

## **Excavation damaged zone modelling with shear strain localisation in claystone**

**B. Padoen - S. Levasseur - F. Collin - J.P. Radu**

First International Workshop on the Finite Element Code LAGAMINE (LAGASHOP 2013)

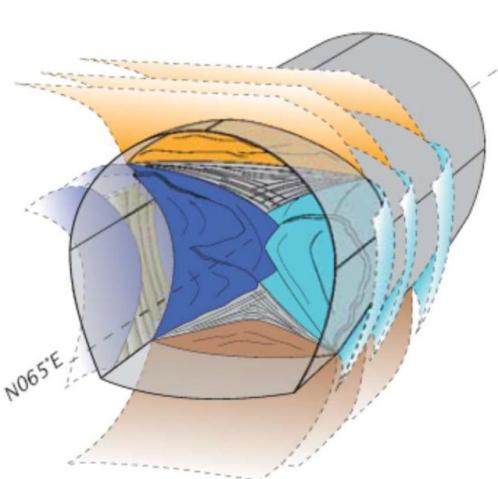
9-12 September 2013

University of Liège, Opera Complex, Liège

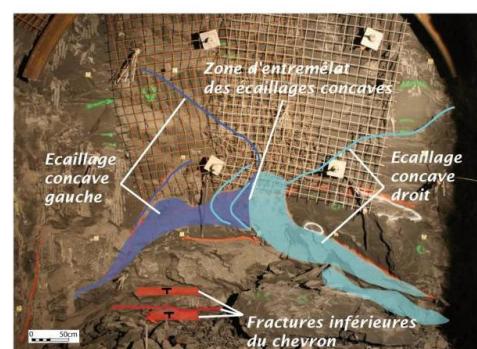
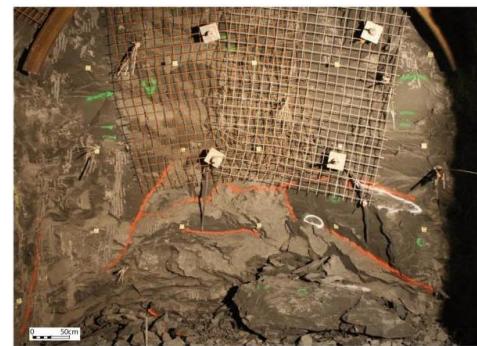
# Excavation damaged zone

## In situ evidences :

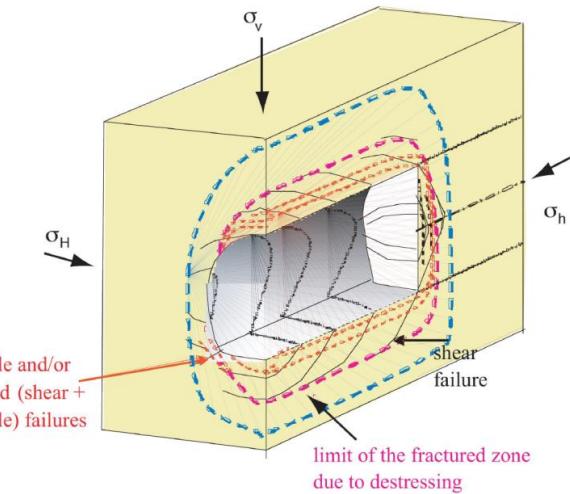
Observations and measurements (ANDRA URL, GED Gallery, Armand *et al.* 2013, Cruchaudet *et al.* 2010b).



Front structure	
	Lower chevron fractures
	Upper chevron fractures
	Concave right scales
	Concave left scales
	Subvertical left inclined fractures



Front of the section GED1002



Shear and tension fractures (Bordeau *et al.* 2007)

Major issues : prediction of the extension and fracturing structure.

- study - damaged zone development with shear strain localisation
- influence of the gallery ventilation and permeability variation.

# Outline

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1. CONSTITUTIVE MODELS
2. NUMERICAL RESULTS FOR GALLERY EXCAVATION
3. CONCLUSIONS AND OUTLOOKS

# Outline

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- 1. CONSTITUTIVE MODELS**
  
2. NUMERICAL RESULTS FOR GALLERY EXCAVATION
  
3. CONCLUSIONS AND OUTLOOKS

# 1. Constitutive models

**Modelling of strain localisation – coupled 2<sup>d</sup> gradient model :** (Chambon *et al.*, 1998 and 2001)

Classical FE → mesh dependency → enriched model : 2d gradient

Porous media, multiphasic : solid + fluid

The continuum is enriched with microstructure effects. The kinematics include the classical one (macro) and the microkinematics (Toupin 1962, Mindlin 1964, Germain 1973, Collin *et al.*, 2006).

Balance equations :

$$\int_{\Omega} \left( \sigma_{ij} \frac{\partial u_i^*}{\partial x_j} + \Sigma_{ijk} \frac{\partial^2 u_i^*}{\partial x_j \partial x_k} \right) d\Omega = \int_{\Omega} G_i u_i^* d\Omega + \int_{\Gamma_\sigma} \left( \bar{t}_i u_i^* + \bar{T}_i D u_i^* \right) d\Gamma$$
$$\int_{\Omega} \left( \frac{\partial M}{\partial t} p_w^* - m_i \frac{\partial p_w^*}{\partial x_i} \right) d\Omega = \int_{\Omega} Q p_w^* d\Omega + \int_{\Gamma_q} \bar{q} p_w^* d\Gamma$$

$\Sigma_{ijk}$  is the double stress, which needs an additional constitutive law.

# 1. Constitutive models

## Modelling of strain localisation – coupled 2<sup>d</sup> gradient model :

- Solid and fluid phases behaviour :

Multiphasic medium under unsaturated condition ( $p_g = \text{cst}$ )

Compressibility of the solid grain skeleton.

Permeability : anisotropy and evolution with mechanical parameter

→      Bishop's stress definition :

$$\sigma_{ij} = \sigma'_{ij} - b S_{r,w} p_w \delta_{ij}$$

Biot's coefficient :

$$b = 1 - \frac{K_0}{K_s}$$

Fluid mass flow (advection, Darcy) :

$$m_{w,i} = -\rho_w \frac{k_{ij} k_{r,w}}{\mu_w} \left( \frac{\partial p_w}{\partial x_j} + \rho_w g_j \right)$$

# 1. Constitutive models

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- Stiffness matrix :

$$[E^t]_{25 \times 25} = \begin{bmatrix} E_{14 \times 4}^t & 0_{4 \times 2} & K_{WM4 \times 3}^t & 0_{4 \times 8} & 0_{4 \times 4} & -I_{4 \times 4} \\ G_{12 \times 4}^t & 0_{2 \times 2} & G_{21 \times 3}^t & 0_{2 \times 8} & 0_{2 \times 4} & 0_{2 \times 4} \\ K_{MW3 \times 4}^t & 0_{3 \times 2} & K_{WW3 \times 3}^t & 0_{3 \times 8} & 0_{3 \times 4} & 0_{3 \times 4} \\ E_{28 \times 4}^t & 0_{8 \times 2} & 0_{8 \times 3} & D_{8 \times 8}^t & 0_{8 \times 4} & 0_{8 \times 4} \\ E_{34 \times 4}^t & 0_{4 \times 2} & 0_{4 \times 3} & 0_{4 \times 8} & 0_{4 \times 4} & I_{4 \times 4} \\ E_{44 \times 4}^t & 0_{4 \times 2} & 0_{4 \times 3} & 0_{4 \times 8} & -I_{4 \times 4} & 0_{4 \times 4} \end{bmatrix}$$

# 1. Constitutive models

## Mechanical model – 1<sup>st</sup> gradient model :

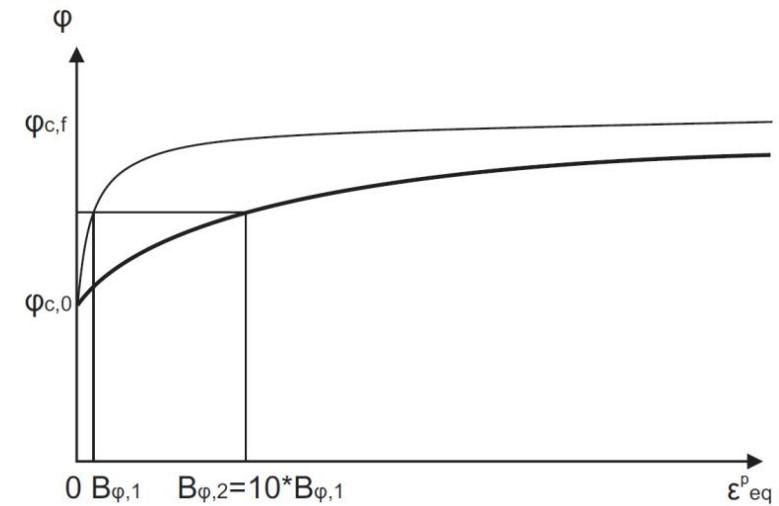
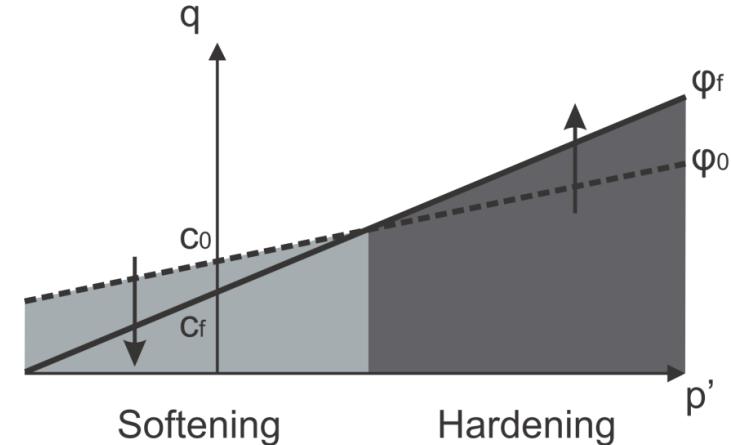
Non-associated elasto-plastic internal friction model,  
with a Drucker-Prager yield surface :

$$F \equiv II_{\hat{\sigma}} - m \left( I_{\sigma} + \frac{3c}{\tan \phi_C} \right) = 0$$

Hardening of  $\phi$  and softening c as a function  
of the Von Mises equivalent plastic strain  $\varepsilon^p_{eq}$  :

$$\varepsilon^p_{eq} = \sqrt{\frac{2}{3} \hat{\varepsilon}_{ij}^p \hat{\varepsilon}_{ij}^p}$$

$$\phi_C = \phi_{C0} + \frac{(\phi_{Cf} - \phi_{C0}) \varepsilon^p_{eq}}{B_\phi + \varepsilon^p_{eq}}$$



# 1. Constitutive models

**Mechanical model – 2<sup>d</sup> gradient model :** (Chambon *et al.*, 1998 and 2001)

Double stress  $\Sigma_{ijk}$  additional constitutive law : linear elastic law (Mindlin, 1964) function of the  
(micro) second gradient of displacement field  $u_i$ ,  $h_{ijk} = \frac{\partial v_{ij}}{\partial x_k} = \frac{\partial^2 u_i}{\partial x_j \partial x_k}$ :

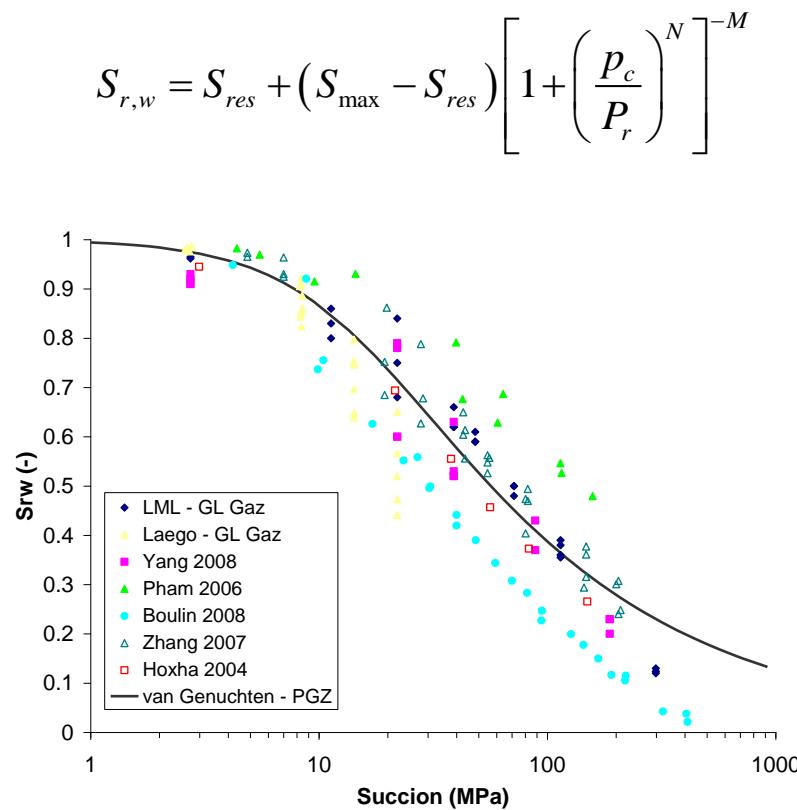
$$\begin{bmatrix} \tilde{\Sigma}_{111} \\ \tilde{\Sigma}_{112} \\ \tilde{\Sigma}_{121} \\ \tilde{\Sigma}_{122} \\ \tilde{\Sigma}_{211} \\ \tilde{\Sigma}_{212} \\ \tilde{\Sigma}_{221} \\ \tilde{\Sigma}_{222} \end{bmatrix} = D \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 & -\frac{1}{2} & 0 & 0 & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 & -\frac{1}{2} & 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 & 1 & 0 & -\frac{1}{2} & -\frac{1}{2} & 0 \\ 0 & -\frac{1}{2} & -\frac{1}{2} & 0 & 1 & 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 & -\frac{1}{2} & 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & 0 & 0 & -\frac{1}{2} & 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial v_{11}}{\partial x_1} \\ \frac{\partial v_{11}}{\partial x_2} \\ \frac{\partial v_{12}}{\partial x_1} \\ \frac{\partial v_{12}}{\partial x_2} \\ \frac{\partial v_{21}}{\partial x_1} \\ \frac{\partial v_{21}}{\partial x_2} \\ \frac{\partial v_{22}}{\partial x_1} \\ \frac{\partial v_{22}}{\partial x_2} \end{bmatrix}$$

It depends only on one elastic parameter  $D$ . The shear band width is proportional to this parameter.  
(Chambon *et al.*, 1998, Kotronis *et al.*, 2007).

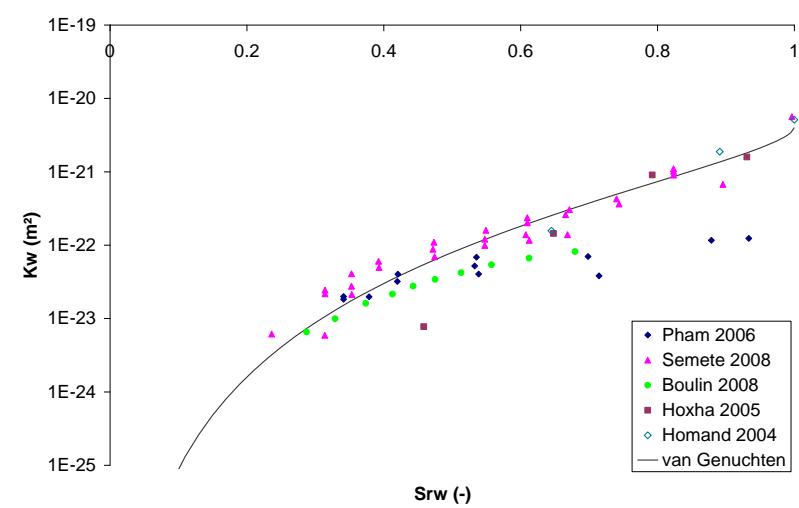
# 1. Constitutive models

## Fluid phase :

Water retention and permeability curves (Van Genuchten's model) :



$$k_{r,w} = \sqrt{S_{r,w}} \left[ 1 - (1 - S_{r,w}^{1/M})^M \right]^2$$



# Outline

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## 1. CONSTITUTIVE MODELS

## 2. NUMERICAL RESULTS FOR GALLERY EXCAVATION

- **2D : ventilation, permeability variation**
- 3D

## 3. CONCLUSIONS AND OUTLOOKS

## 2. Numerical results for gallery excavation – 2D

### Full gallery :

Modelling of a full gallery to avoid symmetry assumption.

- Constant pore water pressure ( $p_{w,0}$ )
- ← Constant total stress ( $\sigma_{y,0} / \sigma_{x,0}$ )
- Constrained displacement

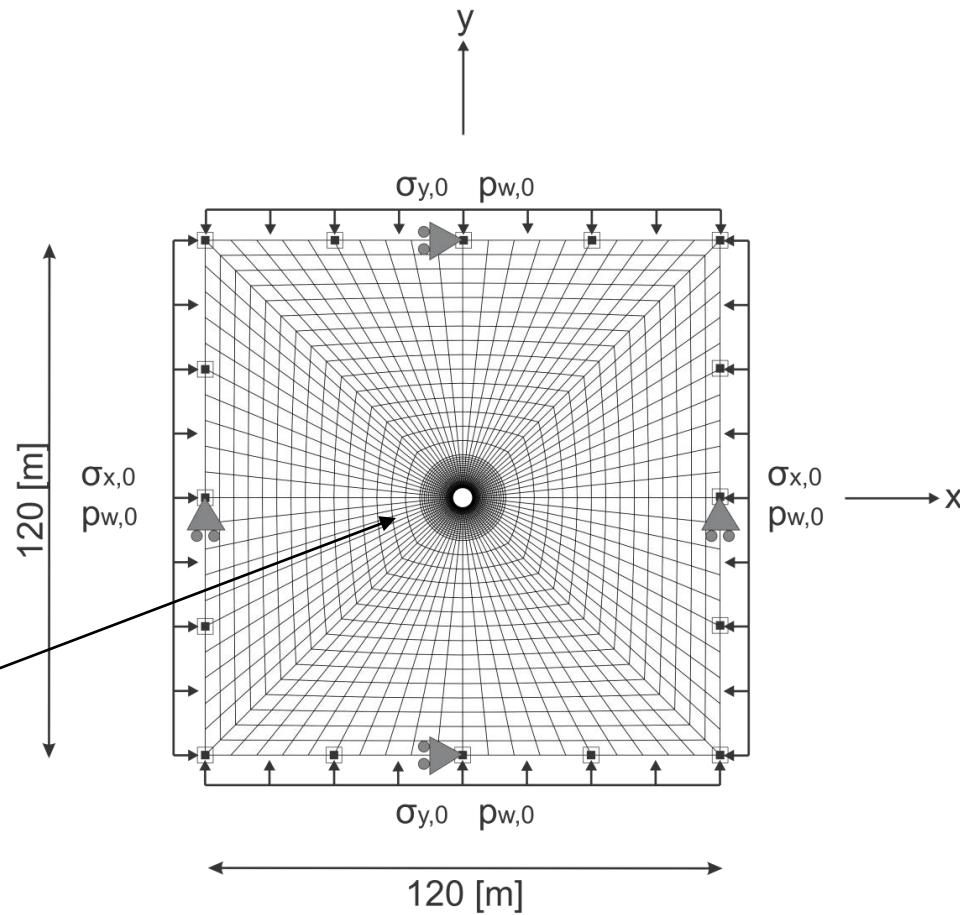
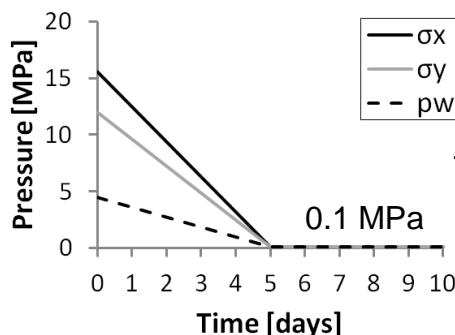
HM modelling in 2D plane strain state.  
Gallery radius = 2.3 m.

### Anisotropy (Andra URL) :

→ hydraulic permeability anisotropy  
 $k_{\text{hor/vert}} = 4 \cdot 10^{-20} / 1.33 \cdot 10^{-20} [\text{m}^2]$

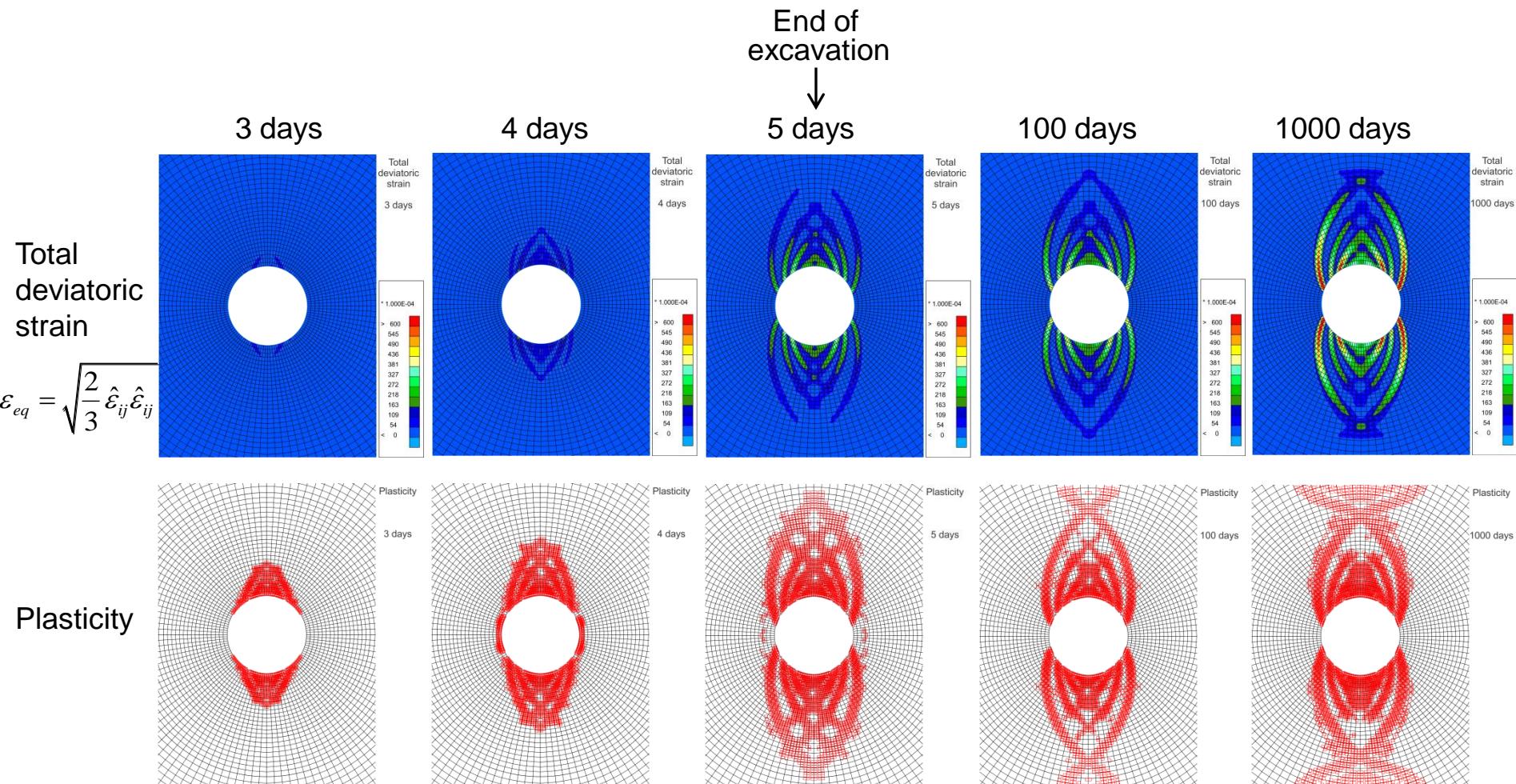
→ initial anisotropic stress state

$$\begin{aligned} p_{w,0} &= 4.5 \text{ [MPa]} \\ \sigma_{y,0} &= \sigma_{z,0} = 12 \text{ [MPa]} \\ \sigma_{x,0} &= 15.6 \text{ [MPa]} \end{aligned}$$



## 2. Numerical results for gallery excavation – 2D

## **Localisation zone :**

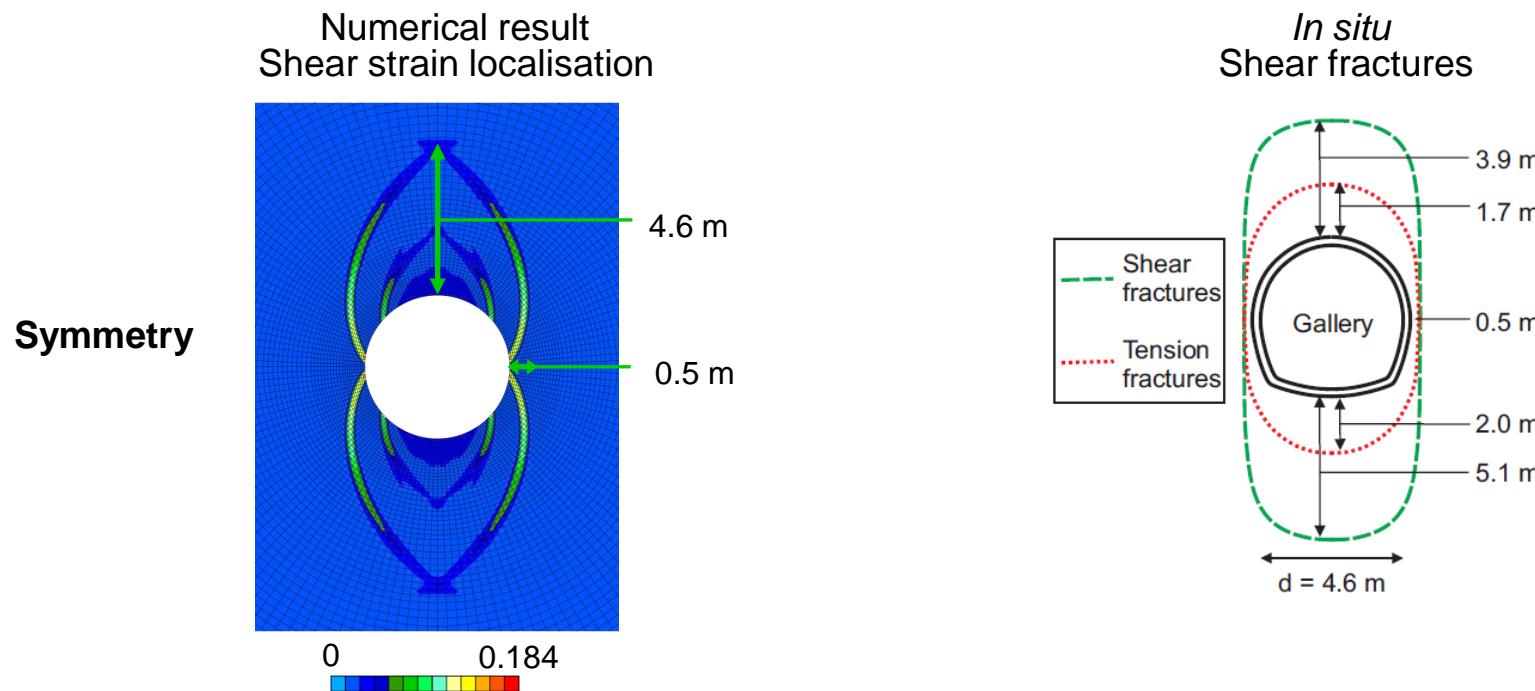


## 2. Numerical results for gallery excavation – 2D

### Localisation zone :

- Chevron fracture :      - pattern corresponding to *in situ* observations (Armand *et al.* 2013).  
                              - concentrated above the gallery because of the anisotropic stress state.

The extension of the excavation damaged zone obtained numerically corresponds fairly well to the *in situ* experimental measurements of shear fractures.



## 2. Numerical results for gallery excavation – 2D

### Quarter of gallery :

By symmetry: quarter of the gallery

- Constant pore water pressure ( $p_{w,0}$ )
- ← Constant total stress ( $\sigma_{y,0} / \sigma_{x,0}$ )
- Constrained displacement  
perpendicular to the boundary
- ▲ Constrained normal derivative of the  
radial displacement (Zervos et al. 2001)
- Impervious boundary

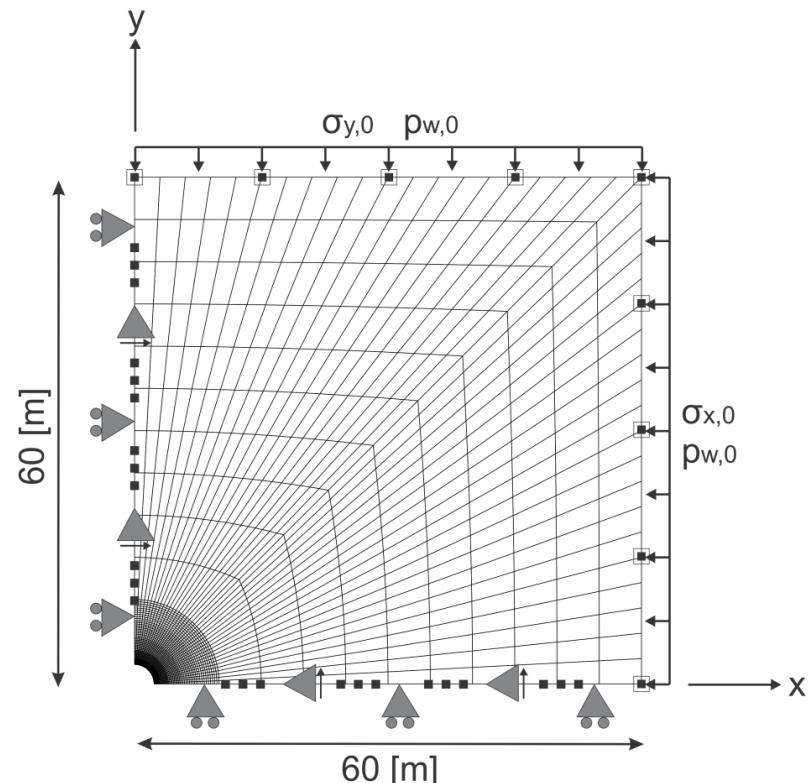
Second gradient boundary condition (Zervos et al. 2001)

Gradient terms in the equilibrium equations

- Higher order constrains
- Radial displacement must be symmetric on both sides of the symmetry axes
- Normal derivative cancel

$$\frac{\partial u_x}{\partial y} = 0 \quad \text{along the x-axis}$$

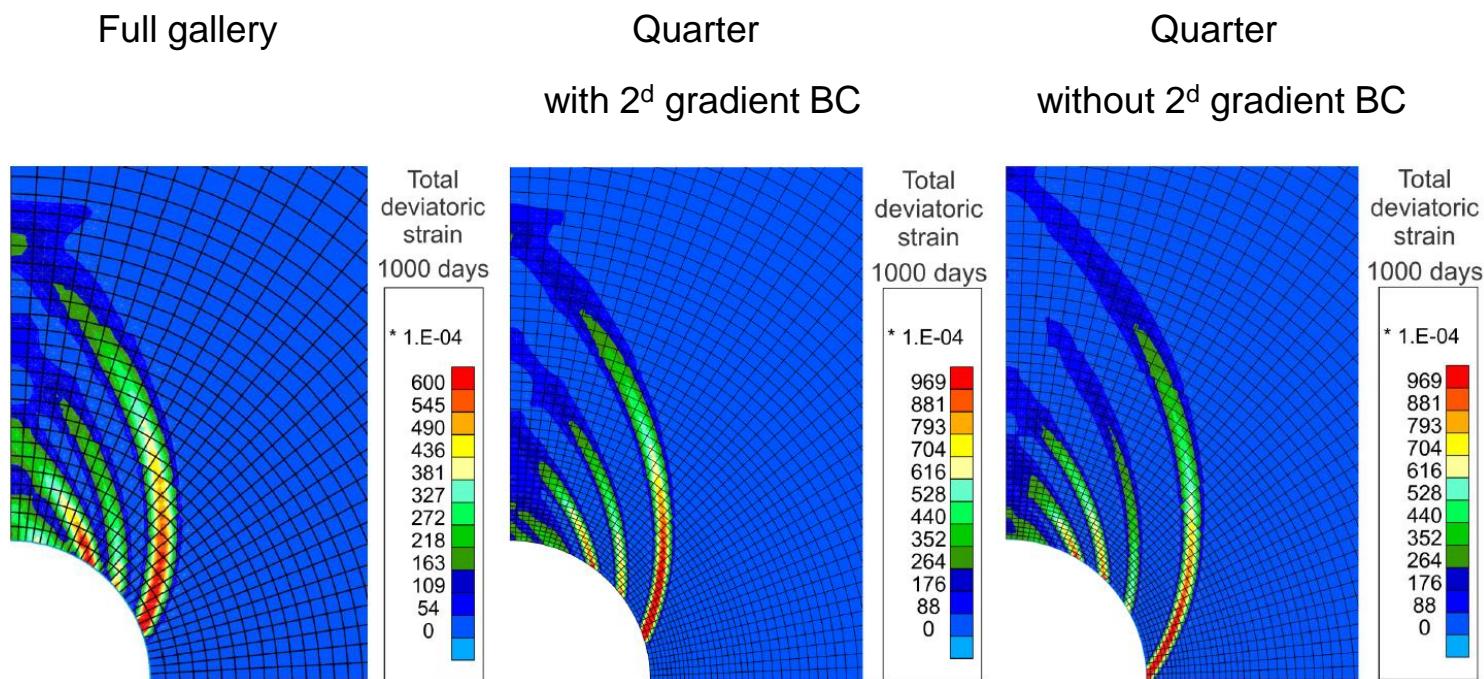
$$\frac{\partial u_y}{\partial x} = 0 \quad \text{along the y-axis}$$



## 2. Numerical results for gallery excavation – 2D

### Influence of second gradient boundary condition :

End of the calculation



## 2. Numerical results for gallery excavation – 2D

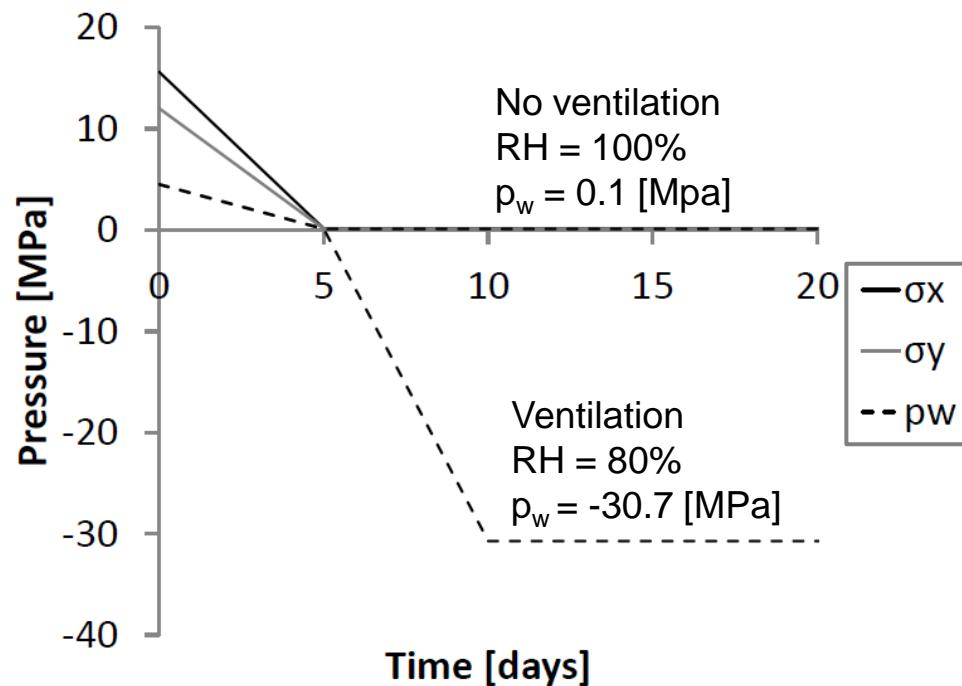
### Gallery ventilation :

Pressure at gallery wall :

→ gallery excavation :  $\sigma_x$  and  $\sigma_y$  decrease

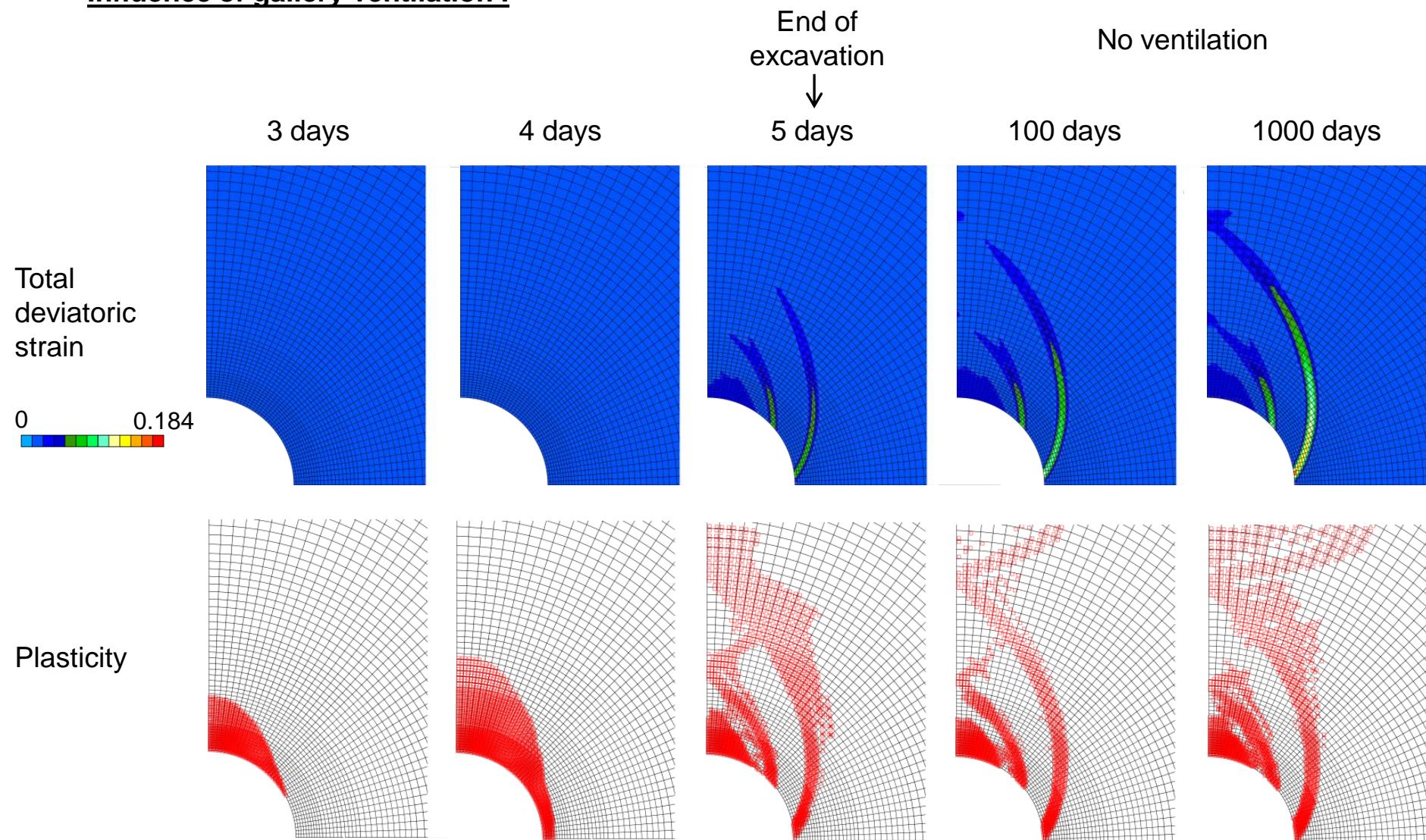
→ gallery ventilation : water phases equilibrium (Kelvin's law)

$$RH = \frac{p_v}{p_{v,0}} = \exp\left(\frac{-p_c M_v}{RT \rho_w}\right)$$



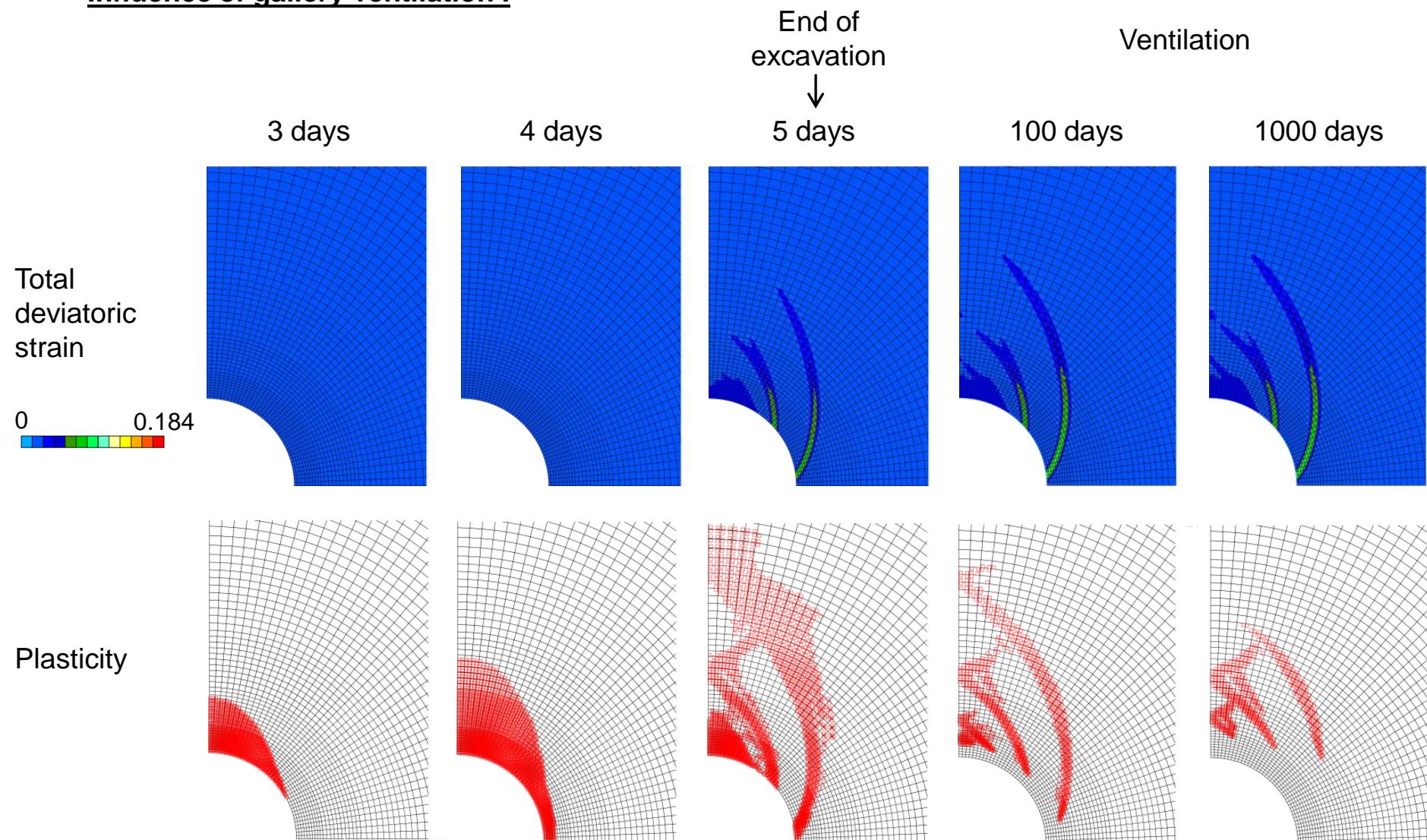
## 2. Numerical results for gallery excavation – 2D

### Influence of gallery ventilation :



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### Influence of gallery ventilation :



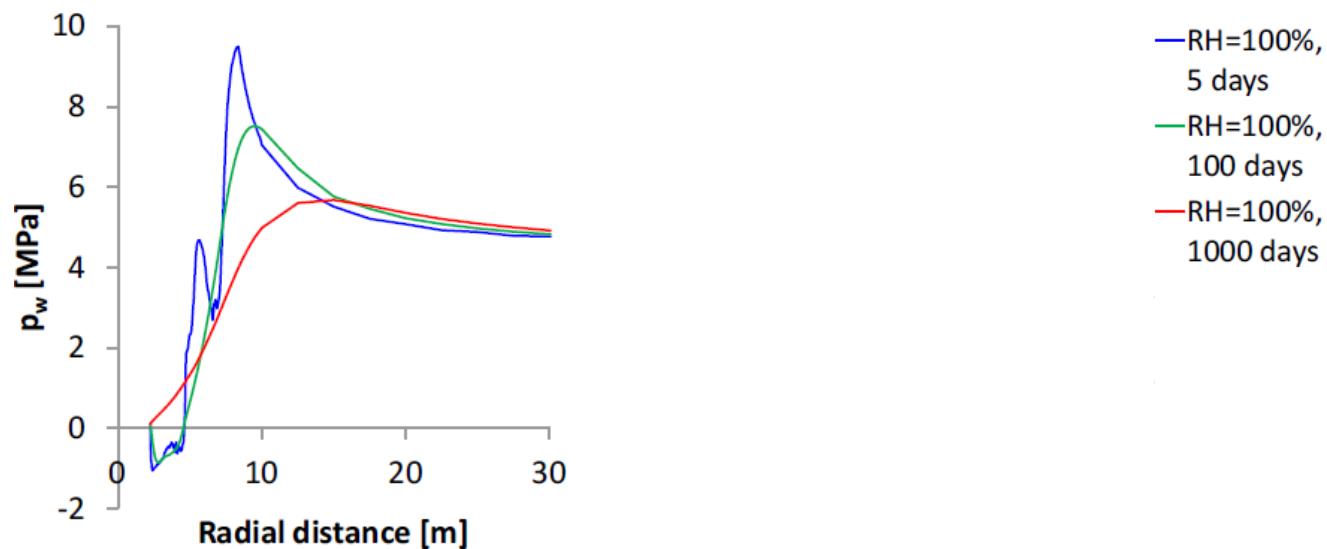
## 2. Numerical results for gallery excavation – 2D

### Influence of gallery ventilation :

Cross-section

Pore water pressure

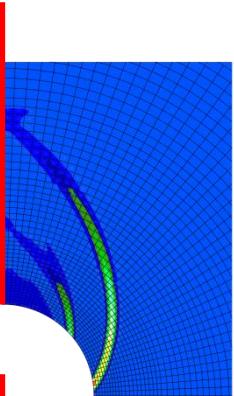
No ventilation



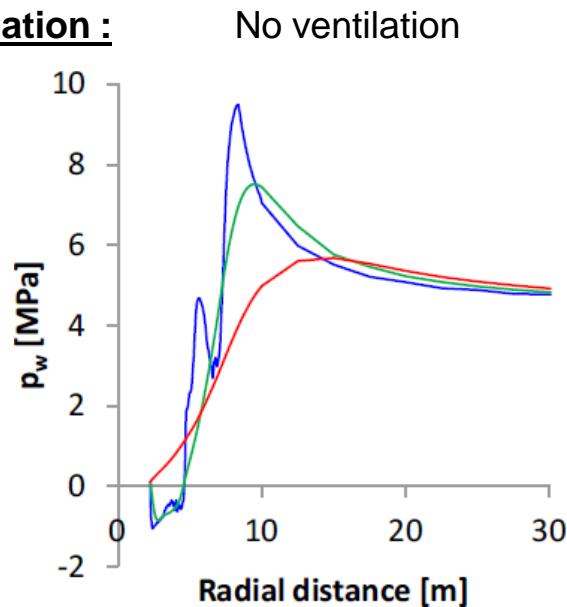
## 2. Numerical results for gallery excavation – 2D

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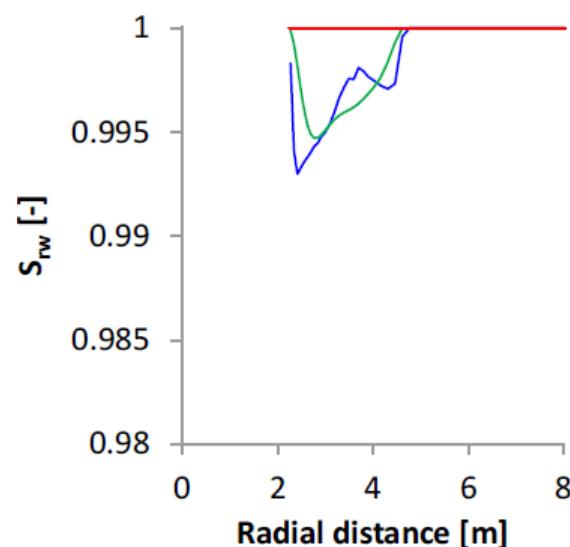
Cross-section



Pore water pressure



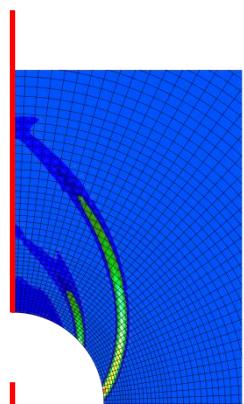
Degree of saturation



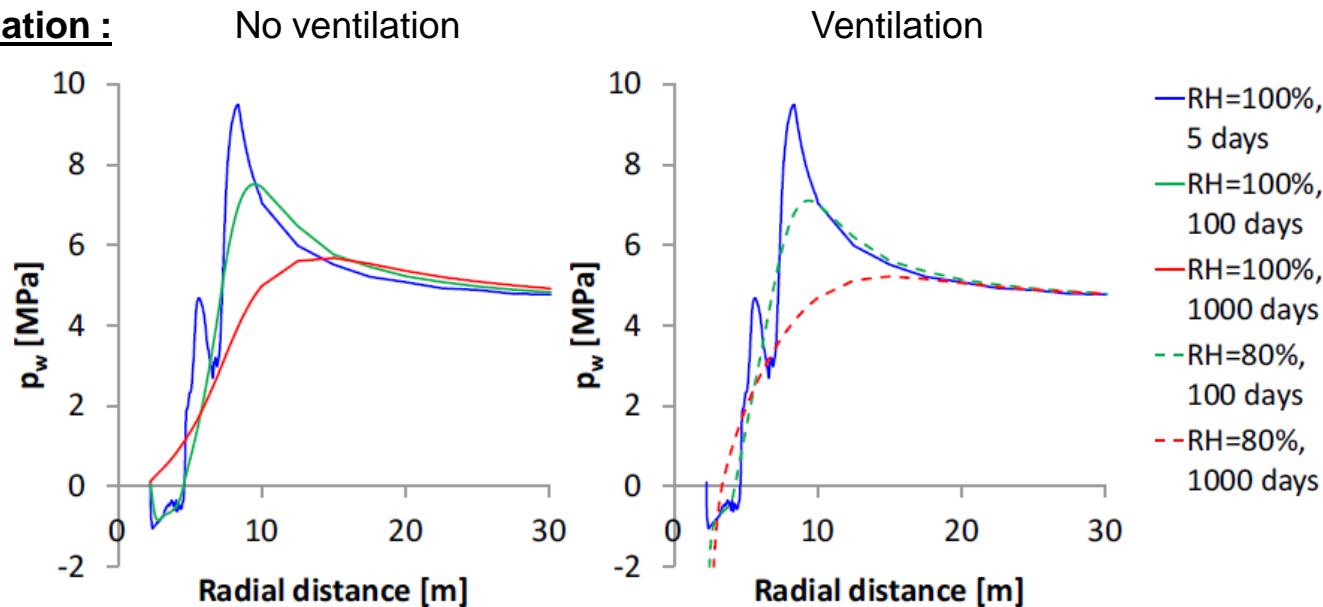
## 2. Numerical results for gallery excavation – 2D

### Influence of gallery ventilation :

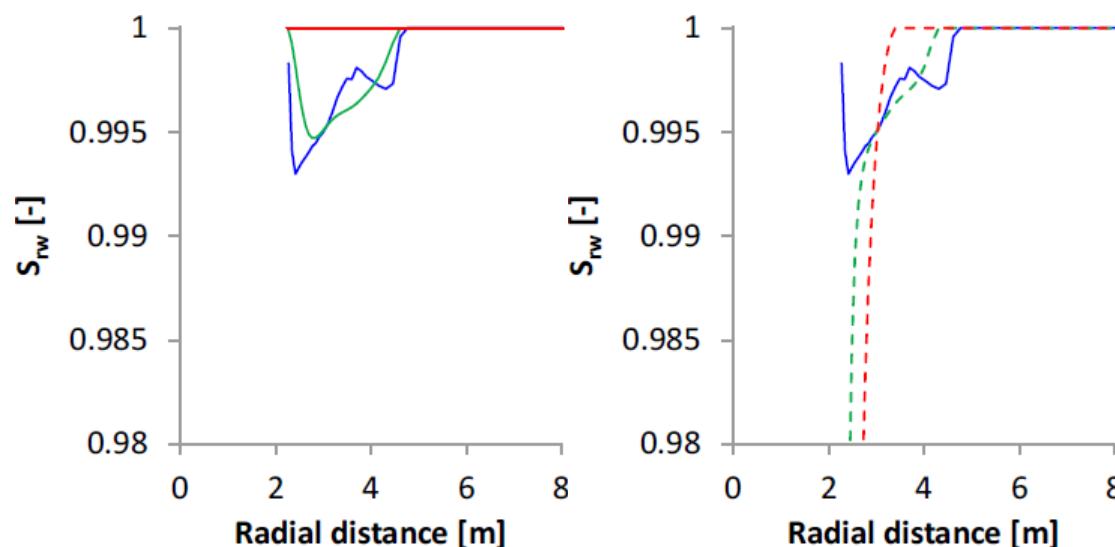
Cross-section



Pore water pressure



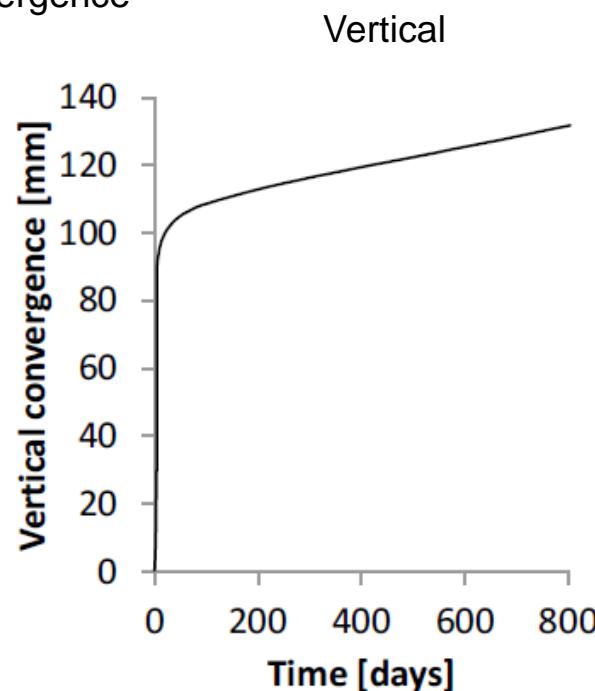
Degree of saturation



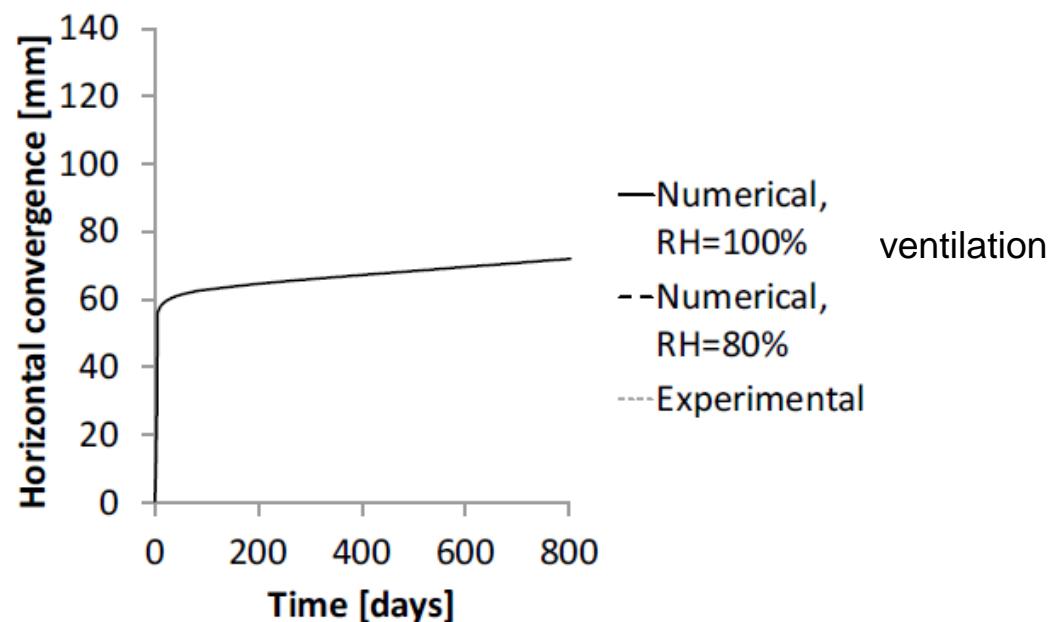
## 2. Numerical results for gallery excavation – 2D

### Influence of gallery ventilation :

Convergence



Horizontal



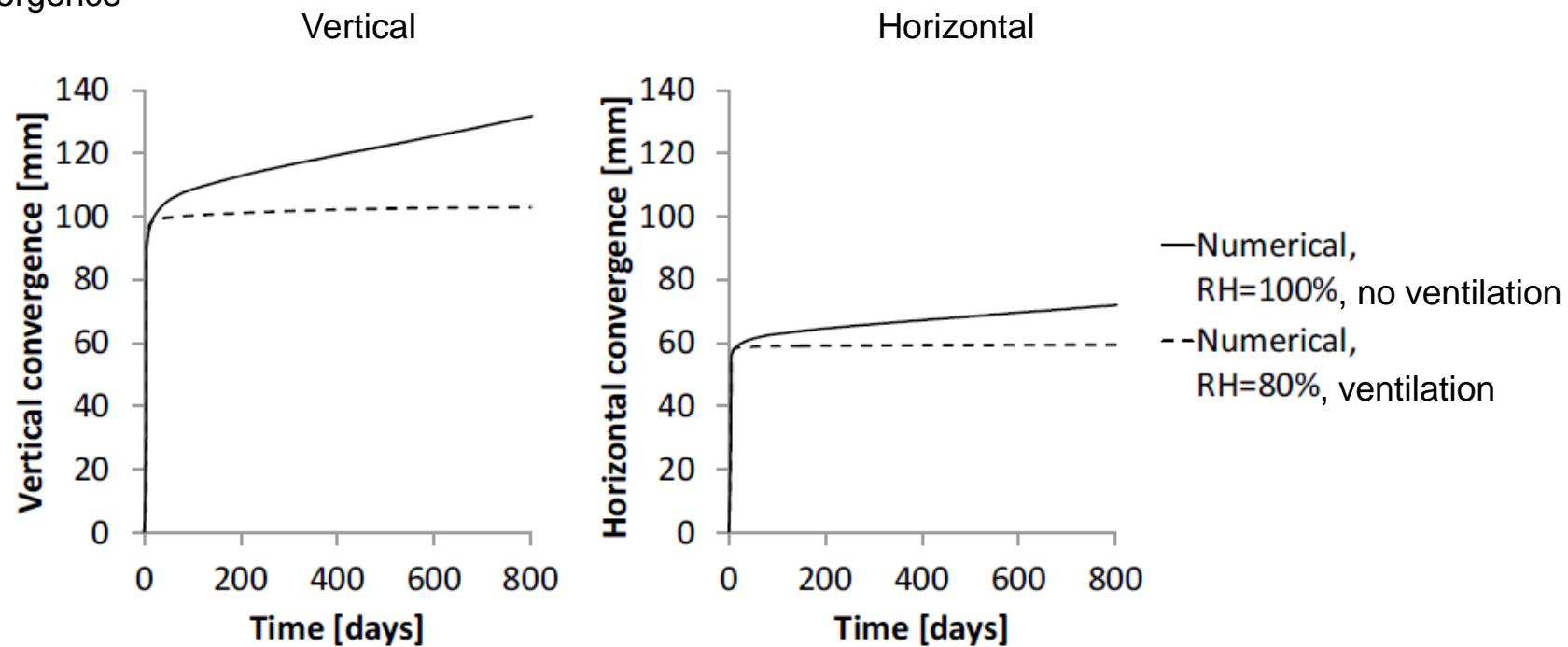
Important during the excavation and keeps increasing afterwards.

Anisotropic convergence because of the shear strain localisation bands located above the gallery.

## 2. Numerical results for gallery excavation – 2D

### Influence of gallery ventilation :

Convergence



Important during the excavation and keeps increasing afterwards.

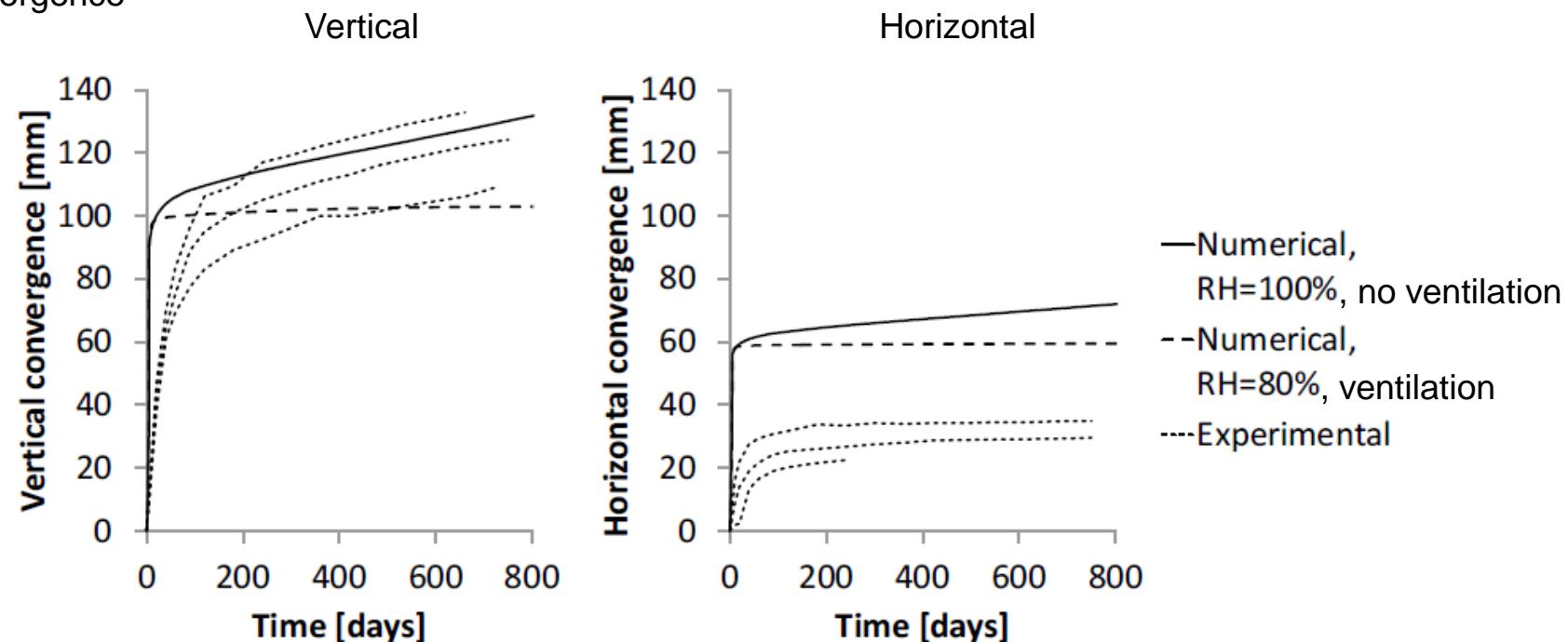
Anisotropic convergence because of the shear strain localisation bands located above the gallery.

Influence of the ventilation.

## 2. Numerical results for gallery excavation – 2D

### Influence of gallery ventilation :

Convergence



Important during the excavation and keeps increasing afterwards.

Anisotropic convergence because of the shear strain localisation bands located above the gallery.

Influence of the ventilation.

Experimental results from a gallery of the Andra URL (Armand *et al.* 2013, Cruchaudet *et al.*, 2010b).

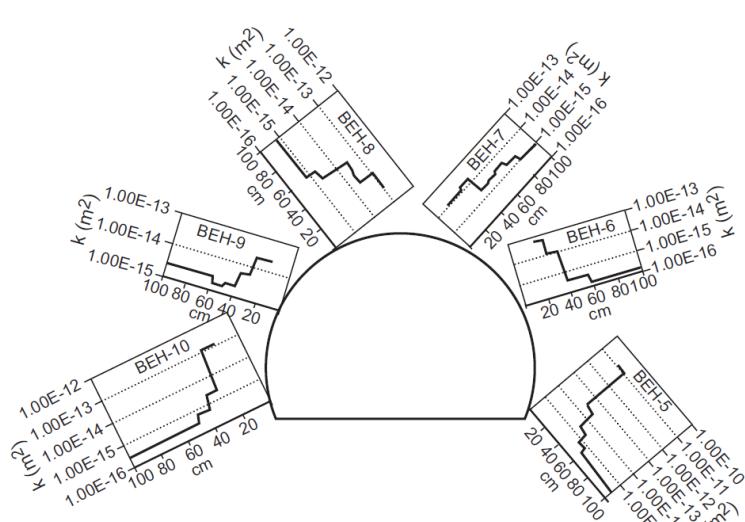
Good matching in the vertical direction for the modelling without ventilation.

## 2. Numerical results for gallery excavation – 2D

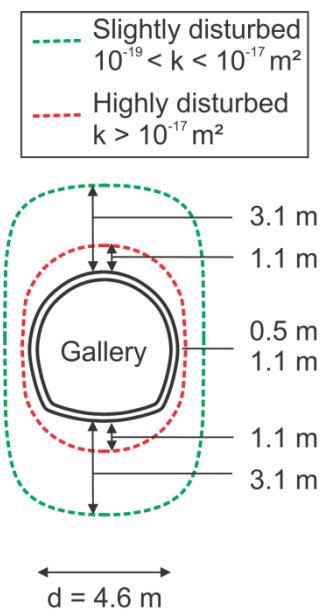
### Permeability variation :

Hydraulic properties is not homogeneous in the damaged zone.

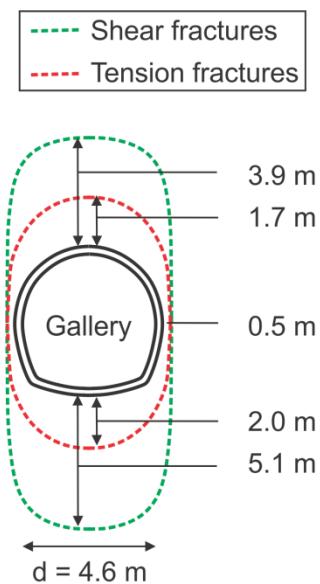
Influence of rock fracturing on intrinsic permeability.



Permeability increase in Opalinus clay  
(Bossart et al., 2002)



In situ permeability and fractures in Callovo-Oxfordian claystone (Armand et al. 2013, Cruchaudet et al. 2010b)



## 2. Numerical results for gallery excavation – 2D

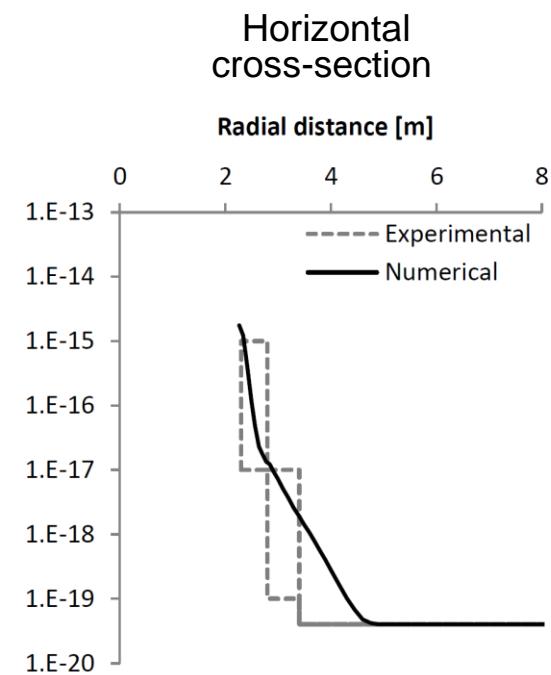
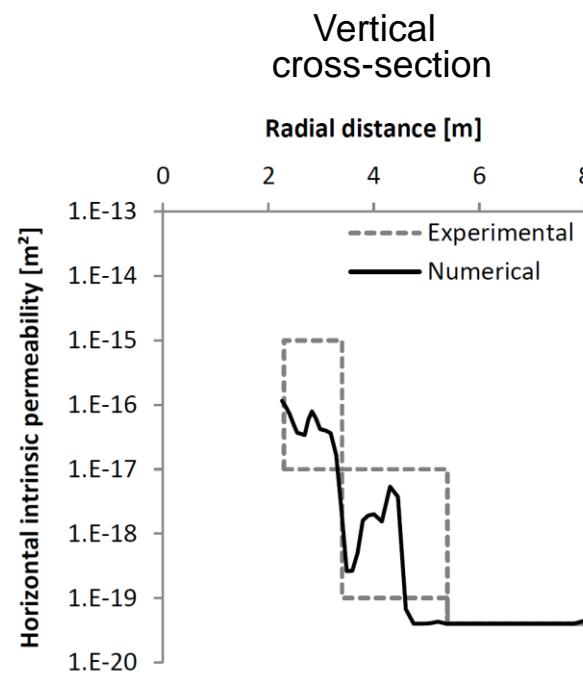
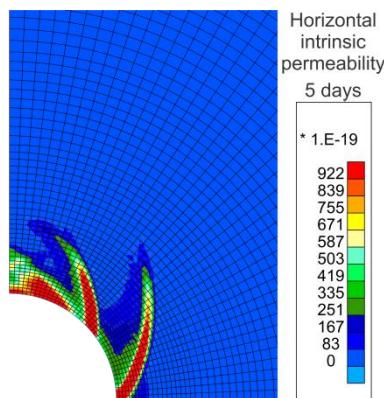
### Permeability variation :

Cubic evolution of  $k$  with **porosity** :

$$\alpha = 2 \times 10^{12}, \beta = 3$$

$$\frac{k_{ij}}{k_{ij,0}} = 1 + \alpha(n - n_0)^\beta$$

End of excavation



## 2. Numerical results for gallery excavation – 2D

### Permeability variation :

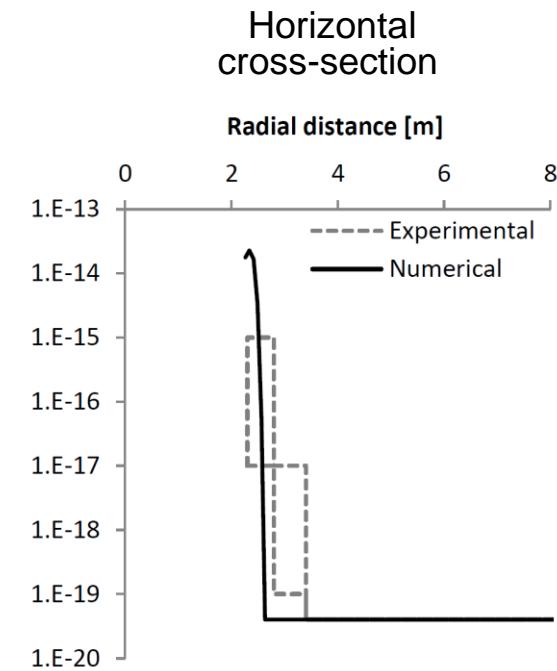
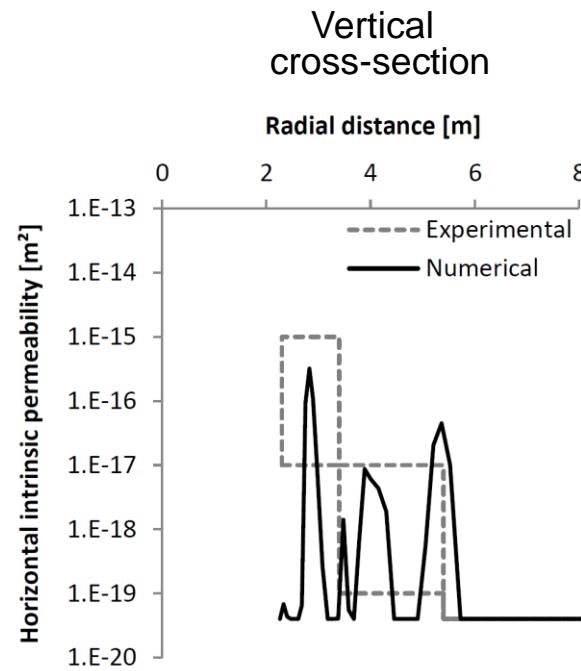
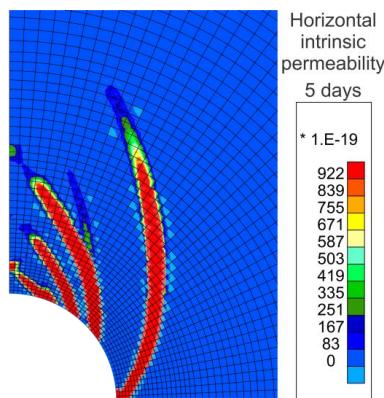
Cubic evolution of  $k$  with **total equivalent strain** :

$$\alpha = 2 \times 10^8, \beta = 3$$

$$\frac{k_{ij}}{k_{ij,0}} = 1 + \alpha(\varepsilon_{eq} - 0.01)^\beta \quad \text{if } \varepsilon_{eq} > 0.01$$

$$\varepsilon_{eq} = \sqrt{\frac{3}{2} \hat{\varepsilon}_{ij} \hat{\varepsilon}_{ij}} \quad \hat{\varepsilon}_{ij} = \varepsilon_{ij} - \varepsilon_m \delta_{ij}$$

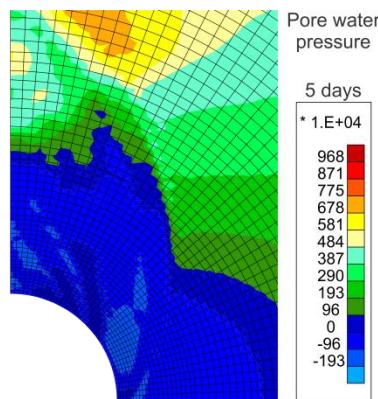
End of excavation



## 2. Numerical results for gallery excavation – 2D

### Permeability variation :

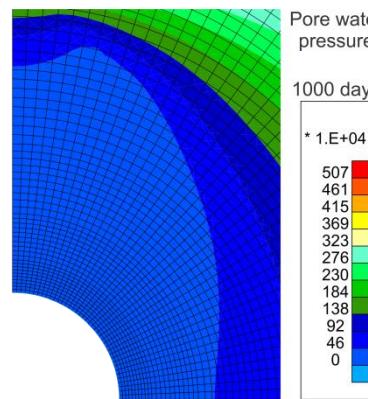
5 days  
End of excavation



### Pore water pressure, influence of ventilation

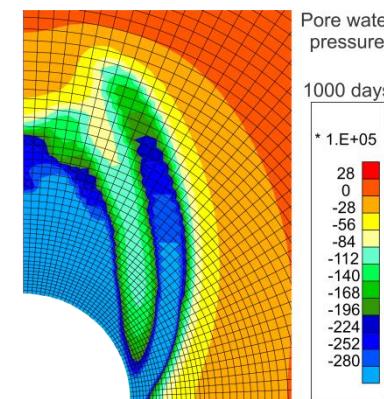
1000 days  
End of calculation

No ventilation (RH=100%)



1000 days  
End of calculation

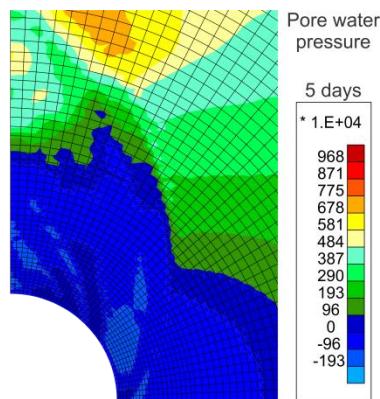
Ventilation (RH=80%)



## 2. Numerical results for gallery excavation – 2D

### Permeability variation :

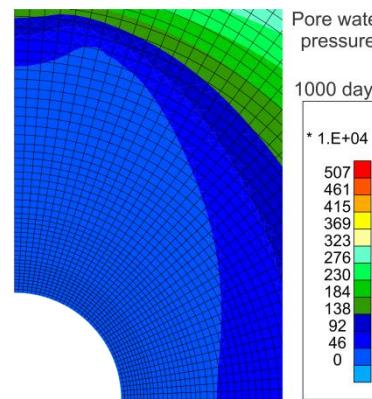
5 days  
End of excavation



### Pore water pressure, influence of ventilation

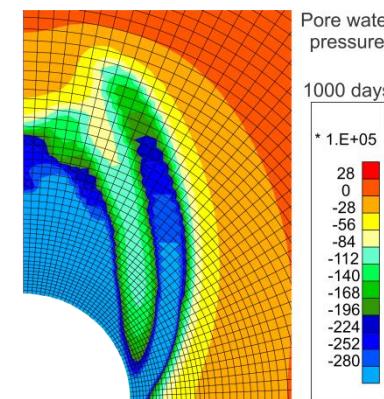
1000 days  
End of calculation

No ventilation (RH=100%)

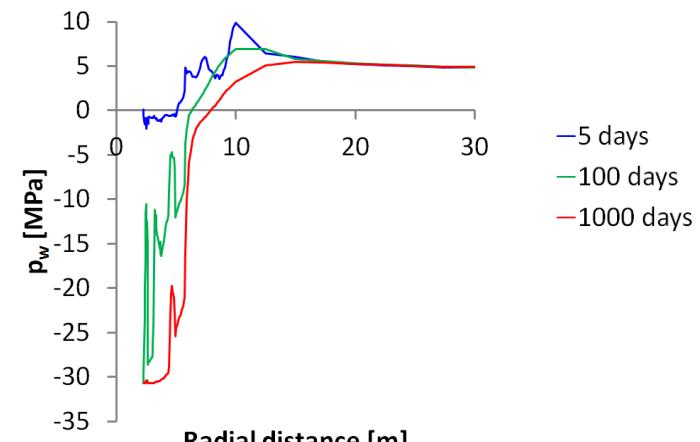
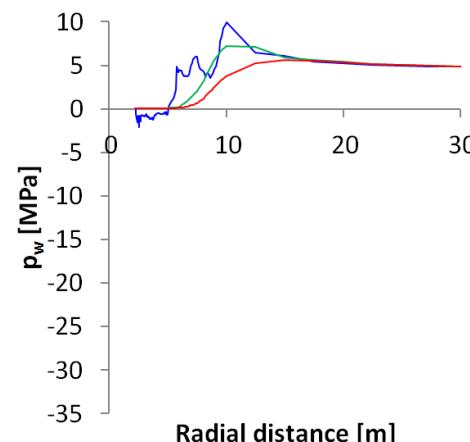


1000 days  
End of calculation

Ventilation (RH=80%)



Vertical cross-section



## 2. Numerical results for gallery excavation – 2D

Permeability variation :

Vertical cross-section

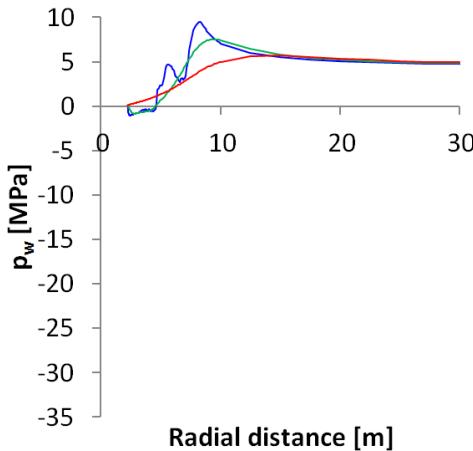
$$k = \text{cst}$$

Vertical cross-section

$$k = f(\varepsilon_{\text{eq}})$$

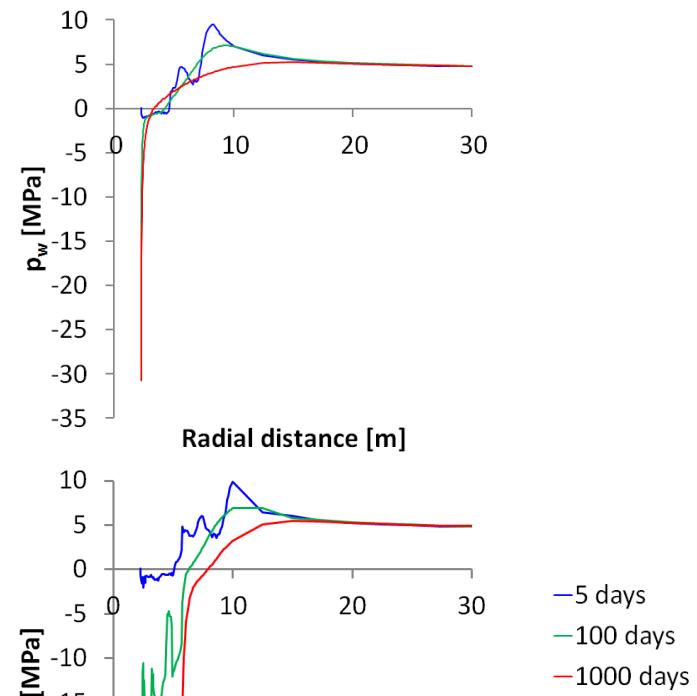
**Pore water pressure, influence of ventilation  
and permeability variation**

No ventilation (RH=100%)



Radial distance [m]

Ventilation (RH=80%)



Radial distance [m]

— 5 days  
— 100 days  
— 1000 days

# Outline

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## 1. CONSTITUTIVE MODELS

## 2. NUMERICAL RESULTS FOR GALLERY EXCAVATION

- 2D : ventilation, permeability variation
- **3D**

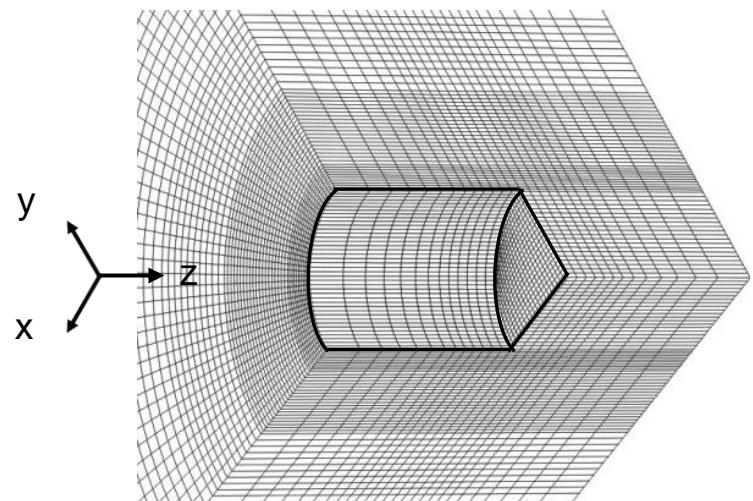
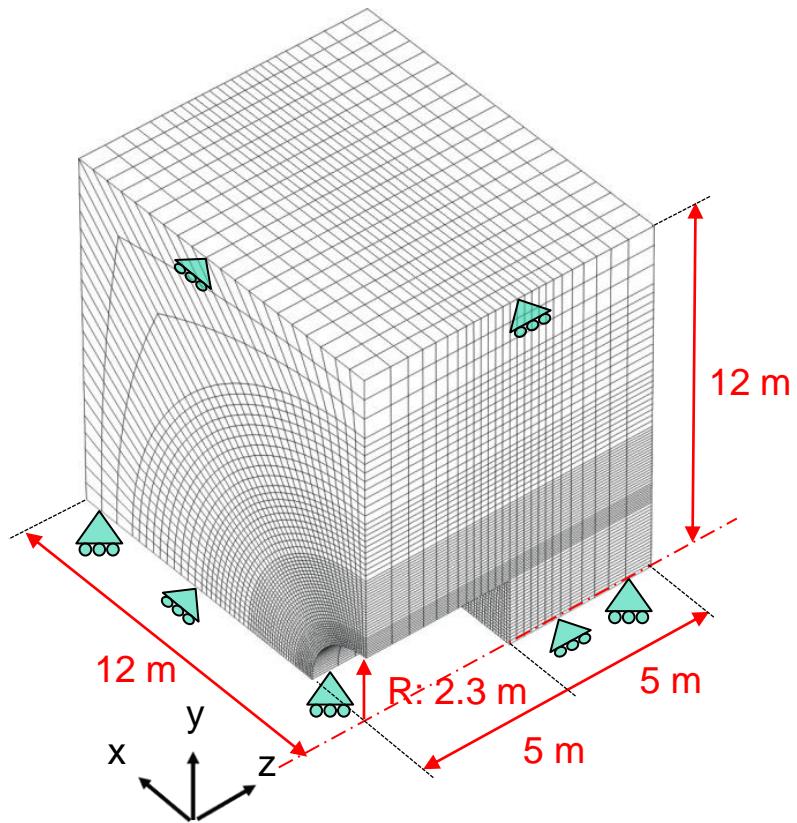
## 3. CONCLUSIONS AND OUTLOOKS

## 2. Numerical results for gallery excavation – 3D

### Numerical modelling (LAGAMINE-ULg) :

Mechanical modelling in 3D state.

Classical FE, no second gradient !

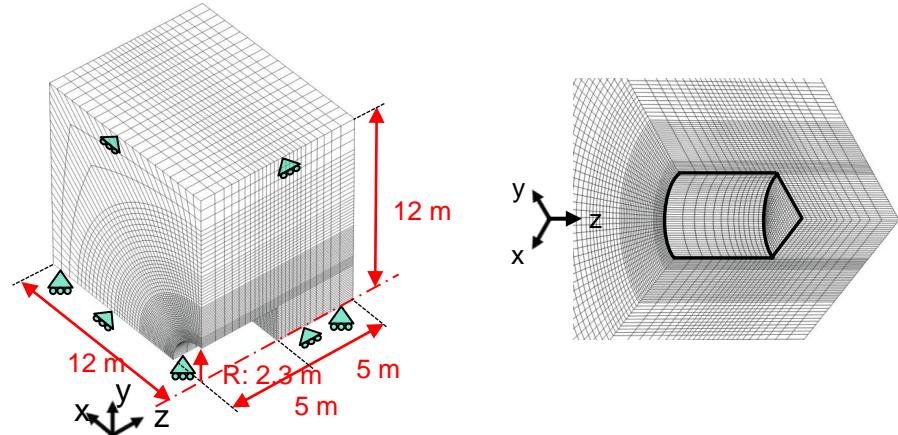


## 2. Numerical results for gallery excavation – 3D

### Numerical modelling (LAGAMINE-ULg) :

Mechanical modelling in 3D state.

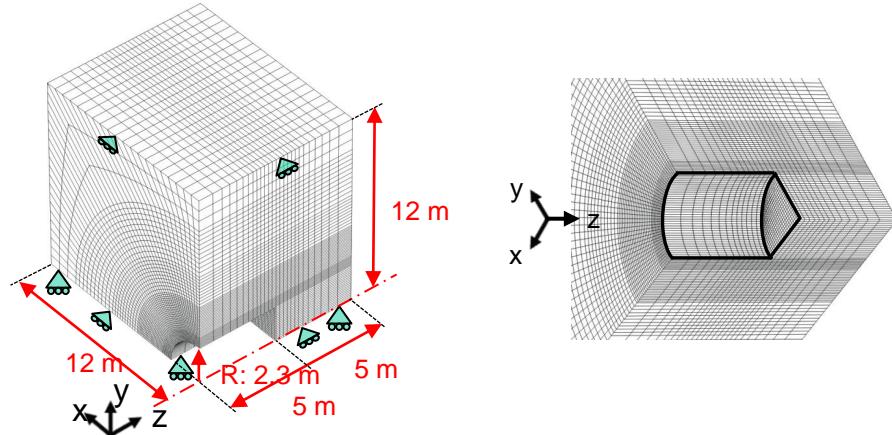
Classical FE, no second gradient !



## 2. Numerical results for gallery excavation – 3D

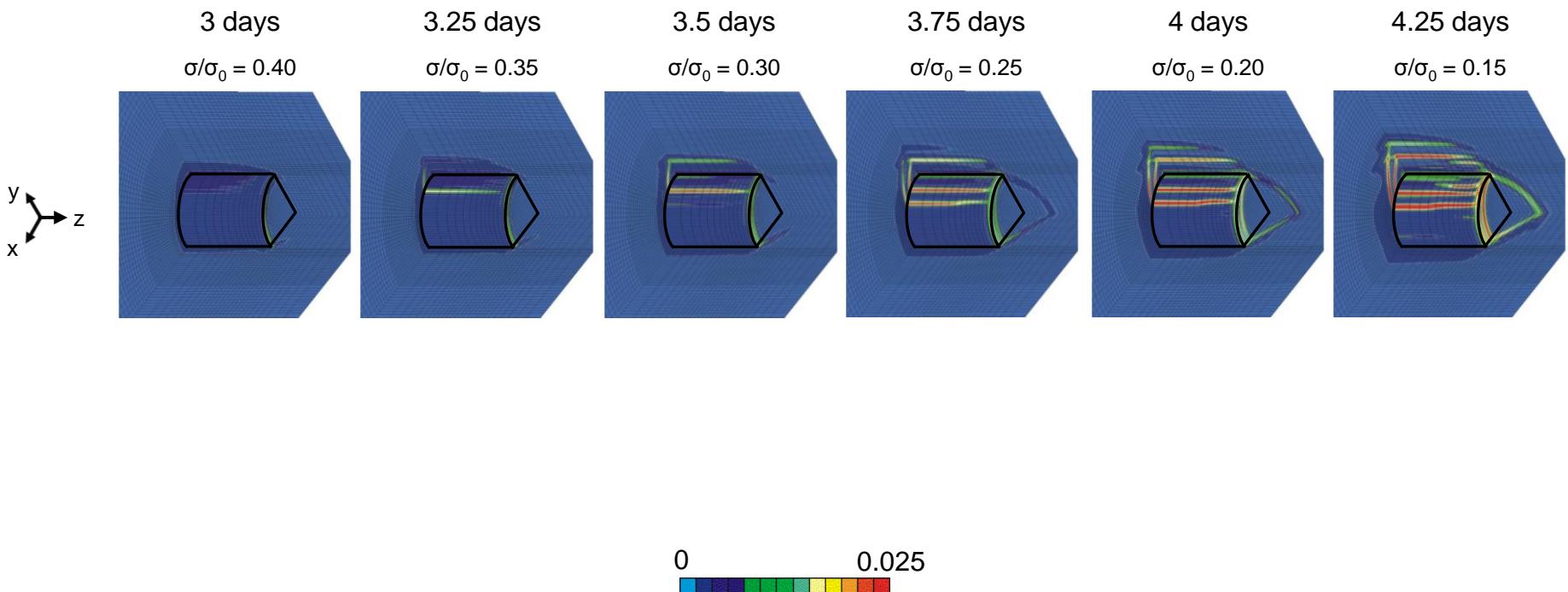
### Numerical modelling (LAGAMINE-ULg) :

Mechanical modelling in 3D state.  
Classical FE, no second gradient !



### Localisation zone :

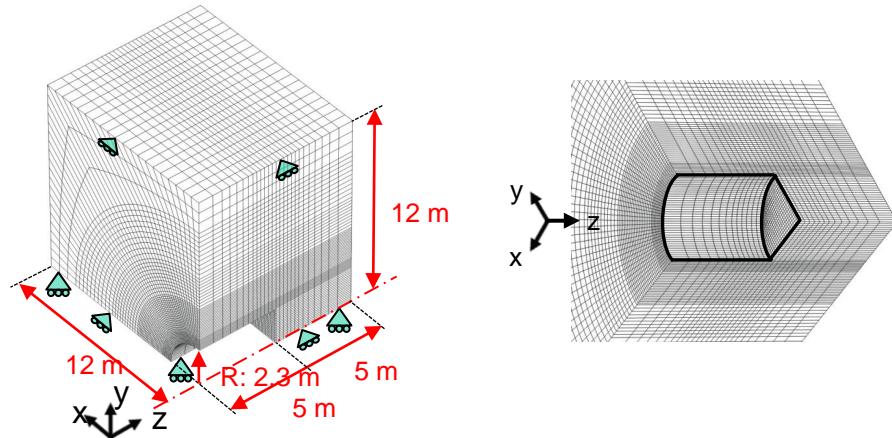
Equivalent deformation  $\epsilon_{eq}$  - during boring



## 2. Numerical results for gallery excavation – 3D

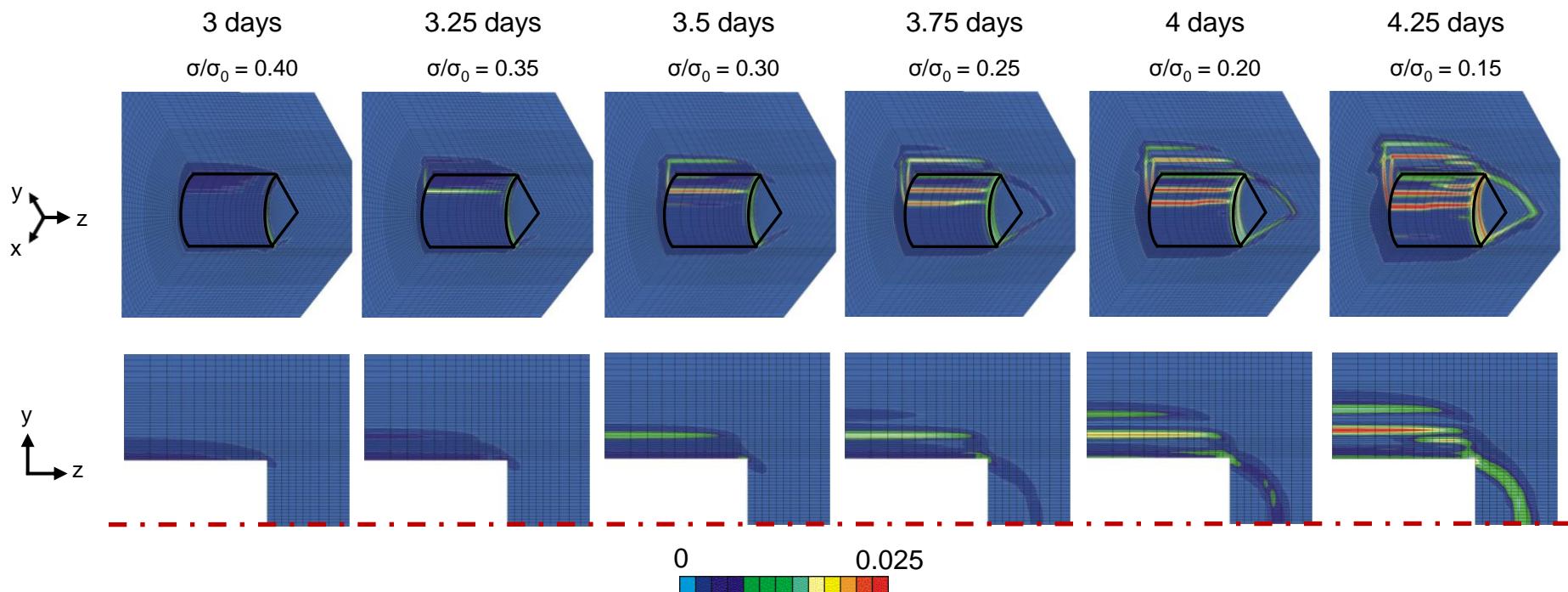
### Numerical modelling (LAGAMINE-ULg) :

Mechanical modelling in 3D state.  
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### Localisation zone :

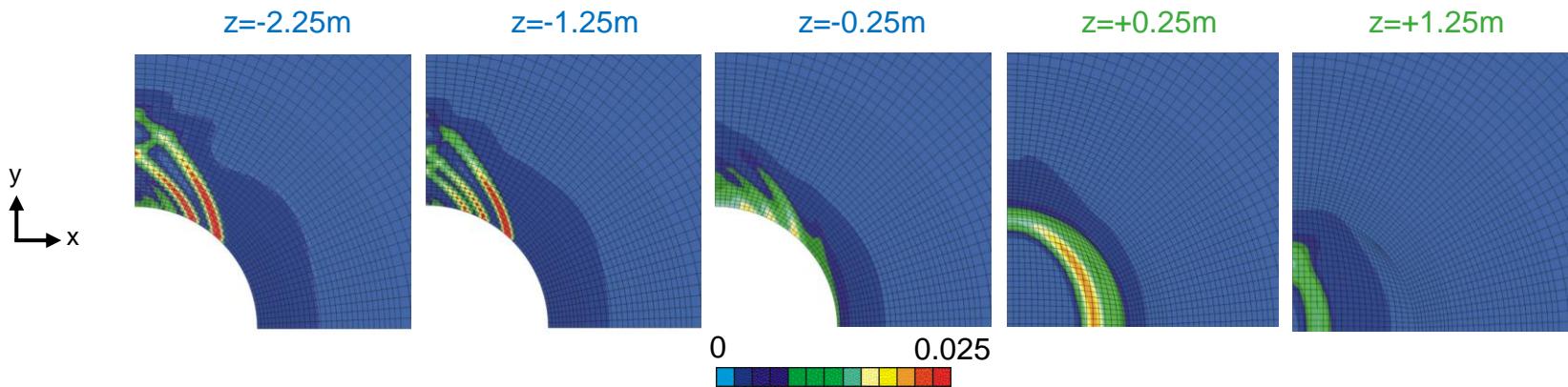
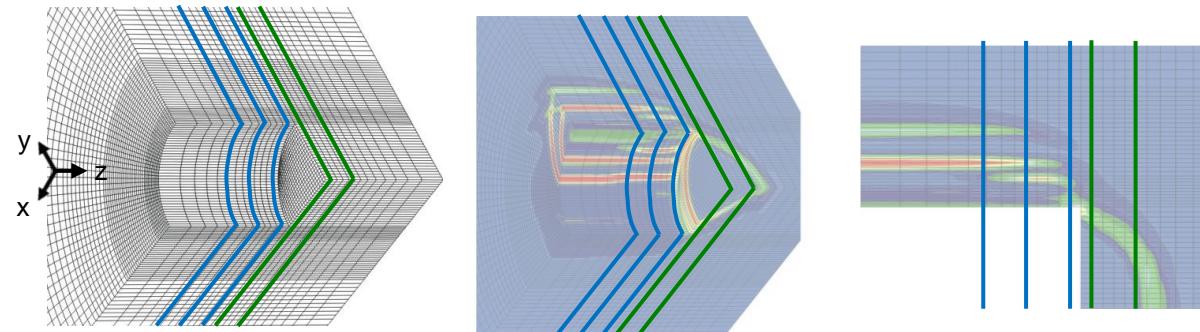
Equivalent deformation  $\epsilon_{eq}$  - during boring



## 2. Numerical results for gallery excavation – 3D

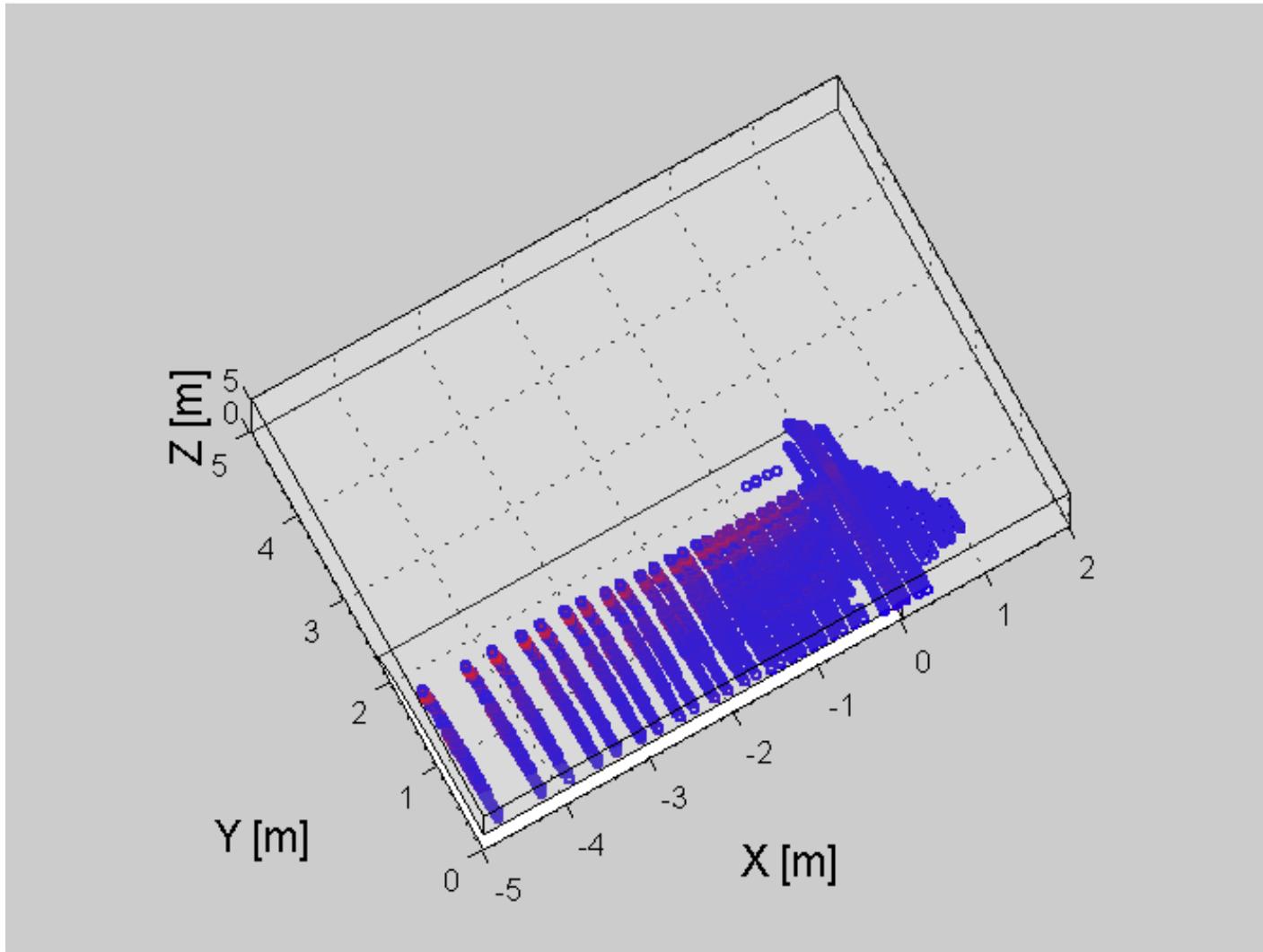
**Localisation zone :** Equivalent deformation  $\varepsilon_{eq}$  - for 4.25 days of excavation ( $\sigma/\sigma_0 = 0.15$ ) :

$z < 0$  : excavation zone  
 $z = 0$  : gallery front  
 $z > 0$  : rock mass



## 2. Numerical results for gallery excavation – 3D

**Localisation zone :** Equivalent deformation  $\varepsilon_{eq}$  - for 4.25 days of excavation ( $\sigma/\sigma_0 = 0.15$ ) :



# Outline

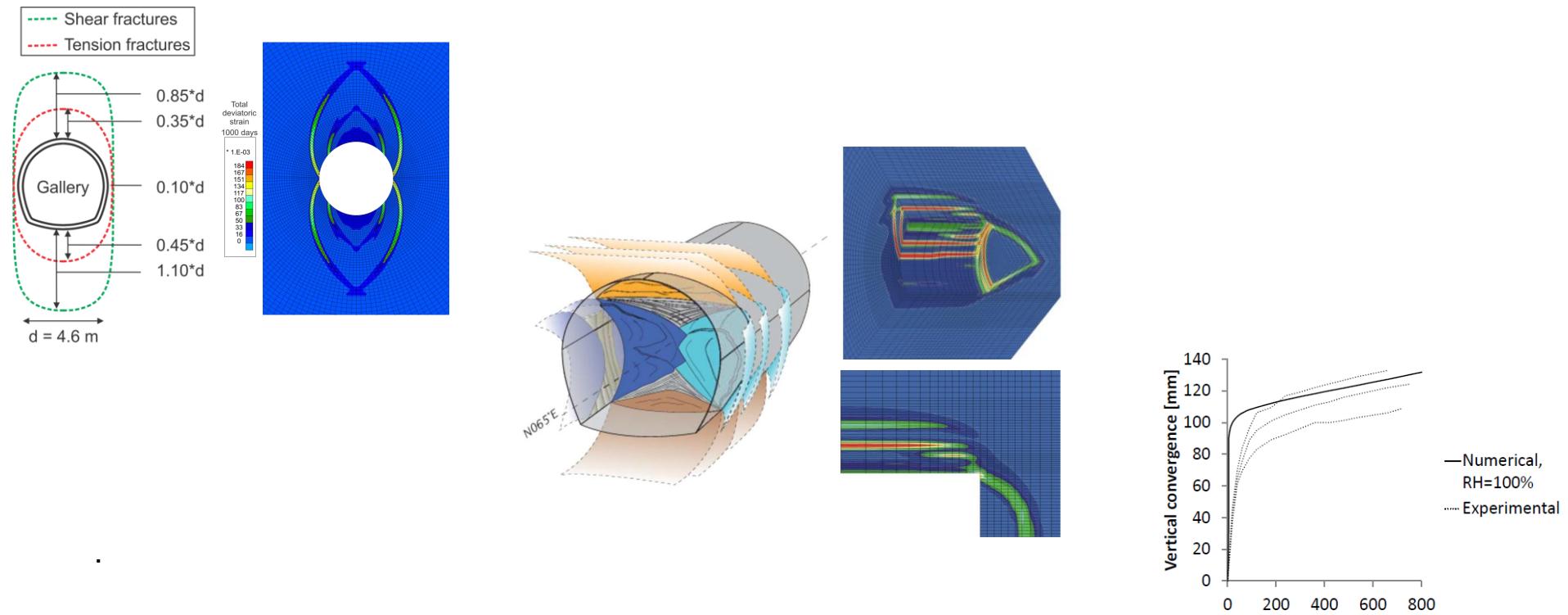
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1. CONSTITUTIVE MODELS
2. NUMERICAL RESULTS FOR GALLERY EXCAVATION
3. **CONCLUSIONS AND OUTLOOKS**

### 3. Conclusions and outlooks

Damaged zone → strain localisation zone similar to *in situ* measurements

→ modelling provide information about the rock structure and evolution within this zone, as observed *in situ*.



→ need for a better definition of :

- the rock anisotropy
- the properties changes
- the hydromechanical coupling



# APPENDIX

# References

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# 1. Constitutive models

## Mechanical and hydraulic parameters :

Synthesis of Callovo-Oxfordian claystone parameters from (Charlier et al. 2013 in press)

Symbol	Name	Value	Unit
$k_{\text{hor}}$	Horizontal intrinsic water permeability	$4 \cdot 10^{-20}$	$\text{m}^2$
$k_{\text{vert}}$	Vertical intrinsic water permeability	$1.33 \cdot 10^{-20}$	$\text{m}^2$
$n_0$	Porosity	0.18	-
$M$	Van Genuchten coefficient	0.33	-
$N$	Van Genuchten coefficient	1.49	$\text{MPa}$
$P_r$	Van Genuchten parameter	15	$\text{Pa}^{-1}$



Symbol	Name	Value	Unit	Permeability anisotropy
E	Young's modulus	4000	$\text{MPa}$	
$\nu$	Poisson's ratio	0.3	-	
b	Biot's coefficient	0.6	-	
$\rho_s$	Specific mass	2300	$\text{kg/m}^3$	
$\psi$	Dilatancy angle	0.5	$^\circ$	
$\phi_0$	Initial friction angle	10	$^\circ$	
$\phi_f$	Final friction angle	20	$^\circ$	$\longrightarrow$ Friction angle hardening
$B_\phi$	Friction angle hardening coefficient	0.002	-	
$c_0$	Initial cohesion	3	$\text{MPa}$	
$c_f$	Final cohesion	0.3	$\text{MPa}$	$\longrightarrow$ Cohesion softening
$B_c$	Cohesion softening coefficient	0.003	-	
D	Second gradient elastic parameter	5000	N	