# **DECISION MAKING AND PROBLEM SOLVING**

# Family-directed umbilical cord blood banking

Eliane Gluckman,<sup>1</sup> Annalisa Ruggeri,<sup>1</sup> Vanderson Rocha,<sup>1,2</sup> Etienne Baudoux,<sup>3</sup> Michael Boo,<sup>4</sup> Joanne Kurtzberg,<sup>5</sup> Kathy Welte,<sup>4</sup> Cristina Navarrete,<sup>6</sup> and Suzanna M. van Walraven<sup>7</sup> for Eurocord, Netcord, World Marrow Donor Association and National Marrow Donor Program

<sup>1</sup>Eurocord, Hospital Saint Louis, University Paris VII, Paris, France; <sup>2</sup>Agence de la Biomédecine, Saint Denis, Paris, France; <sup>3</sup>Liège Cord Blood Bank, University Hospital-Liège-Belgium and The Netcord Foundation; <sup>4</sup>National Marrow Donor Program, Minneapolis, Minnesota, USA; <sup>5</sup>Pediatric Blood and Marrow Transplant Program, Carolinas Cord Blood Bank, Duke University Medical Center, Durham, NC, USA; <sup>6</sup>NHS Cord Blood Bank, British Bone Marrow Registry, NHSBT, England; <sup>7</sup>Europdonor, Leiden, The Netherlands

#### **ABSTRACT**

Umbilical cord blood transplantation from HLA-identical siblings provides good results in children. These results support targeted efforts to bank family cord blood units that can be used for a sibling diagnosed with a disease which can be cured by allogeneic hematopoietic stem cell transplantation or for research that investigates the use of allogeneic or autologous cord blood cells. Over 500 patients transplanted with related cord blood units have been reported to the Eurocord registry with a 4-year overall survival of 91% for patients with nonmalignant diseases and 56% for patients with malignant diseases. Main hematologic indications in children are leukemia, hemoglobinopathies or inherited hematologic, immunological or metabolic disorders. However, family-directed cord blood banking is not widely promoted; many cord blood units used in sibling transplantation have been obtained from private banks that do not meet the necessary criteria required to store these units. Marketing by private banks who predominantly store autologous cord blood units has created public confusion. There are very few current validated indications for autologous storage but some new indications might appear in

the future. Little effort is devoted to provide unbiased information and to educate the public as to the distinction between the different types of banking, economic models and standards involved in such programs. In order to provide a better service for families in need, directed-family cord blood banking activities should be encouraged and closely monitored with common standards, and better information on current and future indications should be made available.

Key words: cord blood banking, family, cell source, allogeneic HSCT.

Citation: Gluckman E, Ruggeri A, Rocha V, Baudoux E, Boo M, Kurtzberg J, Welte K, Navarrete C, and van Walraven SM for Eurocord, Netcord, World Marrow Donor Association (WMDA) and National Marrow Donor Program (NMDP). Family-directed umbilical cord blood banking. Haematologica 2011;96(11):1700-1707. doi:10.3324/haematol.2011.047050

©2011 Ferrata Storti Foundation. This is an open-access paper.

## Introduction

Since 1988, umbilical cord blood (CB) has been successfully used as a source of stem cells for hematopoietic reconstitution in allogeneic hematopoietic stem cell transplantation (HSCT). The first transplant using CB was performed in a 5-year old boy with Fanconi anemia, an inherited bone marrow failure syndrome which can only be cured by allogeneic HSCT. The mother was pregnant with a girl who was known, before birth, to be HLA-identical to her brother and not a carrier of the same genetic defect. The sister's CB was collected and cryopreserved at birth and transplanted to the patient. More than 22 years later, the patient is alive and well with a normal hematologic and immunological reconstitution provided by his donor cells.

In 1991, the first public CB bank (CBB) was established at the New York Blood Center<sup>2</sup> and, in 1993, the first unrelated CB transplant (CBT) was performed in a 4-year old child with leukemia.<sup>3</sup> Since then, more than 100 public CBBs have collected over 500,000 CB units (CBUs) from altruistic, free and anonymous donations that resulted in over 25,000 unrelated CBT worldwide. Most banks cooperate through international

registries that list publicly banked CBUs in searchable databases, such as Bone Marrow Donors Worldwide (BMDW), the Netcord Foundation, the National Marrow Donor Program (NMDP) and other national registries, in order to provide access to all patients in need. International accrediting bodies and governmental regulatory requirements are in place to assure that publicly available CBs meet strict rules for quality standards. <sup>4,5</sup> Outcome data are routinely collected and are available for analysis through international data registries that allow good monitoring and analysis of CBT activity and outcomes. The activity of these international registries, such as Eurocord (Figure 1) and the Center for International Blood and Marrow Transplant Research (CIBMTR) widens our knowledge of the use of unrelated CBT.

In contrast with the well developed public banking systems, few countries have a centralized program for family-directed CB collection and storage which requires different procedures in order to obtain high-quality products. This approach is clinically indicated and validated in families where the mother is pregnant and has an existing child or has a known risk of having a child affected by a disease which can be cured by allo-

Funding: this work was supported by INSERM grant TGIR 0805.

Manuscript received on May 5, 2011. Revised version arrived on June 16, 2011. Manuscript accepted on June 30, 2011.

Correspondence: Pr Eliane Gluckman, MD, FRCP, Eurocord, Hôpital Saint Louis, 1 Avenue Claude Vellefaux, 75010 Paris, France. Phone: international +33142499644. E-mail: eliane.gluckman@sls.aphp.fr

geneic HSCT.<sup>1,6-9</sup> While in public banking most pregnant woman would be qualified to donate, family-directed banking requires a more focused approach to identify the few candidates that would benefit from collection. As a result, the practice of collecting CBUs from family members specifically stored for the potential benefit of another family member with a disease which can be cured by HSCT is not widespread. This is in spite of the fact that family-directed CBT has several advantages over unrelated CBT, including greater likelihood of survival, decreased graft-versus-host disease (GVHD) and the opportunity to re-collect bone marrow cells from the same donor in case of relapse or rejection.<sup>8,9</sup> Today, CB banking for family use is mostly an indirect result of autologous banking, marketed by the private sector, to families for private CB collection and storage without any current therapeutic purpose and for potential use by the donor later in life. A CB banked in this manner may occasionally benefit a sibling. Whereas family-directed banking for allogeneic purposes does not raise ethical concerns, autologous CB banking in an a priori healthy family is controversial because its value is not entirely supported by clinical evidence. Despite this, autologous CB banking has developed into a private industry predominantly used by economically advantaged families. 10,111 Discussion about the development of hybrid CBB models that could combine efforts to support all banking activities is the subject of intense controversy because of ethical, scientific, regulatory, economic and social concerns. 12,13

# The current situation of family-directed CB transplantation and banking

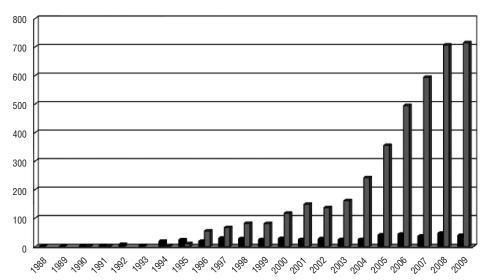
The Eurocord registry has identified 596 patients transplanted with related CB from 1988 to 2010 but, in contrast to unrelated CBT, the number of related CBTs has not increased year after year. Most recipients were children, and all but 29 were HLA-matched. The major characteristics of the patients and transplants are listed in Tables 1 and 2. Four year overall survival was 91% for patients with nonmalignant diseases and 56% for patients with malignant diseases (Table 3 and Figure 2). The cumulative incidence (CI) of neutrophil engraftment was 91±3% in a median of 22 days (range 12-80 days). The incidence of acute and chronic GVHD at day 100 and at four years was 12±3% and 13±2%, respectively (E Gluckman for Eurocord, unpub-

lished data, 2010). In another Eurocord study, on long-term outcomes of 147 HLA-identical sibling CBT recipients with hematologic malignancies, the 5-year disease-free survival was 44%. Compared with HLA-identical BMT, HLA-

Table 1. Disease and transplant characteristics of patients treated with family CB transplants (n=596) (E. Gluckman for Eurocord, unpublished data, 2010).

Characteristics	Median or N	Min - Max or %
Male gender	331	56%
Age, in years	6	1-50
Weight, in kg	19	3-86
Previous transplant	34	6%
Malignant diseases	255	
Acute leukemia ALL AML	187 140 47	31.5%
Myelodysplastic syndrome	26	4.5%
Chronic myeloid leukemia	21	3.5%
Lymphoproliferative disorders Non-Hodgkin's lymphoma Hodgkin's lymphoma	11 9 2	2%
Solid tumor	10	2%
Non-malignant diseases	341	
Aplastic anemia Fanconi anemia	92 36	15.5%
Hemoglobinopathies Thalassemia Sickle cell disease	193 145 48	32.5%
SCID	36	6%
Metabolic diseases	15	2.5%
Other	5	1.5%
Type of transplant		
Single CB unit	474	79.5%
Single CB unit + bone marrow (same donor)	110	18.5%
Single CB unit+ other SC source	12	2%

ALL: acute lymphoblastic leukemia; AML: acute myeloid leukemia; SCID: severe combined immunodeficiency; SC: stem cells.



RelatedUnrelated

Figure 1. Number of related and unrelated cord blood units provided per year, n= 6805 (E. Gluckman for Eurocord, unpublished data, 2010).

identical sibling CBTs displayed delayed granulocyte and platelet engraftment, and reduced incidence of acute and chronic GVHD, but survival was similar.<sup>15</sup> Although the mechanism of such GVHD reduction is not fully understood, the immunological immaturity of the newborn and the enrichment of CB in T-reg cells may account for at least part of it. Though generated from family-related CBTs, such clinical data, which indicated that GVHD was reduced when CB was used instead of bone marrow, were the basis for advocating HLA-mismatched CBTs, and for developing unrelated CBBs and programs for HLA-mismatched transplants.<sup>16-19</sup>

A number of studies have reported on efforts to systematically identify, collect and store CBs for family-directed use (Table 4). Reed et al.20 were the first to document the value of a focused program to collect and bank familydirected CBs from donors in families with children who have disorders which can be cured by HSCT. Cord blood was collected in remote sites with a kit provided by the bank. Participation was voluntary and unremunerated; 540 families from 42 different states were enrolled. Despite the lack of experience and the heterogeneity of collection centers, the median number of cells was satisfactory, with more than 93% of the banked CBs containing enough cells for transplanting the sibling. Seventeen units (3.4%) had been transplanted. The same group published data on sibling donor cord blood transplantation in patients with thalassemia major. Thirty-two of 96 donor-recipient pairs were HLA identical and 14 (44%) received a CBT. Eleven of the 14 survived free of thalassemia after transplantation.<sup>24</sup>

Smythe *et al.*<sup>21</sup> have reported the 10-year experience of family-directed CB banking in the National Blood Service in England. Informed consent, CB testing, processing and storage followed the same procedures as for unrelated public CB banking. A total of 268 CBs were collected from 244 mothers. Diagnoses were hematologic malignancies in 114 cases, non-malignant hematologic disorders in 68 cases, immunodeficiency in 44 cases, and enzyme deficiency in 9 cases. Of the matched units, 13 were transplanted. In a study limited to children with malignant diseases, in

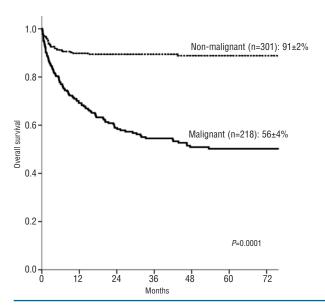


Figure 2. Overall survival at 4-years after related CB transplants (n=519) according to disease category (E. Gluckman for Eurocord, unpublished data, 2010).

Greece, Goussetis *et al.* have reported a low usage rate of banked sibling CB (n=48).<sup>22</sup> CBs were successfully collected, but only one out of 4 children who needed HSCT was successfully transplanted. The same authors reported their experience in 50 families with beta-thalassemia major.<sup>26</sup> Eight out of 12 HLA matched collections were released for CBT. All patients survived, 7 out of 8 thalassemia free. The authors conclude that the development of directed CBB programs requires a policy to limit long-term storage for

Table 2. Donor and transplant characteristics of patients treated with family CB transplants (E. Gluckman for Eurocord, unpublished data, 2010).

Characteristics	Median or N	Min-Max or %
Storage time of the CB unit, in months	7	0-162
Total nucleated cells at infusion (107/kg)	3.9	1-20
Total CD34 <sup>+</sup> at infusion (10 <sup>5</sup> /kg)	1.5	1-30
ABO compatibility		
compatible	262	66%
minor incompatibility	50	13%
major incompatibility	83	21%
Sex-matched (CB/patient)	292	51%
Conditioning regimen		
reduced intensity	59	12%
myeloablative	422	88%
with TBI	132	
with busulfan (or treosulfan)	290	
Use of anti-thymocyte globulin	175	38%
GVHD prophylaxis		
none	8	2%
CsA alone	275	55%
CsA + steroids	62	12%
$CsA + MTX \pm steroids$	111	22%
Other	45	9%

TBI: total body irradiation, CsA: cyclosporine A, MTX: methotrexate.

Table 3. Outcomes after family CB transplant (n=519) (E. Gluckman for Eurocord, unpublished data, 2010).

Outcomes	N or %
Follow up in months, median (range) Neutrophil recovery Time in days, median (range) Cumulative Incidence at day 60 (%)	48 (3-248) 477/519 22 (12-80) 91±2%
Acute GvHD Grade 0-1 Grade II Grade III Grade IV Cumulative incidence at day 100 (%)	71/519 448 (86%) 52 (10%) 15 (3%) 4 (1%) 12±2%
Chronic GVHD Limited Extensive Cumulative incidence at 4 years (%)	46/451 38 8 13±2%
Overall survival at 4 years (%) Malignant diseases Non-malignant diseases	75±2% 56±4%, n=188/218 91±2%, n=259/301
Non-relapse mortality at 4 years (%) Cause of death Relapse Transplant-related cause Unknown	8±2% 111 62 44 5

banked CBs with a low probability of usage. For example, CBs that are not HLA-matched to the patient or that are HLA-matched to a patient who has achieved long-term remission are unlikely to be used, while banking for hemoglobinopathies increases the probability of usage to 16%. In Italy, the family-directed cord blood banking is supported by a national program (Ministerial Decree of 18 November 2009). The Centro Nazionale Sangue (CNS) reported 2,176 CB units banked (242 were banked in the year 2010) by the Italian public bank network up to 31 December 2010. Of these, 129 were used for transplantation. In France, two CBBs (Hospitals Saint-Louis in Paris and Henri Mondor in Creteil) have collected 548 family-directed CBUs (E. Gluckman for Eurocord, unpublished data, 2010). The first banked all CBUs as requested by the patients' physicians (number collected for 437 patients: 216 leukemia, 118 hemoglobinopathies, 23 aplastic anemia, 17 immune deficiencies, 10 Fanconi anemia, 6 metabolic diseases, 5 solid tumors and 42 with unreported diagnoses), the second banked 111 CBUs mostly from siblings of patients with sickle cell disease (89 collections, i.e. 80%). Twenty-four CBTs were performed from HLA-identical sibling donors, 13 from the first bank and 11 from the second bank. Outcome was excellent: the 5-year overall survival was 83%, and 100% when considering hemoglobinopathies only. These data indicate that hemoglobinopathies are extremely important indications of cases in which familydirected disease-oriented CB banking is worthwhile.

These studies should be contrasted with the as yet limited reports of the experience of using private banking as the source of CB for either autologous or sibling use. Thornley et al. have presented data from a 2004 cross-sectional survey of 152 pediatric HSCT physicians from 57 centers in the United States and Canada.25 The respondents reported 9 autologous and 41 allogeneic transplants using privately banked CB. In 36 of 40 allogeneic cases, the CB had been collected because of a known indication in the recipient. The indications for allogeneic CBTs were acute leukemia (20 cases), hemoglobinopathies (7 cases), Fanconi anemia (7 cases) and others (7 cases). The 9 autologous CBTs were performed in severe aplastic anemia (4 cases), neuroblastoma (one case), retinoblastoma (one case), Schwachman-Diamond syndrome (one case), brain tumor (one case) and one with unreported diagnosis. Few respondents stated they would choose autologous CB over alternative stem cell sources for treating acute leukemia in second remission, whereas 55% said they would choose autologous CB to treat high-risk neuroblastoma or aplastic anemia in the absence of an available donor. No respondent would recommend banking autologous CB for a newborn when both parents are of northern European descent; 11% would recommend such banking when parents are of different minority ethnicities. Indeed, the probability of using familydirected CB for allogeneic HSCT is very low when patients have frequent, highly represented haplotypes in the large unrelated bone marrow or CB inventories, whereas the situation is quite different in families with parents of different ethnic minorities and for whom the chance of finding a suitable unrelated donor is limited. However, current results of HLA matched sibling CBT are better than when an unrelated bone marrow or CB donor is used. More recently, Rosenthal et al. have reported the successful use of banked autologous CB to treat severe aplastic anemia in 3 patients.<sup>26</sup> However, they note that the probability of being able to use an autologous CB is very low (4 in a million).

Table 4. Number of family directed cord blood units stored and transplanted.

			biood ainto otoroa ana tranopiantoar
Ref	Units banked		Diagnosis
Reed <sup>20</sup>	540	17	Data not reported
Smythe <sup>21</sup>	268	13	Thalassemia, n=7 Acute lymphoblastic leukemia, n=3
			Bone marrow failure syndromes, n=3
Goussetis <sup>22</sup>	48	1	Acute leukemia, n=1
Goussetis <sup>23</sup>	50	8	Thalassemia, n=8
Italy (CNS data) (E. Gluckman for Eurocore unpublished data, 2010).	2176 d,	129	Data not reported
France (E. Gluckman	437	13	Acute leukemia, n=4
for Eurocord,			Immune deficiency, n=3
unpublished data, 2010)			Thalassemia, n=2
Hospital Saint Louis			Chronic leukemia, n=1
			Fanconi anemia, n=1
			Metabolic disease, n=1
			Unknown, n=1
France (E. Gluckman for Eurocord, unpublished data, 2010) Hospital Henri Mondor	111	11	Sickle cell disease, n=9 Thalassemia, n=2

## **Cost-benefit analysis**

The potential cost of family-directed banking can be estimated by looking at matched rates found in the published studies and estimating the average cost of CBUs banked using the data published by the Institute of Medicine (IOM) in 2004, where the costs of collecting, processing and banking for public banking are estimated. There, these costs were estimated to be approximately \$1,500 per unit plus \$50 annually for storage. The IOM estimates reflect costs of collecting but not of processing units determined to be unsuitable for collection prior to any processing or the costs of HLA typing for all banked units. Family-directed banking would likely incur lower per-unit banking costs as units of smaller size would be acceptable for banking and HLA testing would only be carried out at the time of potential need. The estimated costs per unit used are consistent with graft acquisition costs for unrelated donors when costs of donor screening, testing and selection are taken into account.

According to the 2011 study by Rosenthal *et al.*, <sup>26</sup> the cost for the first year of private storage, including collection, shipping and storage fees, varies in the United States from \$1,993 to \$2,195 plus an annual storage fee of \$125. Of more than 355,000 CBUs stored in two large private banks, 77 were used for allogeneic HSCT and 32 for autologous HSCT. The average cost of the CBU used would then be over 100 times that of an unrelated bone marrow or CB product.

Kaimal *et al.*<sup>27</sup> have investigated the cost-effectiveness of private CB banking relative to no banking. They conclude that private banking is not cost-effective because it costs an additional \$1,374,246 per life-year gained. In sensitivity analysis, if the cost of CB banking is less than \$262 or the likelihood of a child needing a HSCT is greater than 1 in 110, private banking becomes cost-effective. They conclude, therefore, that private CB banking is cost-effective only in families with children with a very high likelihood of needing a HSCT.

Indications of family-directed CB banking is now limited

to children with hematologic malignancies, genetic disorders or acquired aplastic anemia. If a genetic disease is the indication for HSCT, the chances of a sibling being a noncarrier and HLA match range from 1:8 to 1:16, depending on the inheritance of the genetic condition. In some diseases (e.g. hemoglobinopathies) matched carrier siblings are appropriate donors, but in others (e.g. inherited metabolic disorders) they cannot be utilized. In the United States, the annual incidence of ALL in children aged 0-19 years is estimated at 30.6 in a million for Caucasians and 15.9 in a million for Afro-Americans. The annual incidence of severe aplastic anemia is estimated at 3 in a million and that of high-risk neuroblastoma which can be treated by autologous transplant at 3-5 in a million.<sup>25</sup> Moreover, parents considering family banking in the absence of a patent indication should be informed of the remote likelihood that a CB will be used for the donor child or another family member. It should, however, be noted that these considerations are based on current hematologic indications without taking into account the potential use of CB in non-hematologic diseases. Indeed, several studies are exploring the possibility of treating infants with cerebral palsy or type 1 diabetes using autologous CBTs<sup>28</sup> (www.clinicaltrials.gov). If current clinical trials are successful, directed CB banking may become more and more cost efficient as new indications appear.

#### **Discussion**

Efforts to expand family-directed banking also have to take into account alternatives to sibling CBT, especially in non-malignant settings when HSCT could be delayed, such as the use of a sibling bone marrow. This approach is less expensive than a CBT and has shown good clinical results; however, the process of graft acquisition, although safe, is not harmless in a very young donor. Moreover, the delay of the transplant and the potential for other complications, or the possibility that the sibling donor may not otherwise be fit to donate when needed, should also be considered. CB collection is harmless for the baby and the mother and does not raise any ethical concern, 11 while one should consider the possible side effects of bone marrow or peripheral blood collection for mobilized hematopoietic stem cells in young children, which to our knowledge have not yet been evaluated. Moreover, in some cases, the patient cannot wait long enough for the donor's bone marrow to be safely collected, e.g. when HSCT is indicated for leukemia, aplastic anemia or a hereditary disorder, such as severe combined immunodeficiency, osteopetrosis or mucopolysaccharidosis. In all these cases, the current alternative options, in the absence of a matched related or unrelated donor, are to propose a haploidentical family hematopoietic stem cell bone marrow or peripheral blood transplant or a mismatched unrelated cord blood transplant whose results are clearly less favorable than an HLA matched sibling cord blood transplant.

Family-directed CB banking may have other advantages. For instance, CB usage may be the only practical option available in developing countries where infant mortality is high and the risks associated with bone marrow collection and/or insertion of central venous access for obtaining mobilized hematopoietic stem cells in the peripheral blood are a concern. Where the risk of acquired infectious diseases such as hepatitis or HIV infection is present, collection of

the CB may also be the best way to limit or prevent the risk of transmission of infectious diseases, since testing of the CB units for these diseases can then be carried out to assure no transmission. However, it is important to be aware that in this context, a transplant program would only be feasible if the necessary basic healthcare infrastructure (e.g. vaccination, air, water quality, nutrition, etc.) and education policies are well established to ensure quality and safety. Hemoglobinopathies are, indeed, the main indications of CBT in many developing countries and, even though data on the cost of CB banking and transplantation in these countries is unknown and could vary from country to country, one can speculate that the cost of CB HSCT might be acceptable compared with life-long complications, iron chelation or the cost of obtaining bone marrow. If a costeffective way can be found to identify a matched CB, this could permit an extended use of this therapy in these countries. Costs might even be decreased by the development of dedicated centers for treatment of hemoglobinopathies in developing countries where the cost of labor is lower than in developed countries. The use of reduced intensity conditioning regimens and protocols of supportive care adapted to the local situation might decrease the cost even further.

Further efforts should be made to determine whether a national high-quality program of sibling CB banking can be maintained at relatively low cost despite the logistic difficulties of organizing collections from many hospitals and issuing CBs for transplantation to diversely located transplant centers. Growing recognition of the benefits of family-directed banking has resulted in programs to more systematically test the cost and benefit of this approach. These include a pilot program currently underway in the United States, sponsored by the Department of Health and Human Services and administered through NMDP, which involves the development of promotional materials and campaigns to create awareness of the value of directed donation banking. Five public banks and one family bank participate under agreements to collect, store and bank units that meet the program criteria. The processes applied to these CBs are almost identical to those applied to public units. Many private banks also offer this service free of charge for families in need. These should be encouraged but only if they are pursued along with the goal of banking high quality units.<sup>29</sup> Most private banking is not subject to the same regulatory review that public banks are required to satisfy. For instance, in the United States, autologous banks do not need to be licensed. Voluntary accreditation may address the lack of regulatory overview but many autologous banks do not seek accreditation through Fact-Netcord, the standard for public banks that has been adopted in many European countries, as well as in Australia and Canada. Specific criteria for family-directed banking have been established by Fact-Netcord but few existing private banks are Fact-accredited. Accreditation is also available through the American Association of Blood Banks (AABB) or other national accreditation bodies.

In order to find a compromise, public-private hybrid bank models are currently the subject of intense discussion. <sup>10-13</sup> One driver for these models is to improve the financial viability of the CBBs. A number of models for combined banking have been developed, including joint marketing of separate banking operations, opportunities to split units for private and public use, and the offer to initially bank units as either public or private, but with the potential of converting their status at a later date or event. To be useful to families,

Table 5. Indication of family-directed cord blood use in developed countries

Indication	Validated	Investigational
Diseases	Hematologic: - Acute leukemia - Lymphoma - MDS/MPD - Inherited and acquired bone marrow failure syndrome - Hemoglobinopathies - Immune deficiency - Metabolic diseases - Solid tumors	<ul> <li>Non-hematologic</li> <li>Cerebral palsy</li> <li>Diabetes</li> <li>Hearing loss</li> <li>Immunotherapy for infectious disease or against tumors</li> <li>Gene therapy</li> </ul>
Source	Cord blood	Cord blood Umbilical cord Placenta
Type of cells	HSC	HSC Lymphocytes MSC IPSc
Quality and safety	Supposed good quality if in experienced local hospitals	Unknown

MDS/MPD: myelodysplastic syndrome; myeloproliferative disorders; HSC: hematopoietic stem cells; MSC: mesenchymal stromal cells; IPS: induced pluripotent stem cell.

these new approaches will need to be more rigorously adopted, accreditation standards need to be applied, the accuracy of their informed consent improved, benefits to help research on CB cells need to be shared, and sibling banking when there is a clear indication for allogeneic HSCT need to be offered. In order to transfer some private units to the public inventory, a WMDA policy statement on the combined private and public banking of CB and other has established related products been (www.worldmarrow.org). Many families with children affected by hematologic malignant disease or a hereditary disorder later have other children. However, births are scattered among hospitals that may lack the infrastructure required to collect or bank locally and CB may often be collected in hospitals that are not accredited for collection, unlike CB collected by accredited maternity units.<sup>26</sup> Efforts to improve the quality of collections will, therefore, be an important part of any program to collect family-directed units. Optimal policies, procedures and indications have not been clearly established for use in these routine birthing centers. Information should state clearly that banking CB does not guarantee that the cells will provide a cure or be applicable in every situation.<sup>29</sup> Medical treatments using family banked cord tissue are still in an early phase of research and are not currently available, and there is no guarantee that therapies will be developed in the future. Gene and cellular therapies for various disorders, including thalassemia or severe combined immunodeficiency syndrome, show promising results. 30,31 In the future, prenatal diagnosis may identify patients who may benefit from gene therapy using their own CB. In this case, gene-modified CB cells could be infused early in life avoiding the treatments and complications related to these diseases. Pre-implantation genetic testing has been approved in many countries to ensure that a pregnancy will result in a child free from a serious inherited disorder and, HLA-identical to his sibling, a candidate for an allogeneic HSCT. This practice will increase the probability of finding an HLA-identical sibling donor and so the number of sibling CB collections is likely to increase. 32 The potential scope of medically indicated family-directed CB banking is considerable (Table 5). Another important factor

using family-directed CBU is that the unit could be combined with different sources of stem cell, such as BM from the same donor, in case it is needed. So far there have been over 100 transplants reported to Eurocord, using related CB in combination with BM for malignant and non-malignant diseases. In the future, some non-hematologic diseases might be treated with allogeneic or autologous cells. So Continuing academic research and help from the industry for the development of new products and for the implementation of worldwide regulation will control and guarantee the quality, safety and potency of the CB market on the basis of new scientific and clinical protocols and rigorous clinical trials.

#### **Conclusion**

The use of CB units for allogeneic HSCT has saved many lives all over the world and holds great promise to benefit many more. The current practice and approach to CBB, both public and private, are overlooking an important opportunity to provide an optimal cell source for families in whom there is the possibility of collecting CB for a sibling with a known condition that would benefit from a related CBT. For this purpose private banks should meet the same standards, quality control and accreditation requirements as those required for public cord blood banking. Outcomes can be improved and more lives saved through a more organized approach to the identification and collection of CB for family-directed uses.

#### **Appendix**

EBMT centers who kindly provided data on related cord blood transplant (in alphabetical order):

Algeria - Alger: Centre Pierre et Marie Curie/Australia - Sydney: The Children's Hospital at Westmead & Randwick/Austria – Graz: Medical University Graz/Innsbruck: University Hospital Innsbruck/Vienna: St. Anna Kinderspital/Belarus - Minsk: Belorussian Centre for Paediatric, Oncology and Hematology/Belgium - Brussels: Cliniques Universitaires St. Luc, Institut Jules Bordet, Children's University Hospital, BMT Unit/Gent: University Hospital Gent/Haine-St-Paul: Hôpital de Jolimont/Leuven: University Hospital Leuven

(Dept. of Paediatrics), University Hospital Gasthuisberg (Dept. of Hematology)/Yvoir: UCL Mont-Godinne/Brazil - Campinas: Univ. Est. de Campinas/Bulgaria - Sofia: Children's Onco-Hematology Hospital/Croatia - Zagreb: University Hospital Center Rebro/Czech Republic - Prague: University Hospital Motol/Denmark - Copenhagen: Rigshospitalet/Finland - Helsinki: University of Helsinki, Hospital for Children & Adolescents/France - Angers: Centre Hospitalier Régional d'Angers/Besançon: Hôpital Saint Jacques/Bordeaux: Hôpital Haut-Lévêque, CHU Bordeaux Groupe Hospitalier Pellegrin-Enfants/Clermont-Ferrand: Hôtel Dieu CHU/Jean Perrin/Créteil: Hôpital Henri Mondor/Grenoble: Tronche CHU-Albert Michallon/Lille: Claude Huriez/Lyon – Hôpital Debrousse (pédiatrie)/Marseille: Hôpital d'Enfants de La Timone/Nancy: Hôpital de Brabois/Nantes: Hôtel Dieu/Nice: Hôpital de l'Archet/Paris: Hôpital Necker (adulte), Hôpital Necker Enfants Malades, Hôpital Saint-Louis, Hôpital Robert Debré/Poitiers: Hôpital Jean Bernard/Rennes: Hôpital de Pontchaillou/Rouen: Hôpital Charles Nicolle (pédiatrie)/Saint-Etienne: Hôpital Nord Institut de Cancérologie de la Loire/Strasbourg: Hôpital de Hautepierre (pédiatrie)/Germany - Berlin: Charité-CVK, University Medicine Berlin/Dresden: Universitaetsklinikum Dresden/Düsseldorf: Universitaetsklinikum/Essen: University Hospital, Dept. of Pediatric Hematol./Oncol/Hannover: Hannover Medical School/Heidelberg: University of Heidelberg/Jena: University of Jena/Munich: Klinikum Grosshadern/Tübingen: Universität Tübingen, Medizinische Klinik/Ulm: Universitaet Ulm/Greece -Athens: St. Sophia` Children`s Hospital/Patras: Patras University Medical School/Hungary - Budapest: St. László Hospital, St. István/Miskolc: Postgraduate Medical School/India - Chennai: Apollo Speciality Hospital/Iran - Teheran: Shariati Hospital/Ireland - Dublin: Our Lady`s Hospital for Sick Children/Israel - Haifa: Rambam Medical Center/Jerusalem: Hadassah University Hospital/Petach-Tikva: Schneider Children's Medical Center of Israel/Tel-Hashomer: Edmond & Lily Safra Children's Hospital, Chaim Sheba Medical Center/Italy - Bologna: Azienda Ospedaliero-Universitaria di Bologna/Brescia: Universitá degli Studi di Brescia/Genova: Ospedale San Martino, Department of Haematology II, Institute G. Gaslini,/Milano: San Raffaele Scientific Institute/Monza: Ospedale San Gerardo, Clinica Pediatrica dell'Universita di Milano Bicocca/Padova: Clinica di Oncoematologia Pediatrica/Pavia: Fondazione IRCCS Policlinico *Matteo/Pescara:* Ospedale Civile Department Hematology/Pisa: Azienda Ospedaliera Universitaria Pisa/Reggio\_Calabria: Azienda Ospedaliera/Roma: Univ. 'La Sapienza', Ospedale S. Camillo, Rome Transplant Network/Torino: Ospedale Infantile Regina Margherita, Onco-Ematologia Pediatrica, Istituto per la Ricerca e la Cura del Cancro, Ospedale San Luigi Orbassano, University of Turin/Trieste: Istituto per l'Infanzia Burlo Garofolo/Jordan - Amman: King

Hussein Cancer Centre/Poland – Bydgoszcz: University Hospital, Collegium Medicum/Poznan: Poznan University of Medical Sciences/Wroclaw: Wroclaw DCTK, Wroclaw Medical University/Portugal – Lisboa: Inst. Portugues Oncologia/Porto: Inst. Portugues de Oncologia do Porto/Russia - Moscow: Russian's Children's Hospital/St. Petersburg: Saint Petersburg State Medical Pavlov University/Saudi Arabia - Riyadh: King Faisal Specialist Hospital & Research Centre/Spain – Barcelona: Hospital Vall d'Hebron, Hospital Santa Creu i Sant Pau/Córdoba: Hosp. Reina Sofia/Madrid: Hospital Gregorio Marañón, Hospital Universitario La Paz, Niño Jesus Children's Hospital, Hospital Universitario Puerta de Hierro/Málaga: Hospital Carlos Haya/Palma\_De\_Mallorca: Universitari Son Dureta/Sevilla: Hospital Universitario Virgen del Rocío/Valencia: Hospital Infantil La Fe/Slovakia - Bratislava: Pediatric University Teaching Hospital/South Africa -Cape\_Town: University of Cape Town Faculty of Health Sciences/Sweden - Goeteborg: Sahlgrenska University Hospital, The Queen Silvia Children's Hospital/Lund, University Hospital/Stockholm: Huddinge University Hospital, Karolinska University Hospital Children's Hospital/Umeå: Umea University Hospital/Uppsala: University Hospital/Switzerland, Zürich, University Children's Hospital/The Netherlands - Leiden: University Hospital/Nijmegen: Radboud University - Nijmegen Medical Centre/Utrecht: University Medical Centre, University Hospital for Children/Turkey - Ankara: Hacettepe University Children's Hospital, Ankara University Faculty of Medicine/Ankara (Cebeci): University of Ankara/Ankara Sihhiye: Hacettepe University/Antalya: Akdeniz University Medical School/Izmir, Ege University/United Kingdom – Birmingham: Birmingham Children's Hospital/Bristol: Avon Haematology Unit Bristol Oncology Centre, Bristol Royal Hospital for Children/Glasgow: Royal Hospital for Sick Children/Leeds: Mid Yorkshire Hospitals NHS Trust - Yorkshire Blood & Marrow Transplant Programme/London: St. Mary's Hospital Division of Paediatrics, Great Ormond Street Hospital, Royal Marsden Hospital, Imperial College Hammersmith Hospital/Manchester: Department of Paediatric Haematology/Newcastle-Upon-Tyne: Newcastle General Hospital (Dept. of Paediatric Immunology), Royal Victoria Infirmary (Hematology, Paediatric Oncology Unit)/Sheffield: Royal Hallamshire Hospital.

### **Authorship and Disclosures**

The information provided by the authors about contributions from persons listed as authors and in acknowledgments is available with the full text of this paper at www.haematologica.org.

Financial and other disclosures provided by the authors using the ICMJE (www.icmje.org) Uniform Format for Disclosure of Competing Interests are also available at www.haematologica.org.

#### References

- Gluckman E, Broxmeyer HA, Auerbach AD, Friedman HS, Douglas GW, Devergie A, et al. Hematopoietic reconstitution in a patient with Fanconi's anemia by means of umbilical-cord blood from an HLA-identical sibling. N Engl J Med. 1989;321(17):1174-8.
- ling. N Engl J Med. 1989;321(17):1174-8.

  Rubinstein P, Adamson JW, Stevens C. The Placental/Umbilical Cord Blood Program of the New York Blood Center. A progress report. Ann NY Acad Sci. 1999;872:328-35.
- 3. Kurtzberg J, Laughlin M, Graham ML, Smith C, Olson JF, Halperin EC, et al. Placental blood as a source of hematopoietic stem cells for transplantation into unrelated recipients. N Engl J Med. 1996;335(3):157-66.
- Wall DA. Regulatory issues in cord blood banking and transplantation. Best Pract Res Clin Haematol. 2010;23(2):171-7.
- Hakenberg P, Kogler G, Wernet P. NET-CORD: a cord blood allocation network. Bone Marrow Transplant. 1998;22 (Suppl 1):S17-8.
- 6. Ballen KK, Barker JN, Stewart SK, Greene
- MF, Lane TA. Collection and preservation of cord blood for personal use. Biol Blood Marrow Transplant. 2008;14(3):356-63.
- 7. Lubin BH, Shearer WT. Cord blood banking for potential future transplantation. Pediatrics. 2007;119(1):165-70.
- 8. Wagner JE, Kernan NA, Steinbuch M, Broxmeyer HE, Gluckman E. Allogeneic sibling umbilical-cord-blood transplantation in children with malignant and non-malignant disease. Lancet. 1995;346(8969):214-19.
- 9. Gluckman E, Rocha V, Boyer-Chammard A, Locatelli F, Arcese W, Pasquini R, et al.

- Outcome of cord-blood transplantation from related and unrelated donors. Eurocord Transplant Group and the European Blood and Marrow Transplantation Group. N Engl J Med. 1997;337(6):373-81.
- Fisk NM, Roberts IA, Markwald R, Mironov V. Can routine commercial cord blood banking be scientifically and ethically justified? PLoS Med. 2005;2(2):e44.
- Petrini C. Umbilical cord blood collection, storage and use: ethical issues. Blood Transfus 2010;8(3):139-48.
- Manegold G, Meyer-Monard S, Tichelli A, Granado C, Hosli I, Troeger C. Controversies in hybrid banking: attitudes of Swiss public umbilical cord blood donors toward private and public banking. Arch Gynecol Obstet. Arch Gynecol Obstet. 2011;284(1):99-104.
- 13. Fisk NM, Atun R. Public-private partnership in cord blood banking. Brit Med J. 2008;336(7645):642-4.
- Herr AL, Kabbara N, Bonfim CM, Teira P, Locatelli F, Tiedemann K, et al. Long-term follow-up and factors influencing outcomes after related HLA-identical cord blood transplantation for patients with malignancies: an analysis on behalf of Eurocord-EBMT. Blood 2010;116(11):1849-56.
- 15. Rocha V, Wagner JE Jr, Sobocinski KA, Klein JP, Zhang MJ, Horowitz MM, Gluckman E. Graft-versus-host disease in children who have received a cord-blood or bone marrow transplant from an HLA-identical sibling. Eurocord and International Bone Marrow Transplant Registry Working Committee on Alternative Donor and Stem Cell Sources. N Engl J Med. 2000;342(25):1846-54.
- Smith AR, Wagner JE. Alternative haematopoietic stem cell sources for transplantation: place of umbilical cord blood. Br J Haematol. 2009;147(2):246-61.
- Laughlin MJ, Eapen M, Rubinstein P, Wagner JE, Zhang MJ, Champlin RE, et al. Outcomes after transplantation of cord blood or bone marrow from unrelated donors in adults

- with leukemia. N Engl J Med. 2004;351(22): 2265-75.
- Rocha V, Labopin M, Sanz G, Arcese W, Schwerdtfeger R, Bosi A, et al. Transplants of umbilical-cord blood or bone marrow from unrelated donors in adults with acute leukemia. N Engl J Med. 2004;351(22):2276-85.
- Rocha V, Gluckman E. Improving outcomes of cord blood transplantation: HLA matching, cell dose and other graft- and transplantation-related factors. Br J Haematol. 2009;147(2):262-74.
- Reed W, Smith R, Dekovic F, Lee JY, Saba JD, Trachtenberg E, et al. Comprehensive banking of sibling donor cord blood for children with malignant and non malignant disease. Blood. 2003;101(1):351-7.
- Smythe J, Armitage S, McDonald D, Pamphilon D, Guttridge M, Brown J, et al. Directed sibling cord blood banking for transplantation: the 10-year experience in the national blood service in England. Stem Cells. 2007;25(8):2087-93.
- 22. Goussetis E, Peristeri I, Kitra V, Papassavas AC, Theodosaki M, Petrakou E, et al. Low usage rate of banked sibling cord blood units in hematopoietic stem cell transplantation for children with hematological malignancies: implications for directed cord blood banking policies. Blood cells Mol. Dis. 2011;46(2):177-81.
- Goussetis E, Petrakou E, Theodosaki M, Kitra V, Peristeri I, Vessalas G, et al. Directed sibling donor cord blood banking for children with beta-thalassemia major in Greece: usage rate and outcome of transplantation for HLA-matched units. Blood Cells Mol Dis. 2010;44(2):107-10.
- Walters MC, Quirolo L, Trachtenberg ET, Edwards S, Hale L, Lee J, et al. Sibling donor cord blood transplantation for thalassemia major: Experience of the Sibling Donor Cord Blood Program. Ann NY Acad Sci. 2005;1054:206-13
- 25. Thornley I, Eapen M, Sung L, Lee SJ, Davies

- SM, Joffe S. Private cord blood banking: experience and views of pediatric hematopoietic cell transplantation physicians. Pediatrics 2009;123(3):1011-7.
- 26. Rosenthal J, Woolfrey AE, Pawlowska A, Thomas SH, Appelbaum F, Forman S. Hematopoietic cell transplantation with autologous cord blood in patients with severe aplastic anemia: an opportunity to revisit the controversy regarding cord blood banking for private use. Pediatr Blood Cancer. 2011;56(7):1009-12.
- Kaimal AJ, Smith CC, Laros RK Jr, Caughey AB, Cheng YW. Cost-effectiveness of private umbilical cord blood banking. Obstet Gynecol. 2009;114(4):848-55.
- 28. Mabed M. The potential utility of bone marrow or umbilical cord blood transplantation for the treatment of type 1 diabetes Biol Blood Marrow transplant 2011;17(4):455-64.
- Sun J, Allison J, McLaughlin C, Sledge L, Waters-Pick B, Wease S, Kurtzberg J. Differences in quality between privately and publicly banked umbilical cord blood units: a pilot study of autologous cord blood infusion in children with acquired neurologic disorders. Transfusion 2010;50 (9):1980-7.
- 30. Cavazzana-Calvo M, Payen E, Negre O, Wang G, Hehir K, Fusil F, et al. Transfusion independence and HMGA2 activation after gene therapy of human beta-thalassaemia. Nature 2010;467(7313):318-22.
- 31. Hacein-Bey-Abina S, Hauer J, Lim A, Picard C, Wang GP, Berry CC, et al. Efficacy of gene therapy for X-linked severe combined immunodeficiency. N Engl J Med 2010;363 (4):355-64.
- 32. Verlinsky Y, Rechitsky S, Sharapova T, Morris R, Taranissi M, Kuliev A. Preimplantation HLA testing. JAMA. 2004;291(17):2079-85.
- 33. Liao Y, Geyer MB, Yang AJ, Cairo MS. Cord blood transplantation and regenerative potential. Exp Hematol. 2011;39(4): 393-412.