

1 **Reusability study of Novozym® 435**

2 **for the enzymatic synthesis of mannosyl myristate in pure ionic liquids**

3 **Short title: Reusability study of Novozym® 435 for the acylation of mannose in ionic liquids**

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25 **Abstract**

26 When developing a biocatalyzed synthesis route, the enzyme reusability is an important parameter to
27 consider for the reduction of industrial costs. In this context, the functional stability of Novozym[®] 435
28 in ionic liquids (ILs) was studied in the transesterification of mannose with vinyl myristate. The enzyme
29 was re-used five times in three ILs, 1-butyl-3-methylimidazolium tetrafluoroborate ([Bmim][BF₄]), 1-
30 butyl-3-methylimidazolium trifluoromethanesulfonate ([Bmim][TFO]), 1-butyl-1-methylpyrrolidinium
31 trifluoromethanesulfonate ([Bmpyrr][TFO]) and in *tert*-butanol (*tert*-BuOH). [Bmpyrr][TFO] showed
32 the best 24 h-yield (24 h-η), with 68.8% after the first cycle and the lowest loss of 24 h-η (42%) after
33 five cycles (24 h-η of 39.9%). In comparison with [Bmpyrr][TFO], Novozym[®] 435 presented the most
34 prominent loss of activity after five cycles of reaction in [Bmim][TFO] (loss of 89%), despite the good
35 24 h-η obtained after one cycle (60%). [Bmim][BF₄] was the least interesting IL, as it was found to lead
36 to the lowest 24 h-η, with 24.5% after one cycle and a significant loss of activity (77%) after five cycles,
37 with a 24 h-η of 5.6%. After five cycles, the 24 h-η in [Bmpyrr][TFO] was higher than in *tert*-BuOH
38 and the yield loss was higher for the organic solvent (55%). Consequently, these results reveal that, in
39 the present study, the pyrrolidinium-based IL [Bmpyrr][TFO] represented the best IL as it allowed the
40 highest level of enzymatic activity and functional stability of Novozym[®] 435.

41 **Key words**

42 Re-use, ionic liquids, Novozym[®] 435, sugar esters, carbohydrates, transesterification, green chemistry

43 **Résumé**

44 Lors de l'élaboration d'une voie de synthèse biocatalysée, la réutilisation de l'enzyme est un paramètre
45 important à considérer pour la réduction des coûts industriels. Dans ce contexte, la stabilité fonctionnelle
46 de la Novozyme[®] 435 dans les liquides ioniques (LIs) a été étudiée pour la transestérification du mannose
47 avec le myristate de vinyle. L'enzyme a été réutilisée cinq fois dans trois LIs ([Bmim][BF₄],
48 [Bmim][TFO] and [Bmpyrr][TFO]) et dans le *tert*-butanol (*tert*-BuOH). [Bmpyrr][TFO] a montré le
49 meilleur rendement après 24h (η-24h), avec 68,8% après le premier cycle et la perte de rendement la
50 plus faible est de 28.9% seulement après cinq cycles de réaction (η-24h de 39.9% et une perte de 42%).

51 Comparé à [Bmpyrr][TFO], l'enzyme a présenté la plus importante perte d'activité après cinq réactions
52 dans [Bmim][TFO] (perte de 89%) en dépit de l'obtention d'un bon η -24h (60%). [Bmim][BF₄] est le
53 LI le moins intéressant puisqu'il a abouti au η -24h le plus faible avec 24,5% après un cycle et d'une
54 importante perte d'activité (77%) après cinq cycles dont le η -24h est de 5,6%. Après cinq cycles, le η -
55 24h dans [Bmpyrr][TFO] est plus élevé que dans le *tert*-BuOH et la perte de rendement est plus élevée
56 dans le solvant organique (32.4%). En conséquence, ces résultats montrent que le LI basé sur le cation
57 pyrrolidium [Bmpyrr][TFO] est le meilleur LI en terme d'activité enzymatique et de stabilité
58 fonctionnelle pour la Novozyme[®] 435 dans cette étude.

59 **Mots-clés**

60 Réutilisation, liquides ioniques, Novozyme[®] 435, esters de sucres, hydrates de carbone,
61 transestérification, chimie verte.

62

63 **1 Introduction**

64 Over the last decade, research exploring the use of ionic liquids (ILs) for biocatalysis has attracted
65 increasing attention (Kim *et al.*, 2001; Sheldon *et al.*, 2002; Park & Kazlauskas, 2003; van Rantwijk *et*
66 *al.*, 2003; van Rantwijk and Sheldon, 2007; Roosen *et al.*, 2008; Habulin *et al.*, 2011). The so-called
67 room temperature ionic liquids (RTILs) are salt-like materials, which have no detectable vapor pressure
68 at room temperature. RTILs also have a high thermal and chemical stability, the capacity to solubilize a
69 large range of polar and apolar compounds, and the ability to improve enzymatic activity and stability
70 (Kaar *et al.*, 2003; Zhao, 2010). Furthermore, the properties of these solvents - such as their viscosity,
71 polarity and hydrophobicity - can be easily adapted through the use of a combination of a large variety
72 of cations and anions (Galonde *et al.*, 2012). All these characteristics make these innovative solvents an
73 excellent “eco-friendly” and benign alternative to the harmful volatile organic solvents (VOSs)
74 traditionally used for the biocatalysis of sugar fatty acid esters (SFAEs). Because SFAEs are widely
75 used in food, pharmaceuticals and cosmetic applications, there is a growing demand for the development
76 of green production routes for these compounds. Indeed, the chemical synthesis of SFAEs, generally

77 carried out in VOSs, requires drastic conditions (high temperature, complex protection/deprotection
78 strategies) which can lead to potentially harmful by-products (Chang and Shaw, 2009). The use of ILs
79 in biocatalysis, by contrast, presents several advantages. However, the obvious drawback for industry is
80 the expensive nature of these solvents. This problem could be overcome if recyclability of the enzymes
81 and ILs used in biocatalytic processes were to be developed (Ward *et al.*, 1997; Selmi and Thomas,
82 1998; Deng *et al.*, 2003; Itoh *et al.*, 2003; Lee *et al.*, 2008; Fehér *et al.*, 2008; Ha *et al.*, 2008; Vidya and
83 Chadha, 2009).

84 In this context, the present study aims to investigate the re-use of Novozym[®] 435 during five reaction
85 cycles for the synthesis of mannosyl myristate by transesterification both in three ILs (Figure 1), and in
86 a reference organic solvent (*tert*-BuOH). The yields obtained in each medium after each run of 24 h
87 were compared, and the effect of the IL properties on the enzyme's operational stability was
88 investigated. The study focuses on the synthesis of an ester of mannose, as mannose vaccines or drug
89 carriers can be useful for increasing immunogenicity (Zhou *et al.*, 2007; Jiang *et al.*, 2008) and tumor
90 targeting (Prakash *et al.*, 2010). The three ILs chosen were highlighted in our earlier study, during which
91 nine ILs (differing by their ion type and their alkyl chain length on the cation) were screened for the
92 synthesis of the same mannose ester (Galonde *et al.*, 2013).

93 **2 Materials and methods**

94 *2.1 Materials*

95 The ILs used (99.5% purity), 1-butyl-3-methylimidazolium tetrafluoroborate ([Bmim][BF₄]), 1-butyl-
96 3-methylimidazolium trifluoromethanesulfonate ([Bmim][TFO]) and 1-butyl-1-methylpyrrolidinium
97 trifluoromethanesulfonate ([Bmpyr][TFO]), were purchased from Solvionic (Toulouse, France). D-
98 Mannose (> 99%), tetrahydrofuran (THF, ≥ 99.9%), formic acid (> 98%) and *tert*-butanol (*tert*-BuOH,
99 ≥ 99%) were purchased from Sigma-Aldrich NV/SA (Bornem, Belgium). Vinyl myristate (> 99%,
100 stabilized with MEHQ) was purchased from TCI Europe NV (Zwijndrecht, Belgium). Lipase B from
101 *Candida antarctica*, immobilized on acrylic resin (Novozym[®] 435), was a gift from Novozymes A/S

102 (Bagsvaerd, Denmark). The HPLC grade acetonitrile (ACN) and methanol (MeOH) were purchased
103 from Scharlab SL (Spain).

104 2.2 *General procedure for enzymatic acylation and recovery of the enzyme*

105 0.05 mmoles of mannose and 0.30 mmoles of vinyl myristate were mixed in 0.5 ml of IL in a screw-
106 cap tube, vigorously stirred at 600 rpm and thermostated at 60 °C. The reaction was started by adding
107 5% (w/v) of Novozym[®] 435. After 24 h, four volumes of THF were added to the reaction medium. The
108 resulting solution was filtered under vacuum. The recovered enzyme was rinsed with 5 ml of THF, dried
109 in an oven at 40 °C overnight and stored in a desiccator until the next run. An aliquot of the reaction
110 medium was filtered on a 0.22 µm pore size nylon membrane, before quantification of the ester by RP-
111 HPLC.

112 In order to evaluate the impact of the recovery method on the enzyme's activity, 25 mg of Novozym[®]
113 435 (5% (w/v) of the reaction medium) was washed, recovered and dried between one and four times
114 before use. After 24 h of reaction (triplicate) and quantitative analysis of the ester by RP-HPLC, the
115 relative 24 h-yield (24 h-η) was determined using the following equation:

$$116 \quad \eta_{\text{relative}} = \frac{\eta_{\text{run}(1+n)}}{\eta_{\text{run}(n)}} \times 100 \quad (\text{Eq. 1})$$

117 2.3 *HPLC analysis method for determination of the product yield*

118 The quantitative analyses were performed on an Agilent Technologies 1200 series HPLC equipped with
119 an evaporative light scattering detector (ELSD). A Halo[®] fused-core RP-C₁₈ column (75 x 4.6 mm, 2.6
120 µm) from Advanced Materials Technology was thermostated at 30 °C. The elution was carried out from
121 a linear gradient of ACN/water (both containing 0.1% of formic acid): the ACN % increased from 60%
122 to 100% in 2 min at a flow rate of 1.5 ml/min. The ester was quantified by external calibration. The
123 calibration curve was obtained with a series of mannosyl myristate solutions in a concentration range
124 from 0.1 g/l to 10 g/l. The pure standards were obtained after enzymatic esterification of mannose by
125 myristic acid in *tert*-BuOH, and purification by flash chromatography on a silica gel (60 Å = 40-63 mm)

126 column chromatography as previously described (Nott *et al.*, 2012). The 24 h- η (expressed as a
127 percentage) with mannose as default substrate was determined as follows:

$$128 \quad \eta = \frac{n_{\text{sugar ester}}}{n_{\text{default substrate}}} \times 100 \quad (\text{Eq.2})$$

129 3 Results and Discussion

130 The influence of the impact of the recovery process on the enzyme's performance has been tested. The
131 results show that the residual 24 h- η was no lower than 95% after each cycle, no matter what reaction
132 medium was used (data not shown). The recovery process has thus an insignificant influence on the
133 lipase performance.

134 Figures 2A and 2B show the 24 h- η and the residual 24 h- η obtained in the three ILs ([Bmim][BF₄],
135 [Bmim][TFO] and [Bmpyrr][TFO]), and in *tert*-BuOH after each step of the five cycles of
136 transesterification. The 24 h- η follows the subsequent decreasing order after the first cycle:
137 [Bmpyrr][TFO] > [Bmim][TFO] \approx *tert*-BuOH > [Bmim][BF₄]. These results are consistent with those
138 obtained in our previous study (Galonde *et al.*, 2013). As discussed in that article, the use of reasonably
139 hydrophilic ILs (property mainly governed by the anion choice) leads to good reaction yields as the
140 sugar is sufficiently dissolved. The choice of cations with medium chain length (four carbon atoms) is
141 a good compromise since suitable lipase activity is maintained (Mutschler *et al.*, 2009) and the viscosity
142 of the medium is at a reasonable level (van Rantwijk *et al.*, 2003; Zhao *et al.*, 2008).

143 Figure 2A also shows that for all the solvents tested, the 24 h- η diminishes with the number of reactions.
144 However, as seen on Figure 2B the decrease of the 24 h- η is more or less notable depending on the
145 solvent considered. The decrease of 24 h- η observed after five cycles is 42%, 55%, 77% and 89%, for
146 [Bmpyrr][TFO], *tert*-BuOH, [Bmim][TFO] and [Bmim][BF₄] respectively. This result emphasizes the
147 fact that [Bmpyrr][TFO] is the best of the three selected ILs for the mannosyl myristate synthesis.

148 Another study into the reusability of CAL-B in *tert*-BuOH demonstrated a decrease in conversion of
149 25% after six cycles of reaction, in the case of the synthesis of 6-*O*-glucose palmitate by esterification.
150 This lower decrease of product yield compared to our study can be explained by the immobilization of

151 CAL-B on polypropylene, which increases the stability of the enzyme in the chosen solvent media (Cao
152 *et al.*, 1999).

153 In order to explain the catalytic behavior of enzymes in organic solvents, the log P parameter is utilized.
154 It has been reported that lipases are more stable in hydrophobic solvents (Laane *et al.*, 1987). The log P
155 parameter is an indicator of the hydrophilicity or hydrophobicity of a chemical; it is obtained by
156 measuring the partition coefficient of the given compound between *n*-octanol and water (Laane *et al.*,
157 1987; Kaar *et al.*, 2003). It has been stated that solvents with a log P value less than 2 are considered as
158 hydrophilic, and may be less favorable for enzymatic stability than solvents considered as hydrophobic
159 with a log P above 4. The influence of solvents with a log P value between 2 and 4 on the enzymatic
160 activity is unpredictable (Laane *et al.*, 1987). For example, for the synthesis of 6-*O*-glucose octanoate
161 catalyzed by CAL-B immobilized on polypropylene (Cao *et al.*, 1999), dioxane (log P = -1.1) showed a
162 slightly lower relative activity compared to the less hydrophilic *tert*-BuOH (log P = 0.37) (He *et al.*,
163 2012). Conversely, the residual activity is lower in acetone than in dioxane even if acetone is more
164 hydrophobic (log P = 0.23) than dioxane. This confirms the difficulty of predicting the enzyme activity
165 in a solvent solely based on its log P value.

166 The same contradictions were observed when the enzyme reusability is compared between ILs and
167 between ILs and VOSs. ILs are in general more hydrophilic than an organic solvent according to their
168 log P value. For the ILs used in this study, the log P value of [Bmpyrr][TFO], [Bmim][TFO] and
169 [Bmim][BF₄] are -1.91 (calculated from Molinspiration), -1.61 ± 0.05 (Cho *et al.*, 2011) and $-2.51 \pm$
170 0.04 respectively (Zhao *et al.*, 2009). According to the log P values, *tert*-BuOH is more hydrophobic
171 than the ILs tested. However, *tert*-BuOH does not lead to the best 24 h- η in comparison with
172 [Bmpyrr][TFO]. In addition, this last IL also shows the lowest 24 h- η loss after five cycles (42% vs.
173 57% for *tert*-BuOH). This result is in correlation with the study of *Candida rugosa* lipase re-use in *n*-
174 hexane and [Bmim][PF₆] for the enantioselective esterification of (*R,S*)-2-chloropropanoic acid with
175 butan-1-ol (Gubicza *et al.*, 2003). According to its log P value of 3.5 (Laane *et al.*, 1987), *n*-hexane is
176 significantly more hydrophobic than [Bmim][PF₆] (-2.06) (Ha *et al.*, 2008). However, the loss of activity
177 after five runs in *n*-hexane is greater (40%) than in [Bmim][PF₆] (7%) (Gubicza *et al.*, 2003). The

178 operational stability of Novozym[®] 435 has been compared in organic solvents and ILs for the kinetic
179 resolution of (*R,S*)-1-phenylethanol with vinyl acetate, and this comparison has illustrated that the
180 residual activity after six cycles is consistently higher in ILs such as [Emim][TF₂N], [Bmim][PF₆] (log
181 P value of -1.18 and -2.06 respectively) than in the less hydrophilic toluene and acetone (log P values
182 of 2.5 and -0.23 respectively) (Ha *et al.*, 2008). Some ILs are consequently more efficient as solvents
183 than VOSs despite a lower log P value (correlated to a less hydrophobic aspect).

184 The comparison between the three ILs selected in this study shows that the 24 h- η obtained in the
185 reaction catalyzed by Novozym[®] 435 is least affected in [Bmpyrr][TFO], with a 24 h- η loss after five
186 cycles of 42%. Therefore, this IL is more advantageous than [Bmim][TFO] and [Bmim][BF₄] in terms
187 of 24 h- η and the functional stability of Novozym[®] 435. The most dramatic 24 h- η loss after five cycles
188 is observed in [Bmim][TFO] (89%), and [Bmim][BF₄] showed the lowest 24 h- η during five cycles of
189 reaction (from 24.5% to 5.6%) as well as a very low enzymatic functional stability (77% of 24 h- η loss
190 after five cycles).

191 The imidazolium-based ILs, [Bmim][BF₄] and [Bmim][TFO] give very different performances in this
192 study. [Bmim][BF₄] is the most hydrophilic IL and [Bmim][TFO] the least hydrophilic ILs according to
193 their log P values (-2.51 and -1.61 respectively). Therefore, Novozym[®] 435 should be less stable in
194 [Bmim][BF₄] but, according to Figure 2B, the residual 24 h- η (loss of 77%) is much lower than in
195 [Bmim][TFO] (loss of 89%), after five cycles of reaction. Therefore, the log P value is not the best
196 parameter for predicting the enzymatic activity in ILs and VOSs. Other factors may explain the distinct
197 behavior of the tested ILs: (i) protein-IL interactions leading to a change in the enzyme's conformation
198 and denaturation; (ii) ILs' viscosity, which increases the mass transfer limitation; (iii) substrate's
199 polarity; and (iiii) degradation of ILs such as [Bmim][BF₄], which may release fluorhydric acid during
200 the enzymatic reaction, acidifying the reaction medium (Galonde *et al.*, 2012; Galonde *et al.*, 2013).
201 [Bmim][BF₄] and [Bmim][TFO] are different due to the nature of their anion, revealing the importance
202 of the IL's anion on the enzymatic catalysis and stability. Indeed, anions can, depending on their nature,
203 form more or less abundant H-bonds which are favorable for sugar dissolution but may disturb the
204 intramolecular H-bonds of proteins, modifying their conformation which is unfavorable for the enzyme

205 (Forsyth *et al.*, 2001; Klahn *et al.*, 2011). Therefore, the TFO⁻ anion seems to be more favorable for the
206 enzymatic stability than BF₄⁻. However, the contradictory results given by the studied TFO⁻-based ILs,
207 [Bmpyrr][TFO] and [Bmim][TFO], show that the influence of ILs not only depends on the anions but
208 also on the cations. Despite the high 24 h-η reached in [Bmim][TFO] after the first cycle (60%), the
209 most drastic decrease of enzymatic activity after five cycles is observed in this IL (89%). Therefore,
210 TFO⁻ based ILs display very different behavior, since the relative 24 h-η after five cycles is much higher
211 in [Bmpyrr][TFO] than in [Bmim][TFO], with a 24 h-η of 39.9% and 5.6% respectively. Previous
212 simulation studies have attempted to explain the behavior of the cation in lipase surroundings (Gorke *et al.*
213 *et al.*, 2010). Cation components of ILs indirectly influence the interaction strength between the enzymes
214 and the anion parts. The smallest cations lead to stronger Novozym[®] 435-anion interactions due to the
215 increased number of anions in the enzyme neighborhood (Gorke *et al.*, 2010). Furthermore, the lipase-
216 cation Van der Waals interactions result in the diffusion of cations into the lipase's active site, while the
217 diffusion of anions is not observed (Klahn *et al.*, 2011). Therefore, the interactions between lipase and
218 the pyrrolidinium cation seem to be more suitable than imidazolium cation for lipase activity (Galonde
219 *et al.*, 2013), as well as for its long-term re-use.

220 **4 Conclusion**

221 Since the enzyme technology can be an attractive industrial alternative to catalysis, the reusability of the
222 biocatalyst may represent a significant reduction of costs. The reusability study of Novozym[®] 435 for
223 the synthesis of mannosyl myristate by transesterification in ILs illustrated that this enzyme gave the
224 best 24 h-η in [Bmpyrr][TFO] and was relatively stable in this IL, with a loss of activity of 42% after
225 five utilizations which was better than the loss of 57% found in *tert*-BuOH. The most significant loss of
226 24 h-η after five cycles was observed in [Bmim][TFO] (90%) despite the high 24 h-η after the first cycle
227 (60%). Additionally, in [Bmim][BF₄] the 24 h-η after one cycle (24.5%) is very low and the decrease
228 percentage of the 24h-η (77%) very high. Therefore, [Bmpyrr][TFO] appears to be the best compromise
229 between lipase activity and stability compared to the other ILs tested. This observation gives interesting
230 perspectives for pyrrolidinium-based ILs for biocatalysis of SFAEs.

231 **Abbreviations**

232 [Bmpyrr][TFO]: 1-butyl-1-methylpyrrolidinium trifluoromethanesulfonate

233 [Bmim][TFO]: 1-butyl-3-methylimidazolium trifluoromethanesulfonate

234 [Bmim][BF₄]: 1-butyl-3-methylimidazolium tetrafluoroborate

235 [Bmim][TF₂N]: 1-butyl-3-methylimidazolium bis(trifluoromethanesulfonyl)imide

236 [Bmim][PF₆]: 1-butyl-3-methylimidazolium hexafluorophosphate

237 [Emim][TF₂N]: 1-ethyl-3-methylimidazolium bis(trifluoromethanesulfonyl)imide

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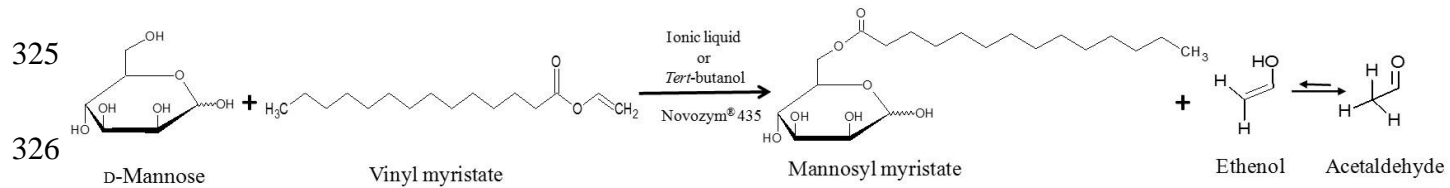
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324 **Figures:**



327 **Figure 1:** Lipase-catalyzed synthesis of mannosyl myristate from mannose and vinyl myristate

328 (transesterification).

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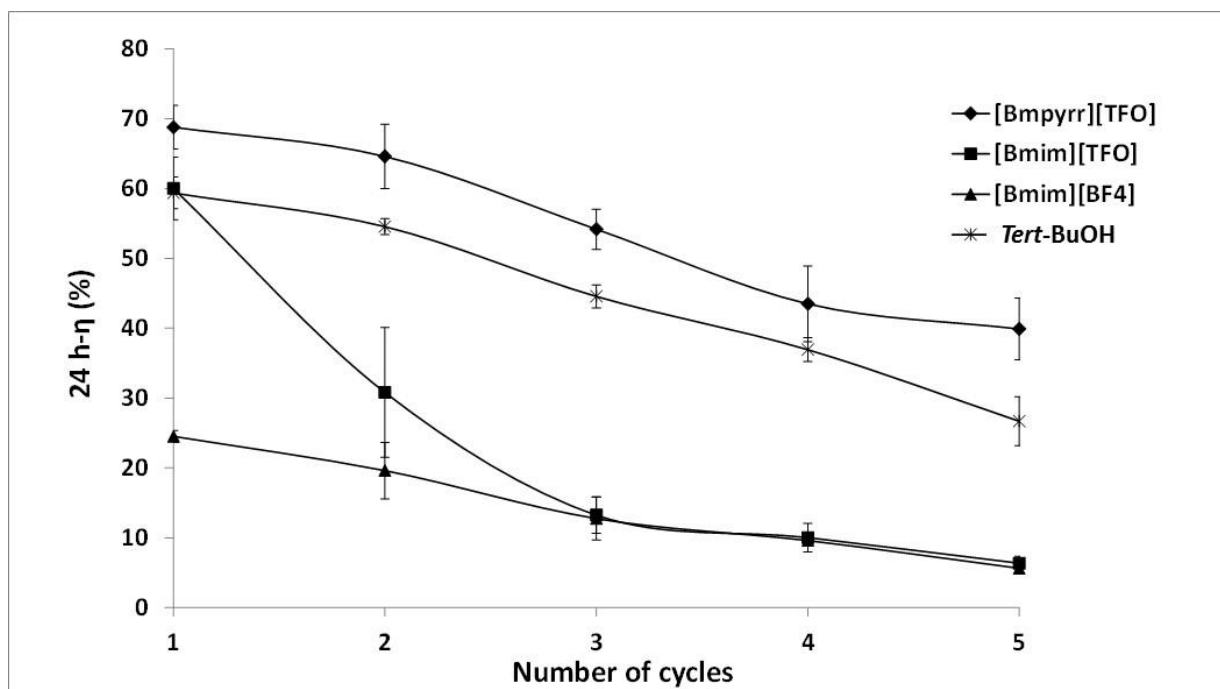
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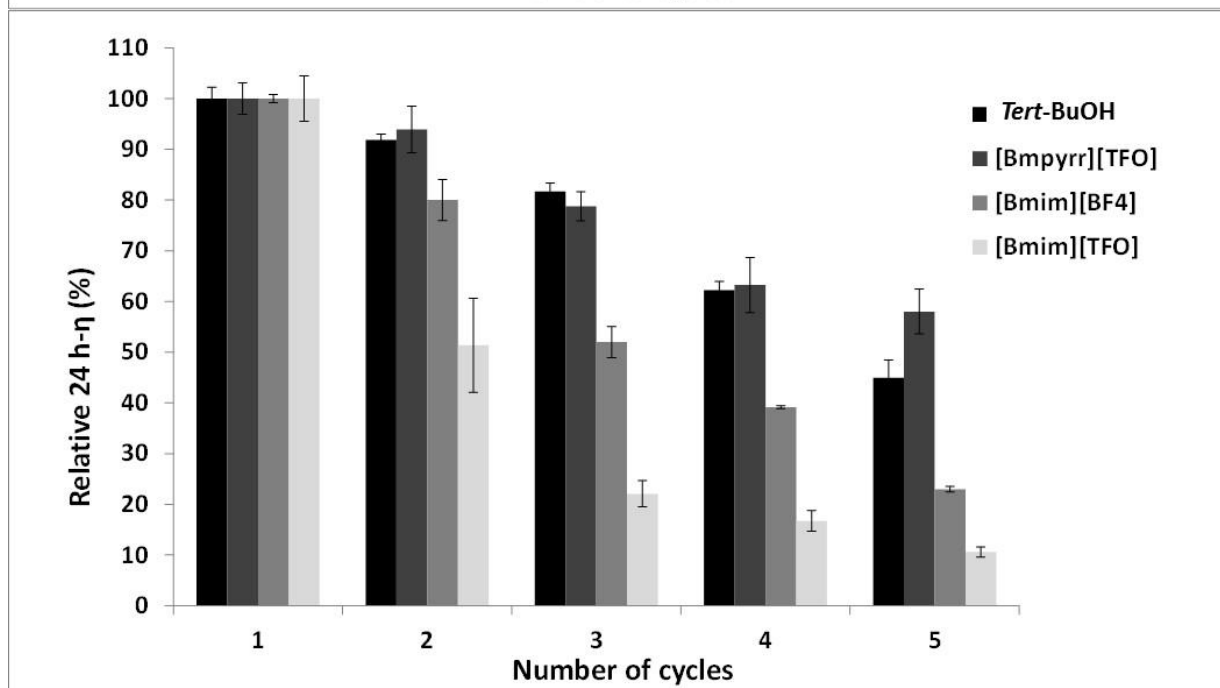
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Figure 2: functional stability of Novozym[®] 435 in the synthesis of mannosyl myristate by transesterification. A: 24 h-η during five cycles. B: Relative 24 h-η during five cycles. One cycle (triplicate) corresponds to 24 h of reaction time.