

# Syntactic complexity of ultimately periodic sets of integers

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# Baum-Sweet sequence

Let  $A = \{a, b, c, d\}$ ,  $B = \{0, 1\}$ ,

$f : a \mapsto ab$	and	$g : a \mapsto 1$
$b \mapsto cb$		$b \mapsto 1$
$c \mapsto bd$		$c \mapsto 0$
$d \mapsto dd$		$d \mapsto 0$

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 \end{array}
 \quad
 \begin{array}{l}
 f \left( \begin{array}{l} a \\ ab \\ abcb \\ abcbbdcb \\ \vdots \end{array} \right)
 \end{array}$$

We have

$$f^\omega(a) = abcbbdcbcbddbd \dots$$

$$\begin{aligned}
 (x_n)_{n \geq 0} &:= g(f^\omega(a)) \\
 &= 11011001010010 \dots
 \end{aligned}$$

# Periodicity problem

Let  $A, B$  be finite alphabets.

A morphism  $f : A \rightarrow B$  is **prolongable** on  $a \in A$  if

$$f(a) = aw \text{ with } w \in A^* \setminus \{\varepsilon\}.$$

A **coding**  $g : A \rightarrow B$  is a letter-to-letter morphism.

## General problem (HD0L periodicity problem)

Let

- $g : A \rightarrow B$  be a coding ,
- $f : A \rightarrow A^*$  be a morphism prolongable on  $a \in A^*$ .

Is the word  $g(f^\omega(a))$  ultimately periodic ?

## Periodicity problem

Let  $A$  be a finite alphabet.

**Problem (D0L periodicity problem)**

If  $f : A \rightarrow A^*$  is a prolongable morphism on  $a \in A$ ,  
is the infinite word  $f^\omega(a)$  ultimately periodic?

It is decidable.

[Harju, Linna, 1986] [Pansiot, 1986]

# Baum-Sweet sequence

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1 Uniform morphisms

2 Numeration systems

3 Syntactic complexity

4 Further work

# $k$ -automatic sequences

Let  $k \geq 2$ .

A morphism  $f : A \rightarrow A^*$  is  **$k$ -uniform** if  $|f(\alpha)| = k \forall \alpha \in A$ .

## Theorem (Cobham, 1972)

*An infinite word  $x$  is  $k$ -automatic iff there exist*

- a  **$k$ -uniform** morphism  $f : A \rightarrow A^*$  prolongable on  $a \in A$ ,
- a coding  $g : A \rightarrow B$  such that  $x = g(f^\omega(a))$ .

A sequence  $(x_n)_{n \geq 0}$  is  **$k$ -automatic** if the  $n$ -th term  $x_n$  is obtained by feeding a DFA with output with the base  $k$  representation of  $n$ .



# Baum-Sweet sequence

$$(x_n)_{n \geq 0} = 11011001010010\dots$$

$x_n$	1	1	0	1	1	0	0	1	0	...
$n$	0	1	2	3	4	5	6	7	8	...
$\text{rep}_2(n)$	$\varepsilon$	1	10	11	100	101	110	111	1000	...

$$x_n = 1 \Leftrightarrow$$

No block of 0 of odd length appears in  $\text{rep}_2(n)$

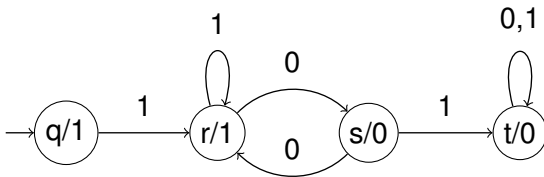
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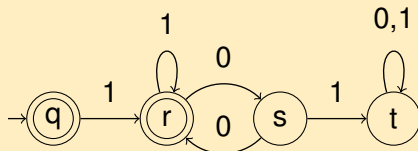
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$\rightsquigarrow (x_n)_{n \geq 0}$  is 2-automatic.

## Example (Baum-Sweet sequence)

$S_1 = \{n \geq 0 \mid x_n = 1\}$  is 2-recognizable,  
 i.e.,  $\text{rep}_2(S_1) = \{\text{rep}_2(n) \mid n \in S_1\}$  is accepted by a DFA.



Conversely,

$X \subseteq \mathbb{N}$   $k$ -recognizable  $\Rightarrow 1_X$   $k$ -automatic.

## Problem

Let  $g : A \rightarrow B$  be a coding and

$f : A \rightarrow A^*$  be a  **$k$ -uniform** morphism prolongable on  $a \in A^*$ .

Is the word  $g(f^\omega(a))$  ultimately periodic?

A set  $X \subseteq \mathbb{N}$  is **ultimately periodic** if  $1_X$  is ultimately periodic.

## Equivalent problem

Given a DFA that accepts the base  $k$  representation of  $X \subseteq \mathbb{N}$ ,  
is the set  $X$  ultimately periodic?

# Integer base

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Given a DFA that accepts the base  $k$  representation of  $X \subseteq \mathbb{N}$ , is the set  $X$  ultimately periodic?

It is decidable. [Honkala, 1986]

# Integer base

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It is decidable. [Honkala, 1986]

## Remark

Allouche, Rampersad, Shallit	2009
Leroux	2005
Muchnik	1991

# Integer base

## Idea :

If  $X \subseteq \mathbb{N}$  is ultimately periodic,  
then the state complexity of the DFA  $\nearrow$  with the period and  
preperiod of  $X$ .

## Decision method :

Input :  $X \subseteq \mathbb{N}$  given by a DFA accepting  $0^* \text{rep}_b(X)$ .

If  $X$  is ultimately periodic,  
we have an upper bound on its period and its preperiod.

$\rightsquigarrow$  a finite number of pairs (period, preperiod) to test.

1 Uniform morphisms

**2 Numeration systems**

3 Syntactic complexity

4 Further work



# General case

**Abstract numeration system**  $S = (L, \Sigma, <)$  where

- $L$  is infinite recognizable language,
- $(\Sigma, <)$  is a totally ordered alphabet.

The **representation** of an integer  $n$  is

$$\text{rep}_S(n) := \text{the } (n+1)\text{-th word of } L.$$

## Example

Let  $S = (L, \{a, b\}, a < b)$  with  $L = \{\varepsilon\} \cup \{a, ab\}^*$ .

$\text{rep}_S(\mathbb{N})$	$\varepsilon$	$a$	$aa$	$ab$	$aaa$	$aab$	$aba$	$aaaa$	$\dots$
$\mathbb{N}$	0	1	2	3	4	5	6	7	$\dots$

# Back to the problem

## General problem (HD0L periodicity problem)

Let

- $g : A \rightarrow B$  be a coding ,
- $f : A \rightarrow A^*$  be a morphism prolongable on  $a \in A^*$ .

Is the word  $g(f^\omega(a))$  ultimately periodic ?

## Theorem (Maes, Rigo, 2002)

*An infinite word  $x$  is  $S$ -automatic iff there exist*

- *a morphism  $f : A \rightarrow A^*$  prolongable on  $a \in A$  ,*
- *a coding  $g : A \rightarrow B$  such that  $x = g(f^\omega(a))$ .*

## Problem (equivalent to the "HD0L periodicity problem")

Let

- $S$  be an abstract numeration system,
- $X \subseteq \mathbb{N}$  be a set such that  $\text{rep}_S(X)$  is recognizable.

Is the set  $X$  ultimately periodic ?

It is decidable for a class of abstract numeration systems.  
[Bell, Charlier, Fraenkel, Rigo, 2008]

# Positional numeration system

A **positional numeration system**  $U = (U_i)_{i \geq 0}$  is

- a strictly increasing sequence  $U$  of integers such that
- $\{U_{i+1}/U_i \mid i \geq 0\}$  is bounded,
- $U_0 = 1$ .

## Remark

Particular case : integer base

$$(U_i)_{i \geq 0} = (b^i)_{i \geq 0}$$

# Fibonacci numeration system

Let  $F = (F_i)_{i \geq 0} := (1, 2, 3, 5, 8, 13, 21, 34, \dots)$  be given by

$$F_0 = 1, F_1 = 2 \text{ and } F_{i+2} = F_{i+1} + F_i \text{ for all } i \geq 0.$$

13	8	5	3	2	1		
					$\varepsilon$	0	
					1	1	
				1	0	2	
			1	0	0	3	
			1	0	1	4	
						$\vdots$	
1	0	0	1	0	1	17	

$$\text{rep}_F(17) = 100101$$

# Fibonacci numeration system

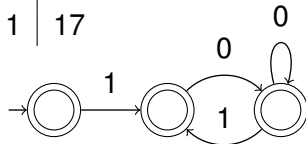
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		1	0	0	0	3
		1	0	1	1	4
						$\vdots$
1	0	0	1	0	1	17

$$\text{rep}_F(17) = 100101$$

$$\text{rep}_F(\mathbb{N}) = \{\varepsilon\} \cup 1\{0, 01\}^*$$



# Positional numeration system

## Problem

Let

- $U$  be a positional numeration system,
- $X \subseteq \mathbb{N}$  be a set such that  $\text{rep}_U(X)$  is recognizable.

Is the set  $X$  ultimately periodic ?

It is decidable for a class of positional numeration systems.  
[Bell, Charlier, Fraenkel, Rigo, 2008]

## Remark

The decision procedure of Bell *et al.* can not be applied to the integer base systems.

- 1 Uniform morphisms
- 2 Numeration systems
- 3 Syntactic complexity**
- 4 Further work



Let  $L$  be a language over the finite alphabet  $A$ .

**Context** of a word  $u \in A^*$  with respect to  $L$  :

$$C_L(u) = \{(x, y) \in A^* \times A^* \mid xuy \in L\}$$

**Myhill congruence** for  $L : \forall u, v \in A^*$ ,

$$u \leftrightarrow_L v \Leftrightarrow C_L(u) = C_L(v)$$

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### Example

Let  $A = \{a, b\}$  and  $L = a^*b^* = \{a^n b^m \mid n, m \in \mathbb{N}\}$ .

$$C_L(ab) = \{(a^i, b^j) \mid i, j \in \mathbb{N}\}$$

$$C_L(ba) = \emptyset$$

$$C_L(a) = \{(a^i, a^j b^\ell) \mid i, j, \ell \in \mathbb{N}\}$$

Let  $[u]$  denote the class of  $u \in A^*$  in  $A^*/\leftrightarrow_L$ .

The product is defined by

$$[u] \circ [v] = [w] \text{ if } [u] \cdot [v] \subseteq [w].$$

In particular,  $[u] \circ [v] = [uv]$ .

**Syntactic monoid** of  $L : (A^*/\leftrightarrow_L, \circ)$

### Theorem

*$L$  is recognizable  $\Leftrightarrow A^*/\leftrightarrow_L$  is finite*

**Syntactic complexity** of  $L : \#(A^*/\leftrightarrow_L)$

## Back to the problem

### Problem

Given a DFA that accepts the representation of  $X \subseteq \mathbb{N}$ ,  
is the set  $X$  ultimately periodic ?

If  $X \subseteq \mathbb{N}$  is periodic of period  $m$ ,

then the representation of  $X$  in a reasonable numeration  
system gives a language  $L \subseteq A^*$  recognizable by a DFA.

**Question** : Does  $\#(A^*/\leftrightarrow_L)$  grow with the period  $m$  of  $X$  ?

# Integer base

## Theorem (Rigo, V., 2011)

Let  $m, b \geq 2$  be integers such that  $(m, b) = 1$ . If  $X \subseteq \mathbb{N}$  is periodic of period  $m$ , then

$$\#(A^* / \leftrightarrow_{0^* \text{rep}_b(X)}) = m \cdot \text{ord}_m(b).$$

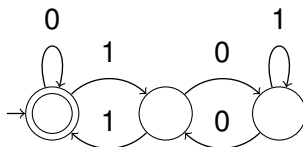
Notation :  $\text{ord}_m(b) = \min\{j \in \mathbb{N} \setminus \{0\} \mid b^j \equiv 1 \pmod{m}\}$ .

Idea : Show for all  $u, v \in A^*$ ,

$$u \leftrightarrow_{0^* \text{rep}_b(X)^*} v \Leftrightarrow \begin{cases} \text{val}_b(u) \equiv \text{val}_b(v) & \pmod{m} \\ |u| \equiv |v| & \pmod{\text{ord}_m(b)} \end{cases} .$$

Example :  $X = 3\mathbb{N} = \{3n \mid n \in \mathbb{N}\}$

- $b = 2$
- $m = 3$
- $\text{ord}_3(2) = 2$



Multiplication table of the syntactic monoid of  $0^*\text{rep}_2(X)$  :

	$\varepsilon$	0	1	01	10	101
$\varepsilon$	$\varepsilon$	0	1	01	10	101
0	0	$\varepsilon$	01	1	101	10
1	1	10	$\varepsilon$	101	0	01
01	01	101	0	10	$\varepsilon$	1
10	10	1	101	$\varepsilon$	01	0
101	101	01	10	0	1	$\varepsilon$

# Integer base

Lower bounds on the syntactic complexity can be obtained for a period  $m$  and a base  $b$  such that :

- $m = q$  with  $\gcd(q, b) = 1$ ,
- $m = b^n$  with  $n \geq 1$ ,
- $m = b^n q$  with  $q \geq 2$ ,  $\gcd(q, b) = 1$  and  $n \geq 1$ ,
- $m = db^n q$  with  $q \geq 2$ ,  $\gcd(q, b) = 1$ ,  $\gcd(d, b) \geq 1$  and  $n \geq 0$ .

# Integer base

## Proposition (Rigo, V., 2011)

*If  $b$  is prime and  $X \subseteq \mathbb{N}$  is ultimately periodic of period  $m = qb^n$  with  $q \geq 2$ ,  $\gcd(q, b) = 1$  and  $n \geq 0$ , then*

$$\#(A^* / \leftrightarrow_{0^* \text{rep}_b(X)}) \geq (n + 1)q.$$

In the proof, we use a result of Perles, Rabin, Shamir (1963) on  **$n$ -definite** languages a. k. a. **suffix testable** languages.

[Pin, 1997]



A language  $L \in A^*$  is a  **$n$ -definite** if

- $\forall u, v \in A^*$  such that  $u = u'x, v = v'x$  with  $|x| = n$  and  $u', v' \in A^*$ ,

$$u \in L \Leftrightarrow v \in L$$

- $\exists u, v \in A^*$  such that  $u = u'x, v = v'x$  with  $|x| = n - 1$  and  $u', v' \in A^* \setminus \{\varepsilon\}$ ,

$$u \in L \text{ and } v \notin L.$$

### Example

Let  $X = 5 + 8\mathbb{N}$  and  $L = 0^* \text{rep}_2(X)$ .

$L$  is 3-definite because

$$L = \{0, 1\}^* \{101\}$$

1 Uniform morphisms

2 Numeration systems

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**4 Further work**

**Goal** : Deal with a larger class of numeration systems using the syntactic monoid.

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### Conjecture (Fibonacci numeration system)

$$F_0 = 1, F_1 = 2 \text{ and } F_{i+2} = F_{i+1} + F_i \text{ for all } i \geq 0.$$

If  $X = m\mathbb{N} = \{m \cdot n \mid n \in \mathbb{N}\}$ , then

$$\#(A^* / \leftrightarrow_{0^* \text{rep}_F(X)}) = 4 \cdot m^2 \cdot P_F(m) + 2$$

where  $P_F(m)$  is the period of  $(F_i \bmod m)_{i \geq 0}$ .

Thank you.