the models of the satellites' interiors, bringing fundamental constraints on their
667 bulk composition (density) and evolution (mean moment of inertia). Understanding the composition
668 (particularly the ice-to-rock ratio) and the internal structure of the natural satellites will also enable
669 us to understand if Uranus' natural satellite system was the original population of bodies that
670 formed around the planet, or if they were subsequently disrupted, potentially via a giant impact that
671 might have produced Uranus' large obliquity (Coradini et al., 2010).

672 Crater statistics will be crucial in determining the satellites' geological histories as well as providing
673 critical information about the projectile flux in the outer Solar System. Near- and mid-infrared
674 spectroscopy will enable us to understand the surface composition of the moons yielding further
675 information on their origin and evolution. Occultations will enable us to probe any tenuous
676 atmospheres that may be present and UV spectroscopy may then lead constraints on their chemistry,
677 with implications for the subsurface. The dayside magnetopause lies at a distance of $18 R_U$ and,
678 therefore, the major moons (except Oberon, and sometimes Titania) are embedded within the
679 magnetosphere. This implies that their water-ice surfaces are eroded by magnetospheric charged
680 particles in addition to photons and micro-meteoroids. Measuring the properties of the charged
681 particles that these moons can encounter, and the energetic neutral particles released after the ions
682 impact the surface, will constrain the role of plasma bombardment on surface evolution. These data
683 will constitute strong constraints to allow us to understand how satellite systems form and evolve
684 around Ice Giants. The composition of the uranian moons will represent an essential data point in
685 understanding the nature and origins of organic and volatile material in the outer Solar System.

686 Recent models of icy satellite interiors suggest the larger uranian satellites, Titania and Oberon,
687 may contain subsurface oceans (Hussmann et al., 2006) and Miranda may be subject to recent or
688 even ongoing activity (Castillo-Rogez and Turtle, 2012). The magnetic field induced in Europa's
689 subsurface ocean was readily detectable by Galileo (e.g., Khurana et al., 1998) and any such
690 signatures at Uranus are expected to be strong due to Uranus' asymmetrical field.

691 Remote observations of Uranus' irregular satellites can be used to search for potential genetic
692 relationships with the irregular satellites found in other giant planet systems and thus understand the
693 evolution of Solar System minor bodies and giant planet natural satellites. Amongst the irregular
694 satellites, numerical simulations and photometry suggest at least two dynamical groupings: the
695 Caliban group (Caliban, Stephano and Francisco), and the Sycorax group (Sycorax, Prospero,
696 Setebos) with heterogeneous photometry supporting origins from particular parent bodies, and
697 Tinculu, Margaret and Ferdinand as single objects with a different origin (Vilas et al., 2006; Grav et
698 al., 2004; Sheppard et al., 2005). However, the photometric and spectroscopic observations are not
699 consistent and new observations are required to understand the origins of these objects and their
700 relationship to Uranus' great collision (Maris et al., 2007; Parisi et al., 2008).

701 **2.3 Uranus' aeronomy, aurorae, and highly asymmetrical magnetosphere**

702 The configuration of all the planetary magnetospheres in the Solar System is determined by the
703 relative orientations of the planet's spin axis, its magnetic dipole axis, and the solar wind flow. In
704 the general case, the angle between the magnetic dipole axis and the solar wind flow is a time-
705 dependent quantity and varies on both diurnal and seasonal timescales. Uranus presents a
706 particularly interesting and poorly understood case because this angle not only varies seasonally but
707 because of Uranus' large obliquity the extent of diurnal oscillation varies with season. At solstice
708 this angle does not vary with time and Uranus' magnetic dipole simply rotates around the solar
709 wind flow. This is a magnetospheric configuration not found anywhere else in the Solar System.
710 Figure 11 illustrates the configuration of Uranus' magnetosphere near solstice, as sampled by
711 Voyager 2.

712 Because of this unique extreme orientation, its magnetosphere is expected to vary from a pole-on to
713 orthogonal configuration during a uranian year and to change from an "open" (connected to the
714 solar wind) to a "closed" configuration during a uranian day. Such a rapidly reconfiguring
715 magnetosphere with a highly asymmetric internal magnetic field (section 2.1.3) at its core provides
716 a challenge for our theories of how magnetospheres work and will bring new insight in fundamental

717 and universal magnetospheric processes. Uranus also presents a special case because of its distant
718 location in the heliosphere where the properties of the solar wind are very different to the near-
719 Earth environment (e.g., solar wind structures merge by propagating outwards, giving rise to
720 successive long perturbations typically lasting 1-2 weeks). This provides opportunities to
721 investigate fundamental processes such as magnetic reconnection under a different parameter
722 regime. Along with the planetary magnetic field, the ionosphere of Uranus is the internal core of the
723 magnetosphere. Recent analysis of emissions from Uranus spanning almost 20 years (Melin et al.,
724 2011, 2013), have revealed a phenomenon that is not seen at the other Gas Giants in our Solar
725 System: the temperature of the ionosphere is at least partly controlled by season, such that at
726 solstice, the upper atmosphere is more than 200 K hotter than at equinox, but where other
727 influences, e.g. from the geometry of Uranus' interaction with the solar wind, are also involved.

728 Auroral emissions are also generated at kilometric (radio) wavelengths (1-1000 kHz), which cannot
729 be observed from Earth or distant observers. As at other planets, UKR is thought to be generated by
730 the Cyclotron Maser Instability. However, UKR appears to be more complex than similar radio
731 emissions at Earth, Saturn or Jupiter and only comparable to Neptune's ones. Understanding the
732 circumstances under which these peculiar radio emissions are generated is of prime importance for
733 the ground-based radio detection of exoplanets with a magnetic field (essential to the development
734 of life), particularly those with highly inclined magnetic axes with respect to the stellar flow.

735 Because planetary magnetospheres partially shield planets from solar energetic particles and
736 galactic cosmic rays they have a role to play in the development of life. In order to further our
737 understanding of how life and the platforms for life exist in the wide variety of magnetic
738 environments in the Universe, it is vital that we make comprehensive measurements in the widest
739 possible variety of environments. These aspects make a study of Uranus' magnetosphere a very
740 important objective for understanding how the Solar System works and for achieving ESA's
741 Cosmic Vision goals and those set out in the Planetary Decadal Survey. These are not only relevant
742 for the important question of understanding how asymmetric Ice Giant magnetospheres work, but
743 are also highly relevant in providing "ground-truth" for understanding exoplanetary
744 magnetospheres.

745 **2.3.1 What is the overall configuration of the uranian magnetosphere?**

746 Our understanding of the uranian magnetosphere is currently essentially limited to data from the
747 Voyager 2 flyby which provided a single snapshot where the angle of attack between the solar wind

748 axis and the magnetic dipole axis varied between 68° and 52° , to some extent similar to the Earth's
749 magnetosphere. However, the near alignment of the rotation axis with the planet-Sun line during
750 solstice means that plasma motions produced by the rotation of the planet and by the solar wind
751 were effectively decoupled (Selesnick and Richardson, 1986; Vasyliunas, 1986). Therefore, in
752 contrast with Jupiter and Saturn, solar wind plasma may be able to penetrate deep within the
753 magnetosphere despite the planet being a fast oblique rotator, although there is evidence for some
754 shielding in the inner magnetosphere (McNutt et al., 1987; Selesnick and McNutt, 1987; Sittler et
755 al., 1987). This may result in short residence times for magnetospheric plasma produced deep
756 within the magnetosphere and may limit the amount of plasma trapping inside the magnetosphere
757 and consequently the amount of charged particle acceleration (e.g., Cheng, 1987). Proton and
758 electron radiation belts (with energies up to tens of MeV) albeit slightly less intense than those at
759 Saturn were also observed in the inner magnetosphere of Uranus (Cheng et al., 1991) but their
760 diurnal and seasonal variability is largely unknown.

761 The significant asymmetries in the magnetosphere result in large-scale diurnal reconfigurations of
762 the system on timescales of hours, resulted in a twisted magnetotail topology (Behannon et al.,
763 1987; Tóth et al., 2004; Arridge, in press). The main plasma sources, transport modes and loss
764 processes in the uranian magnetosphere, and the modes of interaction (pick-up, sputtering, and
765 charge exchange) between the magnetospheric plasma and the rings and moons of Uranus are also
766 largely unknown. The configuration and dynamics of the uranian magnetosphere at equinox are
767 entirely unknown and it is not clear if this will result in a fairly quiescent magnetosphere such as
768 Neptune, or a more rotationally dominated magnetosphere like Jupiter or Saturn. Recent
769 observations and theoretical work suggest a limited role for solar wind-driven dynamics at equinox
770 (Lamy et al., 2012; Cowley, 2013) and solar wind-magnetosphere coupling via magnetic
771 reconnection that varies strongly with season and solar cycle (Masters, 2014).

772 **2.3.2 What are the characteristics and origins of the uranian aurorae?**

773 Aurorae are the most striking diagnosis of the magnetosphere dynamics, as they can be traced back
774 to the currents generated by the magnetospheric interactions. Several kinds of interactions have
775 been characterised at Earth, Jupiter and Saturn, but the Uranus optical and radio aurorae, as they are
776 known from Voyager 2 observations seem to indicate new kinds of interactions. The charged
777 particles responsible for both optical and radio auroral emissions and their source regions are also
778 unknown. A study of the uranian auroral regions can also lead to information on the thermosphere

779 due to atmospheric sputtering produced by auroral particle precipitation. Such sputtered particles
780 can be monitored by a neutral particle detector.

781 There has only been one spatially resolved observation of the UV aurora of Uranus (Herbert, 2009),
782 using a mosaic of Voyager 2 UV observations mapping emission from H Lyman- α and EUV H₂
783 band emission (Figure 12, left). The emission appeared patchy and was generally centred on the
784 magnetic poles, with the emission being the brightest about midnight magnetic local time. There
785 have been subsequent attempts to observe the aurora in both the far ultra-violet using the Hubble
786 Space Telescope (HST) (Ballester et al., 1998) and in the IR using ground-based telescopes (e.g.,
787 Trafton et al., 1999). Uranus' aurorae was recently redetected in the UV using HST (Lamy et al.,
788 2012) and revealed a radically different set of auroral processes controlled by the interaction
789 between the magnetosphere and the solar wind (Cowley, 2013), and raising important questions on
790 the generation of planetary auroral emissions and possible secular drift of Uranus' intrinsic
791 magnetic field.

792 The UKR components, which indicate different active regions in the magnetosphere, divide into
793 two categories: (i) "bursty" (<10 min) emissions comparable to that at Earth and Gas Giants, and
794 (ii) "smooth emissions" which are time-stationary emissions (lasting for hours) specific to Ice
795 Giants (Zarka and Lecacheux, 1987). These latter components require a continuous source of free
796 energy that has not yet been identified and is apparently maintained in a highly variable
797 magnetosphere (Figure 12, right). New radio observations with a modern instrumentation will
798 provide wave properties that were inaccessible to Voyager 2, such as the wave direction and
799 polarisation. Continuous remote observations of UKR and in situ measurements within their various
800 source regions will provide essential information to understand the origin and characteristics of the
801 variety of known uranian radio components and search for new components.

802 Recent calculations show that new ground-based radio telescopes could detect radio emissions from
803 hot Jupiters (Zarka, 2007). Unlike our Solar System, eccentric and complex orbital characteristics
804 appear to be common in other planetary systems, so that the understanding of radio emission
805 produced by Uranus could have profound importance in interpreting future radio detections of
806 exoplanets.

807 **2.3.3 How does solar wind-magnetosphere-ionosphere coupling work at ice giants?**

808 The uranian magnetosphere interacts with a fast magnetosonic Mach number and high-beta solar
809 wind, which is an important plasma regime in which to understand magnetic reconnection (e.g.,
810 Masters, 2014), however, Richardson et al. (1988) have reported Voyager 2 observations suggesting

811 the presence of periodic reconnection near the magnetopause. Evidence of dynamics, similar to
812 Earth-like substorm activity but possibly internally-driven, was also reported at Uranus by Mauk et
813 al. (1987) and Sittler et al. (1987) which indicate that important energy sources need to be
814 quantified, including the energy input from the solar wind. We do not know how the solar wind-
815 magnetosphere interaction is interrupted and modulated by the diurnally changing geometry.
816 Together, Uranus' ionosphere and internal magnetic field act as the inner boundary condition for
817 the magnetosphere. Models indicate that Uranus' ionosphere is dominated by H^+ at higher altitudes
818 and H_3^+ lower down (Capone et al., 1977; Chandler and Waite, 1986; Majeed et al., 2004),
819 produced by either energetic particle precipitation or solar ultraviolet (UV) radiation. It seems likely
820 that a key component of the required additional heating is driven by particle precipitation and/or the
821 way in which varying magnetospheric configurations couple with the upper atmosphere.

822 Understanding how the aurorae of Uranus respond to changes in the solar wind is essential to
823 understanding the Solar Wind interaction with giant planets more generally. While these responses
824 are well studied for the Earth, the situation for the outer planets is less well understood, partly due
825 to the lack of dedicated deep space solar wind monitors. Recent theoretical work (Cowley, 2013)
826 has argued for distinct differences in magnetotail processes between equinox and solstice, thus
827 providing a framework for the interpretation of new auroral images and demonstrating the need for
828 new in situ measurements. The magnetosphere of Uranus was observed to be the site of intense
829 plasma-wave activity with remarkably intense whistler mode emissions (Kurth et al., 1991). The
830 role of wave-particle interactions for the magnetosphere-ionosphere coupling and the generation of
831 Uranus' auroral emissions, as well as for the overall energy budget of the magnetosphere require
832 further consideration.

833 **2.4 Cruise phase science in the outer heliosphere**

834 A mission to Uranus naturally involves a relatively long duration interplanetary transfer (~15 years,
835 see section 3.1). However, this presents an opportunity to undertake studies of the outer heliosphere,
836 minor Solar System bodies, and fundamental physics of the gravitational interaction.

837 **2.4.1 Physics of the interplanetary medium**

838 The structure of the heliosphere originates in the structure of the solar magnetic field and is strongly
839 modified by the solar corona. There are a range of important questions on how this structure is
840 further modified and processed in the heliosphere and goes on to modulate the cosmic ray flux in
841 the inner heliosphere, on the generation of turbulence, and how minor bodies interact with the

842 heliosphere. One of the major issues of the physics of interplanetary medium is to understand the
843 mechanisms of energy dissipation. Injected with large spatial scales by the Sun, the energy is
844 transferred to smaller scales (ion/electron), where it is dissipated as heat. Measurements made by
845 the Voyager probes have revealed variations of the exponents of the power law of certain
846 parameters (eg, speed, magnetic field, density) with distance from the Sun, suggesting regime
847 change in the process of energy transfer (Burlaga et al. 1997). Few observations of the heliospheric
848 environment beyond 10 AU have been made since Pioneer 10 and 11, Voyagers 1 and 2, and New
849 Horizons with very few observations made at solar maximum. Energetic particle observations
850 during cruise out to 19.2 AU will facilitate further study of the interaction between the outer
851 heliosphere and interstellar medium, as carried out by Cassini at 9.5 AU and Interstellar Boundaries
852 Explorer (IBEX) at 1 AU. A cruise phase to Uranus also allows the characterisation of
853 interplanetary and interstellar dust with radial distance from the Sun.

854 Interstellar dust penetrates deep into the heliosphere and does provide the unique opportunity for an
855 in situ analysis of its dynamical and compositional information which varies with distance from the
856 Sun and with the solar cycle. The current data set including composition information of
857 interplanetary and interstellar grains is very limited. Only Cassini carried a spectrometer and the
858 pointing profile during the cruise phase was not optimised for interplanetary and interstellar dust
859 measurements. A mission to Uranus would help to close this knowledge gap which is essential to
860 understand Solar System formation and evolution.

861 **2.4.2 Fundamental physics and departures from General Relativity**

862 General Relativity has been confirmed by all the precision experiments performed so far. But
863 experimental tests leave open windows for deviations from this theory at short (Antoniadis et al.,
864 2011) or long (Reynaud and Jaekel, 2005) distances. General Relativity is also challenged by
865 observations at galactic and cosmic scales. The rotation curves of galaxies and the relation between
866 redshifts and luminosities of supernovae deviate from the predictions of the theory. These
867 anomalies are interpreted as revealing the presence of so-called “dark matter” and “dark energy”.
868 Their nature remains unknown and, despite their prevalence in the energy content, they have not
869 been detected up to now by other means than gravitational measurements.

870 Given the immense challenge posed by these large scale observations, in a context dominated by
871 the quest for the nature of dark matter and dark energy, it is important to explore every possible
872 explanation including the hypothesis that General Relativity could not be the correct description of

873 gravitational phenomena at large scales (Aguirre et al., 2001; Nojiri and Odintsov, 2007). Extending
874 the range to which gravity is probed is therefore essential to bridge the gap between experiments in
875 the Solar System and astrophysical or cosmological observations (Turyshev, 2008). In this respect,
876 as has been customary for the deep-space missions, the spacecraft is seen as a test mass (almost)
877 freely falling in the Solar System gravitational environment. High precision microwave tracking
878 data (as that offered by K_a-band) – besides the standard navigation operations – can be analysed
879 searching for possible deviations from the trajectory predicted by General Relativity. Of primary
880 importance in exploiting the information content of these data will be a proper modelling of
881 spacecraft dynamics. Combining radio-science and acceleration measurements not only improves
882 the precision and quality of spacecraft navigation but also allows us to remove, as fully as possible,
883 the systematic effects of non-gravitational forces acting on the spacecraft (Iafolla et al., 2010).
884 These scientific goals are intimately connected to the planetary science goals since gravitation is
885 directly connected to planetary ephemeris (Fienga et al., 2010) as well as to the origins of the Solar
886 System (Blanc et al., 2005).

887 **2.4.3 Small icy bodies in the outer heliosphere**

888 Centaurs and trans-Neptunian objects (TNOs) are the most pristine and less-processed remnants of
889 the icy debris that formed the outer planets and are the most observable Solar System analogues for
890 debris disks observed around other stars. Centaurs and TNOs are widely thought to be objects
891 scattered from the Kuiper belt that may evolve into short-period comets (Cruikshank, 2005).
892 Surveys of surface properties indicate potential genetic links between TNOs, Centaurs, comets and
893 water-rich asteroids. Some of these objects show evidence of episodic cometary-like behaviour, for
894 example 2060 Chiron (Luu et al., 2000). No mission is currently planned to visit a Centaur/TNO but
895 the cruise phase for a mission to Uranus provides an opportunity to visit such an object en route to
896 Uranus. As a proof-of-concept we took a nominal launch date of 2028 and searched for
897 Centaurs/TNOs that might be accessible for a flyby en route to Uranus and found that objects 2060
898 Chiron, 2010 KG43, 330759 and 2007 TB434 could potentially be visited en route. Comets and
899 asteroids are also natural targets for flybys during the cruise phase. Naturally further mission study
900 is required to investigate this in more detail.

901 **3 Strawman mission concept**

902 In terms of mission options, the primary trade space is between an orbiter and a flyby mission.
903 Some goals can be partially satisfied with a flyby mission but to fully answer the questions laid out

904 in section two requires an orbiting platform to make repeated observations of Uranus and its
905 planetary system. There exists an additional trade space between enhanced remote sensing
906 instrumentation and an entry probe. But some science questions (2.1.1/2.1.5/2.1.6) can only be
907 answered with an atmospheric entry probe to a >5 bar depth. For the purposes of the Uranus white
908 paper, the outline mission concept consisted of an orbiter in a polar science orbit with an
909 atmospheric entry probe. A specific prime science phase duration was not determined and depends
910 sensitively on a number of factors, including the instrument payload and science orbits. However,
911 we note that Arridge et al. (2012) and Hubbard et al. (2010) considered 620 and 431 day science
912 phase durations, respectively. In some cases the exploration of Uranus can be seen as easier than
913 Saturn, for example, particularly for the planet itself since a spacecraft can easily inject into a polar
914 orbit. This potentially makes the study of moons more difficult than Cassini-Huygens at Saturn.
915 Novel solutions to return science data will be required due to the lower communications rates from
916 19 AU compared to Cassini. Table 2 illustrates the strawman instrument suite, composed of high
917 technology readiness level (TRL) instruments.

918 **3.1 Interplanetary transfers and orbital entry**

919 Interplanetary transfers to Uranus have been studied in a number of mission analyses (Arridge et al.,
920 2012; Hubbard et al., 2010) and demonstrate the feasibility of a mission to Uranus with current
921 technology and including an interplanetary transfer between 10 and 16 years. The mission is
922 feasible with high TRL conventional chemical propulsion and solar-electric propulsion employing
923 ion engines provides potential gains in margins, available Δv and platform/instrumentation mass
924 (e.g., Hubbard et al., 2010). Concepts involving lower TRL technology, such as E-sails (e.g.,
925 Janhunen, 2004; Janhunen et al., submitted), would be naturally beneficial.

926 The range of acceptable periapsis latitudes and radial distances at Uranus orbit insertion are limited
927 due to the largely unknown ring plane hazards. This can be mitigated with a high latitude periapsis
928 and orbit insertion manoeuvre followed by a ring plane crossing beyond 52000 km, inside of which
929 are the main ring plane hazards. Although aerocapture is a natural technology to use at orbit
930 insertion, the atmosphere of Uranus is poorly understood and aerocapture is low TRL technology,
931 thus representing a high-risk option.

932 Uranus' large obliquity permits a range of insertion orbital inclinations, from equatorial to polar.
933 The lack of large natural satellites does not permit low-fuel inclination changes and so an initial

934 polar orbit is preferred since these are ideal for studies of Uranus' interior, atmosphere and
935 magnetic field that are required to meet the goals in section two.

936 **3.2 Atmospheric entry probe**

937 An atmospheric entry probe for Uranus has been studied by the ESA Concurrent Design Facility
938 (Biesbroek et al., 2010), which led to a 312 kg entry probe (including 20% system margin) using a
939 dedicated carrier platform. The mission concept we outline would involve using the Uranus orbiter
940 as a carrier and communications relay. The instrumentation for such an entry probe is all available
941 within Europe and is high TRL. The key technology development requirement is the thermal
942 protection system for the entry probe. However, such a probe might be provided via international
943 cooperation and has been studied by NASA (Agrawal et al., 2014).

944 **3.3 Critical issues**

945 Voyager 2 found that the radiation belts of Uranus were similar to Earth and Saturn in terms of
946 intensity and so the radiation environment of Uranus is not judged to be a significant mission driver.
947 Arridge et al. (2012) estimated the radiation dose for the Uranus Pathfinder mission concept using
948 the SHEILDDOSE-2 software and found that the largest dose came from the cruise phase (18 kRad
949 behind 4mm of Al) with only 2 kRad per science orbit based on a scaled model of Earth's
950 magnetosphere. The main critical issues for a Uranus mission are electrical power (3.3.1), thermal
951 control (3.3.2), telemetry (3.3.3), and cruise phase duration (3.3.4).

952 **3.3.1 Electrical power**

953 The key technology development requirement for a mission to Uranus is the provision of sufficient
954 electrical power at 19.2 AU. Scaling ESA's Rosetta mission solar arrays out to Uranus we estimate
955 that providing 400 W_e at Uranus would require 800 m² solar arrays producing system level issues
956 associated with a large launch mass and spacecraft moment of inertia. At present a nuclear
957 (radioisotope) power source (RPS) is the only viable alternative. ²⁴¹Am is the isotope that has been
958 selected for ESA RPS devices that are currently in the developmental stage (see O'Brien et al.
959 (2008), Arridge et al. (2012), and Sarsfield et al. (2013) for a discussion of issues relating to the use
960 of ²⁴¹Am). To provide target electrical power of 400 W_e at Uranus after 14 years flight time would
961 require a total RPS system mass of 200 kg (excluding any maturity margin) based on a radioisotope
962 thermoelectric generator (RTG) design with a specific power of 2.0 W_e/kg, compared with 2.9
963 W_e/kg (at beginning of mission) for a NASA multi-mission RTG using ²³⁸Pu (Abelson et al., 2005).

964 Although the development of such technology presents a schedule and cost risk, this is currently
965 under development as part of an ESA development programme and with sustained investment
966 should reach a higher TRL in the 2025-2035 timeframe. In addition to RTG systems Stirling
967 generator-based nuclear power sources are also under development in Europe and specific power
968 values will be determined at the end of an active ESA study. Stirling-based solutions could offer an
969 alternative option with a higher specific power on similar timescales to the RTG programme.

970 **3.3.2 Thermal control**

971 Thermal control is an important driver for every mission. Extreme differences in thermal
972 environment between the inner heliosphere (for trajectories involving Venus gravity assists) and
973 Uranus, and due to the continuous supply of thermal energy from RPS units present the most
974 important issues. Such thermal control issues can be adequately managed by modifying existing
975 designs from Rosetta and Mars/Venus Express. Thermal control for a Uranus mission was studied
976 using ThermXL, based on a spacecraft of a similar size to Mars Express and including waste heat
977 dissipation from the RPS. We estimated that electrical heaters consuming around 50 W would be
978 sufficient to maintain an internal spacecraft temperature of -30° against losses to space. Waste
979 electrical power from the RPS can be dissipated via externally- or internally-mounted shunt
980 resistors but could impact on overall system design. Radioisotope heater units based on ^{241}Am can
981 offer distributed heating solutions, with each unit generating between 1 W and 5 W of thermal
982 power. This would reduce the requirement to distribute waste heat from RTGs of Stirling-based
983 systems and reduce demands for electrical heating. The use of these heaters or waste heat from RPS
984 solutions should form part of a future trade-off study.

985 **3.3.3 Telemetry rates**

986 To answer the questions in section 1 requires significant volumes of data to be returned over $\lesssim 20.9$
987 AU. Downlink transmissions over K_a -band to ESA's Cebreros station, using a 4m (3m) high gain
988 antenna, with a 100 W power input to the transmitter on an orbiter with a pointing accuracy of
989 0.05° (comparable to the Cassini orbiter) will achieve a downlink rate of 4.5 kbit/s (1.5 kbit/s) at 10°
990 elevation and 7.2 kbit/s (2.4 kbit/s) at 80° elevation, equivalent to ~ 170 (~ 60) Mbit per 8 hour
991 downlink. Using ground station arrays and utilising larger dishes (for example, via collaboration
992 with NASA to use the Deep Space Network) will naturally increase these data volumes. These data
993 volumes should be sufficient to achieve the essential science goals.

994

995 **3.3.4 Long cruise phase duration**

996 To reduce cruise phase costs a Uranus mission might employ hibernation modes (similar to those
997 used on New Horizons and Rosetta) to minimise operations costs and ground station antenna usage.
998 A cruise phase science programme, as outlined in section 2, will periodically enable the platform
999 and science instruments to be utilised and tested. In addition, special hibernation modes would
1000 permit some instruments to collect low-rate cruise phase science data. The use of high TRL
1001 technology and minimising the cruise phase operations will reduce demands on spacecraft platform
1002 components, reduce the mission cost-at-completion, and lessen demands on the electrical power
1003 system.

1004 **3.4 International cooperation**

1005 Such a large and significant interplanetary mission would naturally benefit from collaboration with
1006 other space agencies. The white paper had broad support from scientists funded by NASA and
1007 JAXA, and within Europe. Uranus has been named a priority by NASA as recommended by the
1008 Planetary Decadal Survey. In the context of international cooperation, a partner agency may provide
1009 an atmospheric entry probe, provide instruments for the orbiter/entry probe thus lessening the
1010 demand on ESA member states, or may provide a launch vehicle.

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1414 **Tables**

1415 Table 1: Physical and orbital parameters of Uranus.

Equatorial radius	25 559 km (=1 R _U)
Mass	14.5 M _E
Sidereal spin period	17h12m36s (±72 s)
Obliquity	-97.77°
Semi-major axis	19.2 AU
Orbital period	84.3 Earth years
Dipole moment	50 M _E
Magnetic field	Highly complex with a surface field up to 110 000 nT
Dipole tilt	-59°
Natural satellites	27 (9 irregular)

1416 Table 2: Strawman scientific payload for a Uranus orbiter/entry probe mission.

Instrument	Heritage
Orbiter	
Magnetometer	Cassini/MAG Solar Orbiter
Plasma and particle package	Rosetta/RPC-IES New Horizons/PEPPSI
Radio and plasma wave experiment	Cassini/RPWS Bepi-Colombo/MMO/PWI
Microwave radiometer	Juno/MWR
Thermal infrared bolometer	LRO/Diviner Bepi-Colombo (detectors)
Visual and near-infrared mapping spectrometer	New Horizons/RALPH Rosetta/VIRTIS Dawn/VIR
Ultraviolet imaging spectrometer	Bepi-Colombo/PHEBUS Mars Express/SPICAM-UV
Visible camera	Mars Express/HRSC New Horizons/LORRI

Radio science experiment	Venus Express/VeRa Rosetta/RSI
Accelerometer	CHAMP/STAR
Dust detector	Cassini/CDA
Probe	
Mass spectrometer	Huygens/GCMS Galileo/GPMS
Nephelometer	Galileo/NEP
Radio science	Huygens/DWE
Accelerometer	Huygens/HASI

1417

1418 **Figure captions**

1419 Figure 1: Composition of various solar system objects, split into hydrogen and helium (yellow) and
1420 heavy elements (blue). Modified from Guillot and Gautier (2010).

1421 Figure 2: Model of Uranus' interior.

1422 Figure 3: Comparison of Uranus' highly asymmetrical field with Saturn's symmetrical field using
1423 the models of Herbert (2009) and Davis and Smith (1990), respectively. The colour scale indicates
1424 the magnitude of the radial field at the 1 bar level.

1425 Figure 4: High contrast imaging from the Keck telescope in 2012 revealed a scalloped wave around
1426 Uranus' equator, discrete clouds at mid latitudes, and a mottled chaotic appearance at the poles,
1427 showing that Uranus is not as bland or sluggish as the Voyager 2 flyby originally suggested. Credit:
1428 L. Sromovsky/P. Fry/H. Hammel/I. de Pater/University of Wisconsin-Madison/WM Keck
1429 Telescope.

1430 Figure 5: Images of Uranus in a variety of wavelengths. After Arridge et al. (2012).

1431 Figure 6: Schematic of the Uranus system, showing the major narrow rings (white), dusty rings
1432 (grey), embedded natural satellites (white dots) and the major regular satellites. This diagram is
1433 correctly scaled for distance but the moon sizes have been scaled by a factor of 10 and the width of
1434 the narrow rings is not to scale. The periapsis distance of Voyager 2 is indicated by a white arrow
1435 inside of the orbit of Puck. The typical distance to the subsolar magnetopause (Bagenal, 1992) is
1436 indicated by the vertical red line. Images of the major regular satellites are from Voyager 2 (credit:
1437 NASA/JPL) and have not been photometrically corrected.

1438 Figure 7: Schematic of the inner region of the Uranus system from Miranda inward. White arcs
1439 indicate narrow rings and dark blue-grey arcs indicate the orbits of natural satellites. The satellite
1440 sizes are to scale but a factor of 10 larger than reality for reasons of visibility. The thickness of the
1441 narrow rings are also not to scale. Periapsis of Voyager 2 is indicated with an arrow inside the orbit
1442 of Puck.

1443 Figure 8: Composite image of Uranus' main rings in forward-scattered (left) and back-scattered
1444 (right) light. The left-hand image is the only image of Uranus' rings taken at a high phase angle (by
1445 Voyager 2 after closest-approach). These images show that the dense main rings are interleaved
1446 with a network of dust structures and the details of how these structures work is largely unknown.
1447 Credit: NASA/JPL.

1448 Figure 9: The five largest moons of Uranus, shown to scale and with the correct albedo, as imaged
1449 by Voyager 2. Miranda was imaged in the most detail but images of Titania and Oberon were not of
1450 a sufficiently high resolution to resolve details of tectonic structures. Credit: Paul
1451 Schenk/NASA/JPL.

1452 Figure 10: Miranda's striking geological features. Credit: NASA/JPL.

1453 Figure 11: Illustration of Uranus' magnetosphere at solstice as sampled by Voyager 2. The red
1454 vector indicates the magnetic dipole axis and the blue arrow the rotation axis of Uranus. Field lines
1455 are in grey and magnetopause and bow shock boundaries in heavy black lines. The plasma sheet
1456 and inner magnetospheric plasma populations are indicated by the orange and red regions
1457 respectively. The positions of natural satellites are indicated in Uranus' equatorial plane and a
1458 possible neutral torus in blue.

1459 Figure 12: (left) Auroral emission map in the H₂ band showing auroral intensity in Rayleighs
1460 (Herbert, 2009); (right) Inferred source regions for the most intense UKR component (Zarka and
1461 Lecacheux, 1987). From Arridge et al. (2012).

1462 **The science case for an orbital mission to Uranus: Exploring** 1463 **the origins and evolution of ice giant planets**

1464 C.S. Arridge^{1,2} et al.

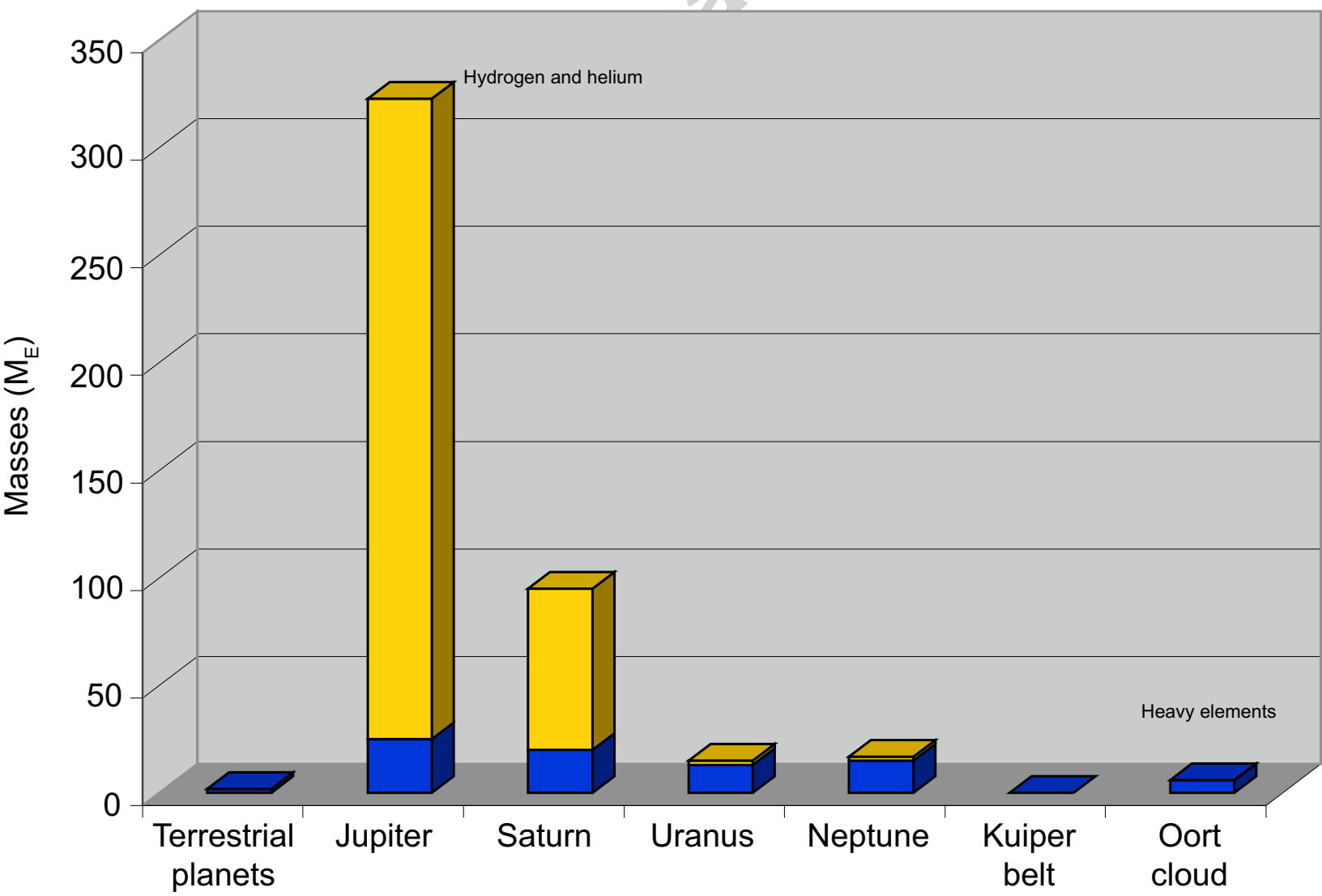
1465 1. Mullard Space Science Laboratory, University College London, Holmbury St. Mary, Dorking,
1466 Surrey, RH5 6NT, UK.

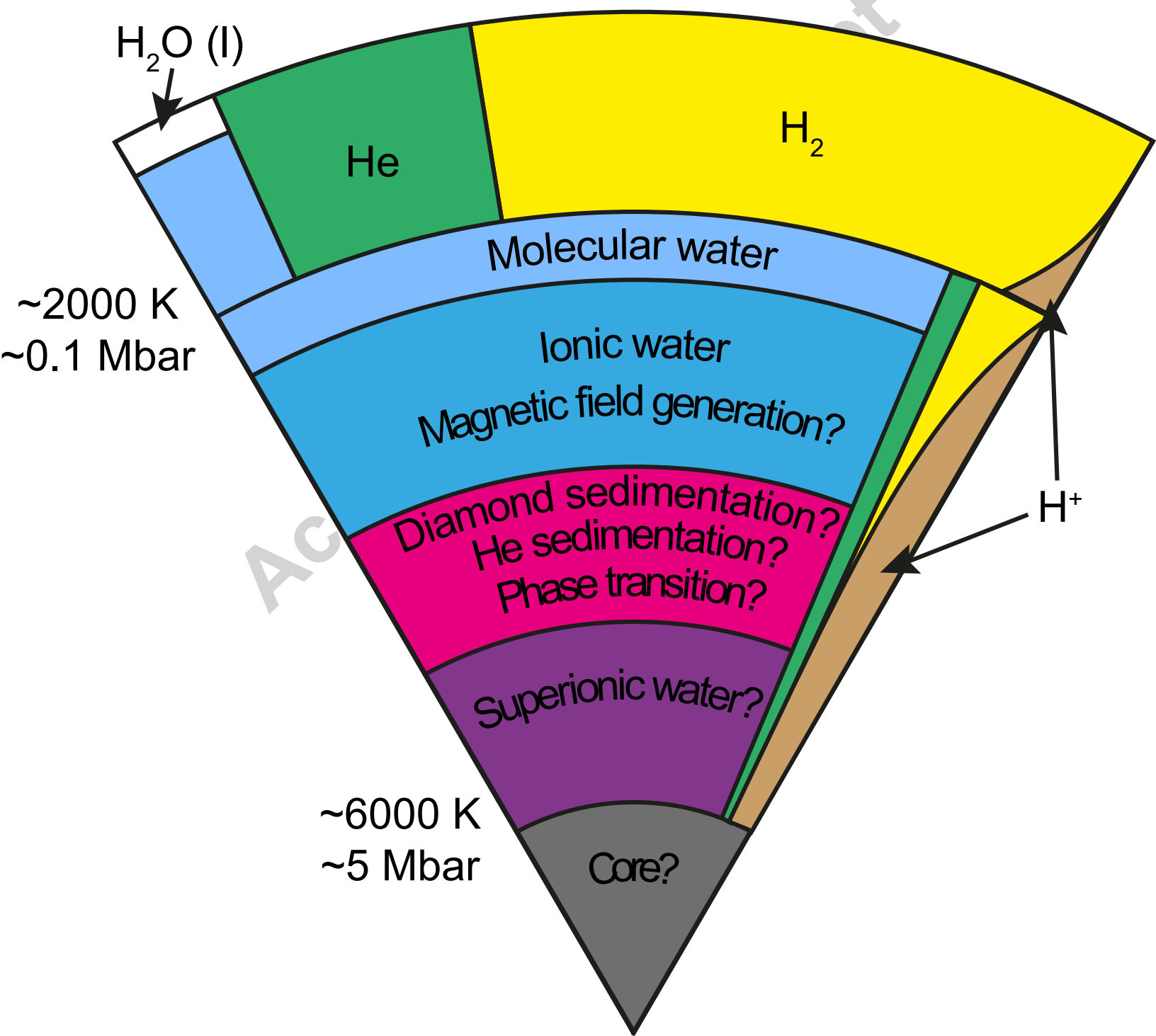
1467 2. The Centre for Planetary Sciences at UCL/Birkbeck, Gower Street, London, WC1E 6BT, UK.

1468 **Highlights**

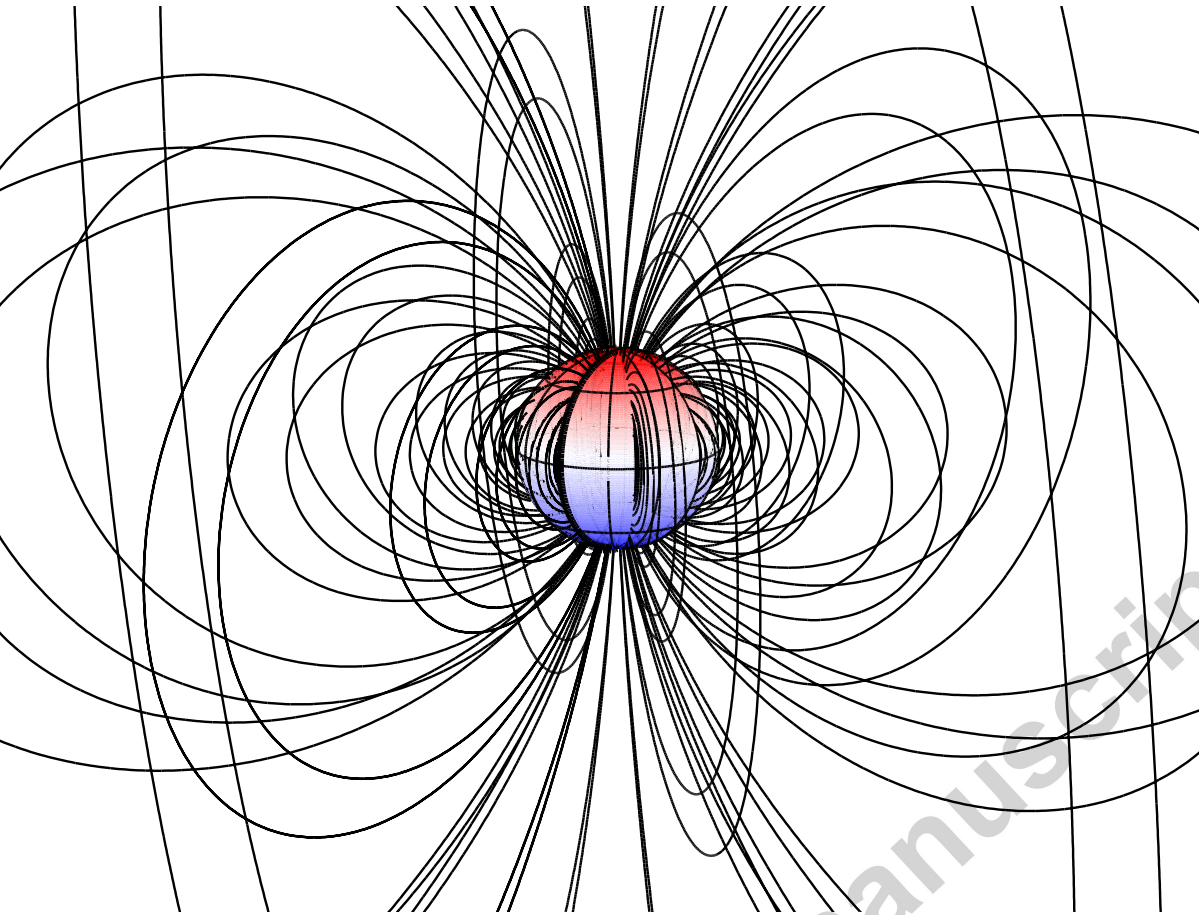
- 1469 • Describe the science case for an orbital mission to Uranus, its planetary system and
1470 magnetosphere.
- 1471 • Present an outline configuration for a Uranus orbiter and atmospheric entry probe.
- 1472 • Present a strawman scientific payload.

1473

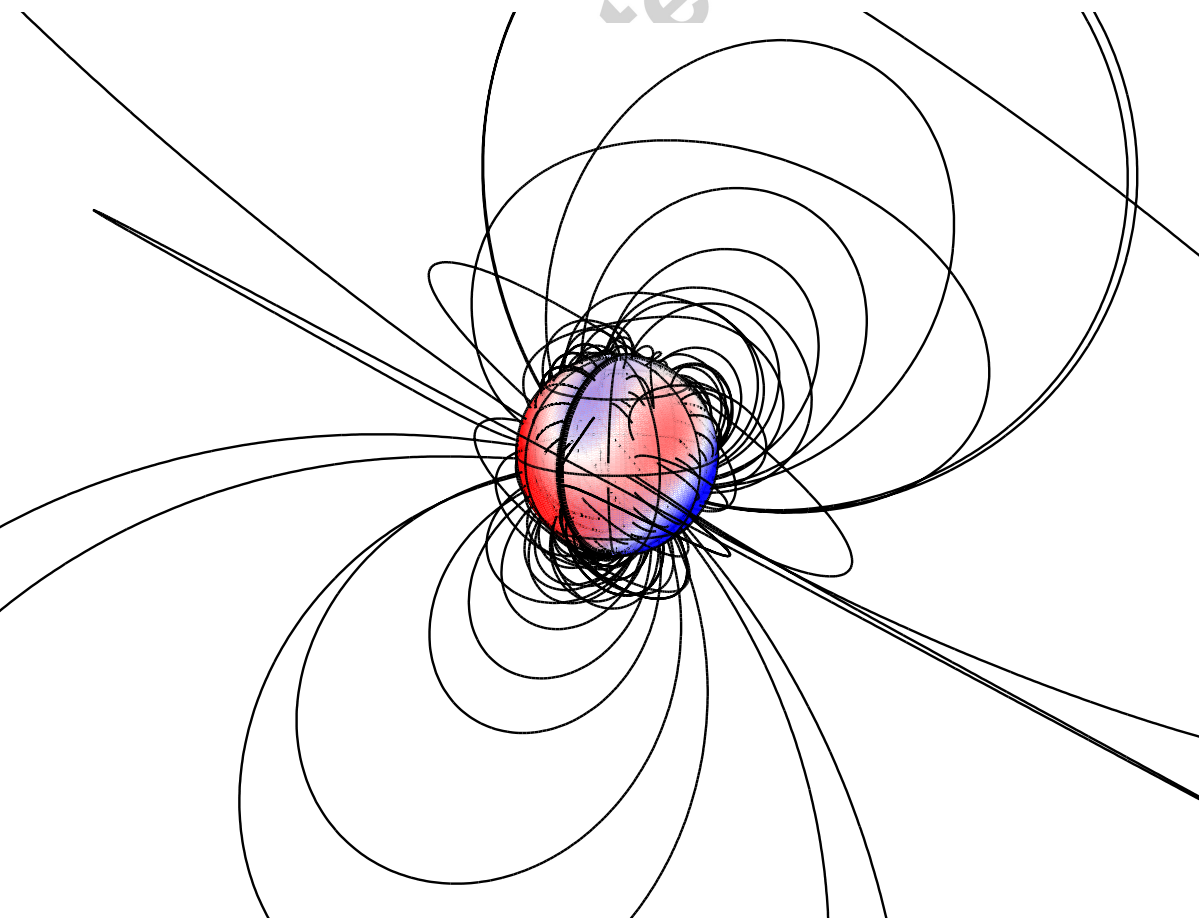




a) Saturn field (Davis and Smith, 1990)



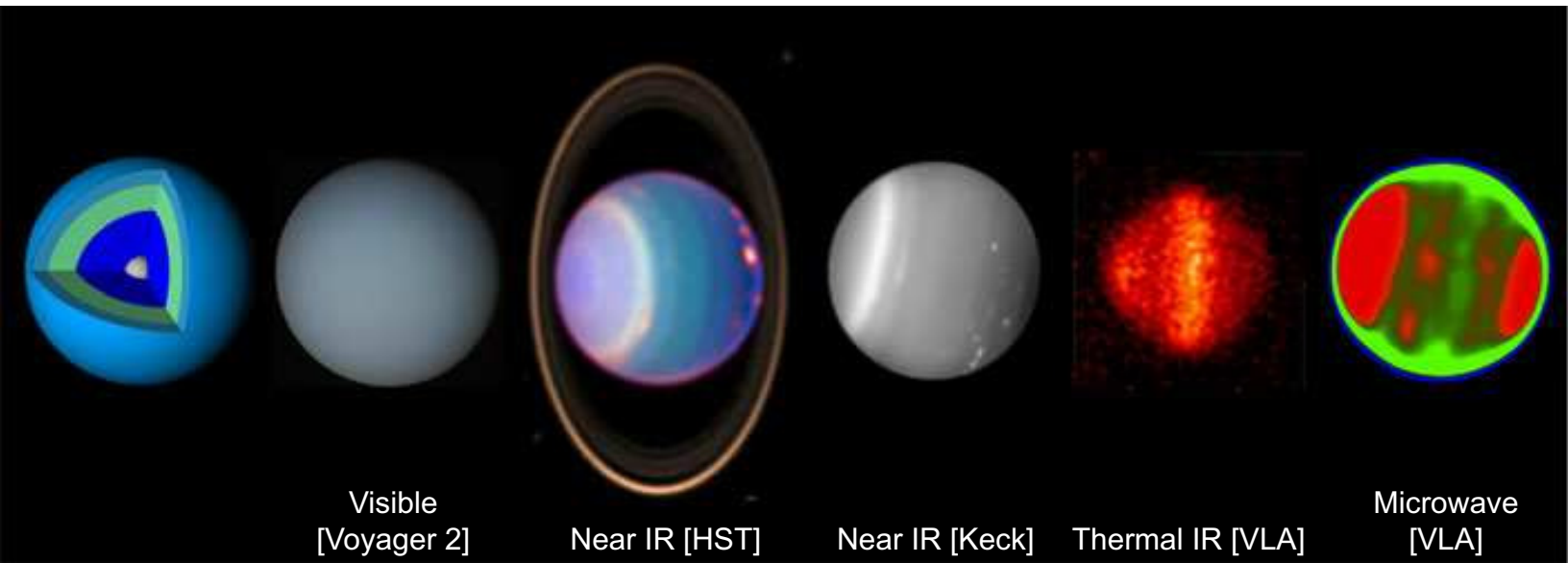
b) Uranus field (Herbert, 2009)

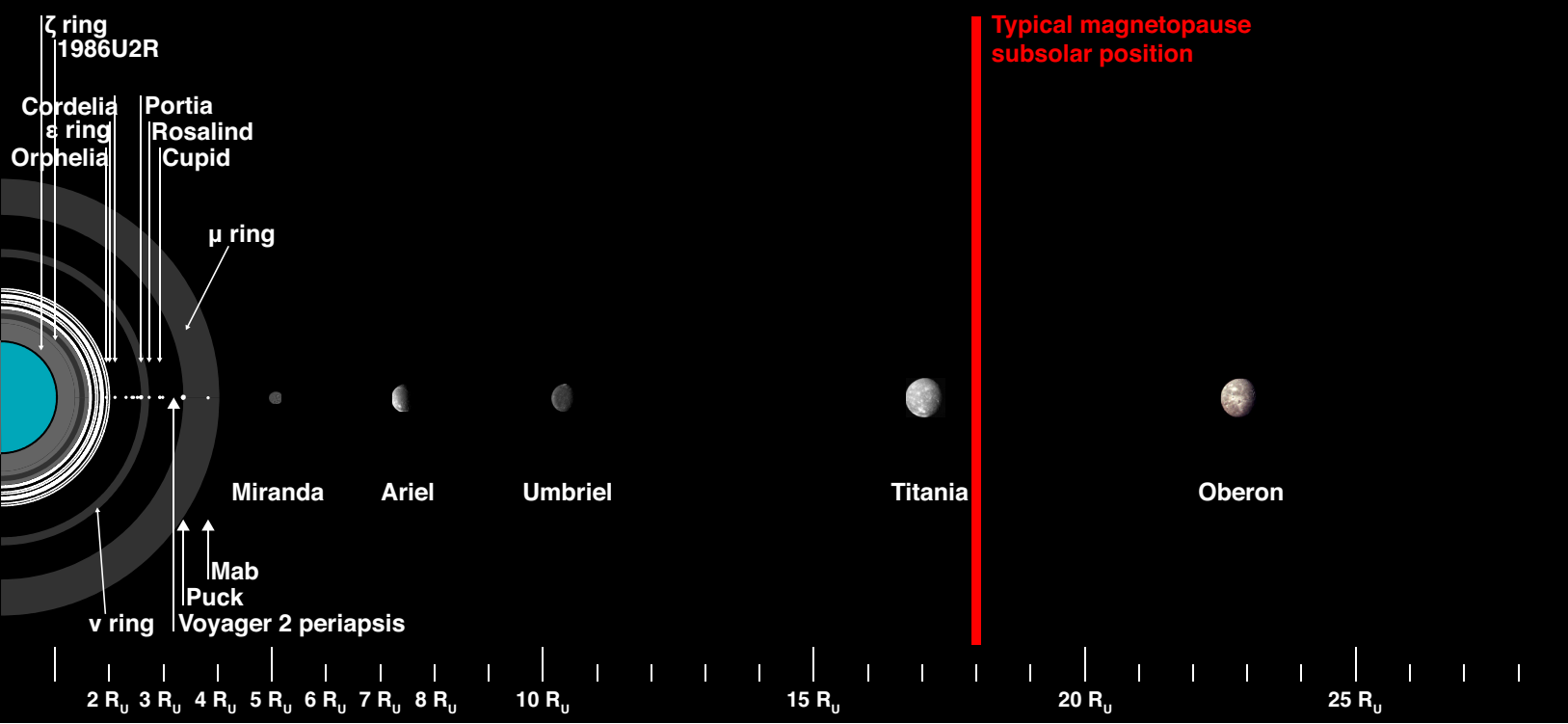


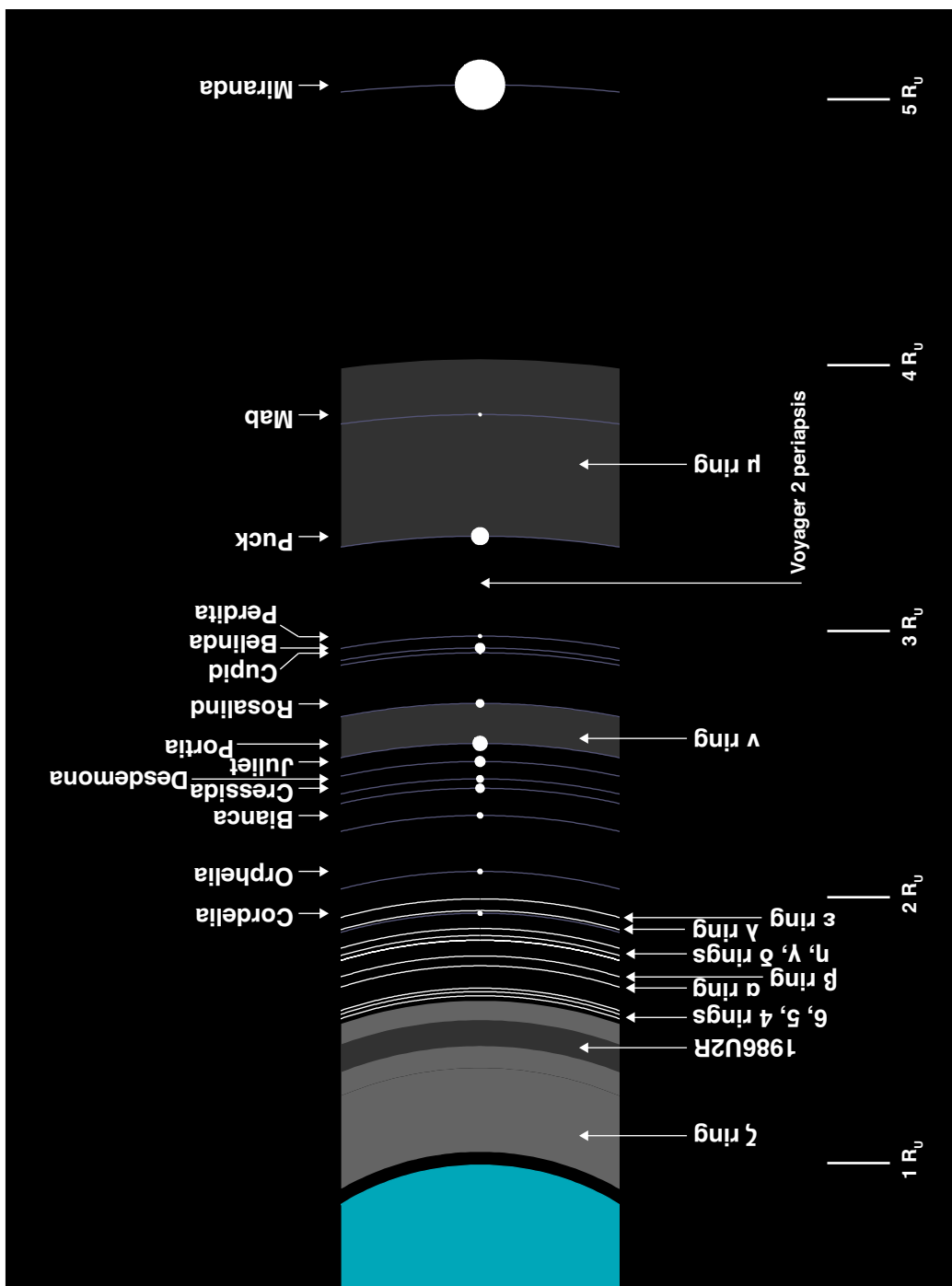


SMID= 9 RED RANGE= -7 15 B6 RANGE= -12 24

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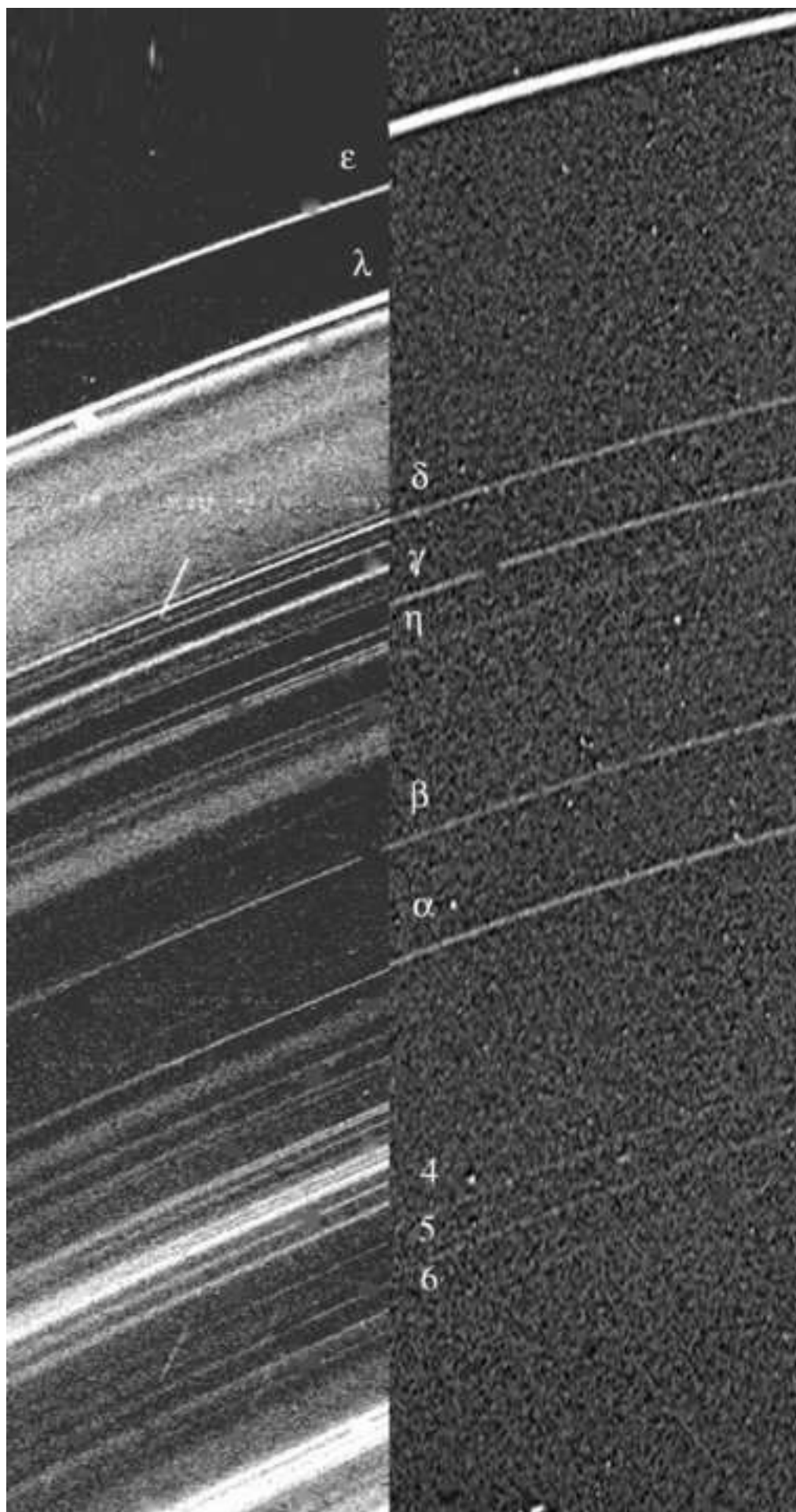


Image of the major natural satellites



cript

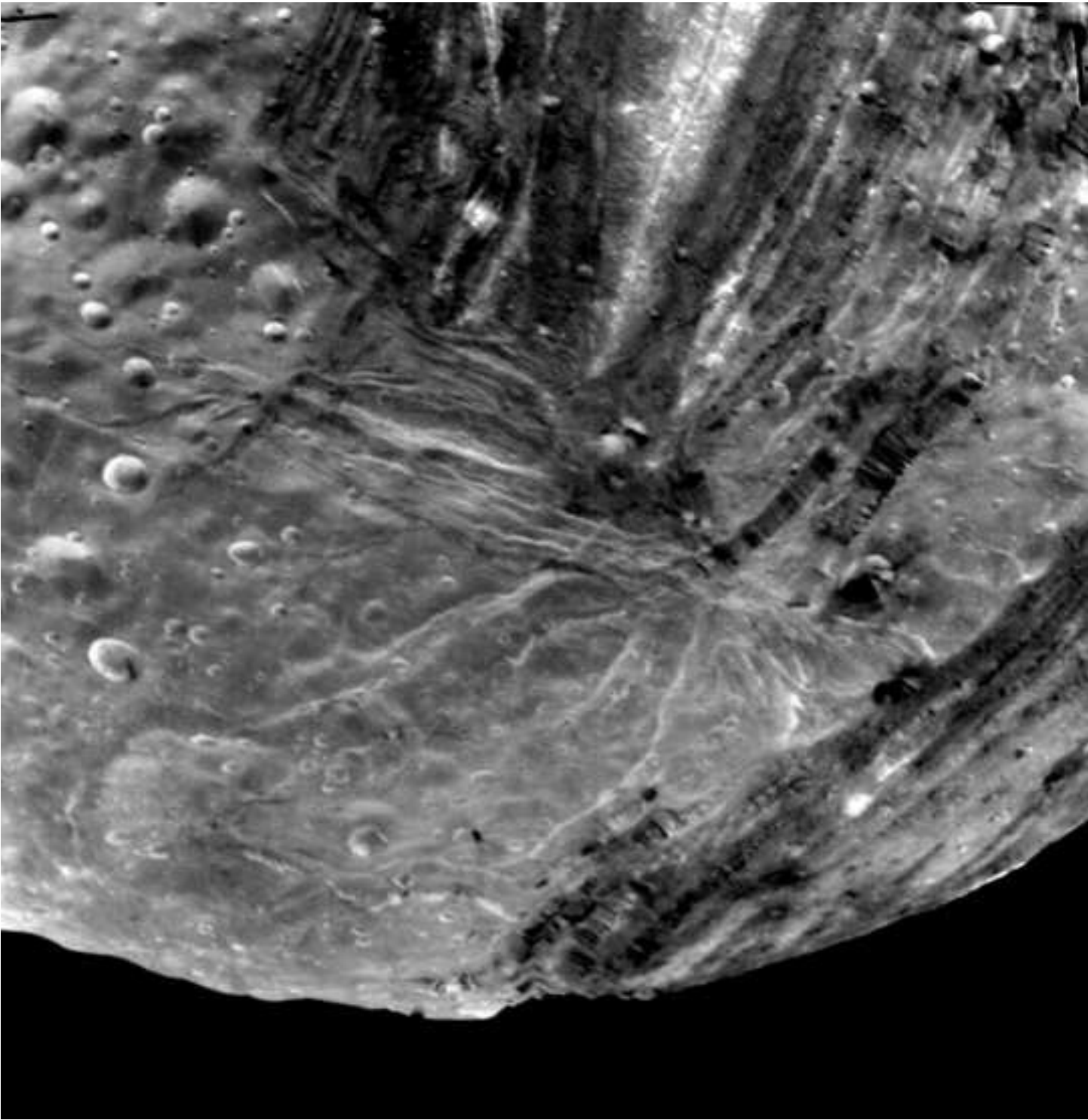
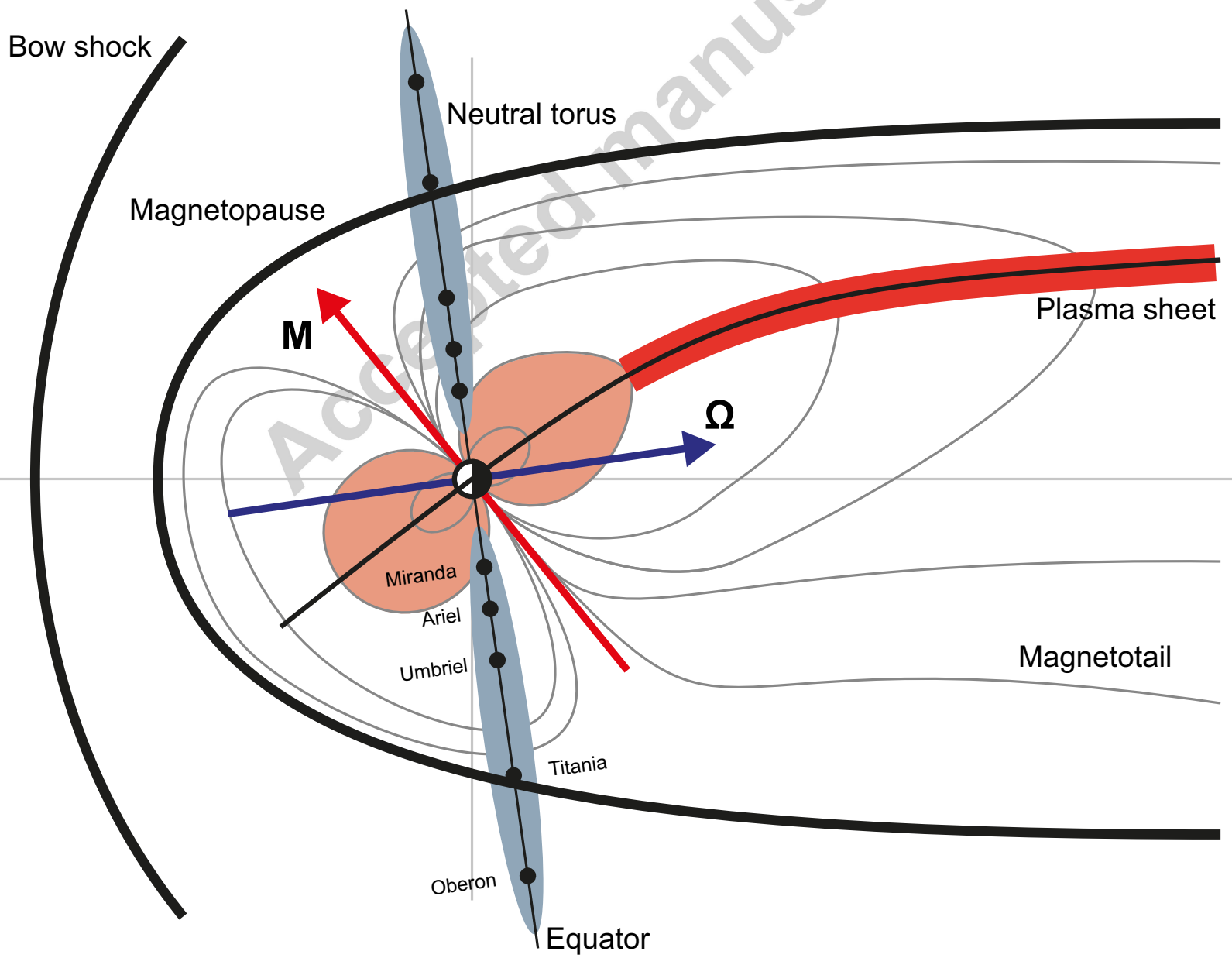


Image of Miranda



Accepted manuscript

