

# Stray light analysis and optimization of the ASPIICS/PROBA-3 Formation Flying solar coronagraph

A. Mazzoli<sup>a</sup>, F. Landini<sup>b</sup>, S. Vives<sup>c</sup>, P. Lamy<sup>c</sup>, J.P. Halain<sup>a</sup>, P. Rochus<sup>a</sup>

<sup>a</sup>Centre Spatial de Liège, Av. du Pré-Aily, 4031 Angleur, Belgium;

<sup>b</sup>Università degli Studi di Firenze, 4 Piazza San Marco, 50121 Firenze, Italy ;

<sup>c</sup>Laboratoire d'Astrophysique de Marseille, 38 rue Frédéric Joliot-Curie, 13388 Marseille, France

## ABSTRACT

PROBA-3 is a technology mission devoted to the in-orbit demonstration of formation flying techniques and technologies. PROBA-3 will implement a giant coronagraph (called ASPIICS) that will both demonstrate and exploit the capabilities and performances of formation flying. ASPIICS is distributed on two spacecrafts separated by 150m, one hosting the external occulting disk and the other the optical part of the coronagraph. This part implements a three-mirror-anastigmat (TMA) telescope. Its pupil is placed about 800mm in front of the primary mirror, a solution allowing an efficient baffling and a high reduction of the stray light inside the instrument. A complete stray light analysis of the TMA has been carried out to design the baffles and to establish the required roughness of the mirrors. The analysis has been performed in two steps: first, by calculating the diffraction pattern behind the occulter due to an extended monochromatic source having the diameter of the Sun; second, by propagating this diffraction pattern, through all the telescope optical components, to the prime focal plane. The results obtained are described in this article.

**Keywords:** ASPIICS, coronagraph, stray light, formation flying

## 1. INTRODUCTION

The “Association de Satellites Pour l’Imagerie et l’Interférométrie de la Couronne Solaire”, ASPIICS, is a solar coronagraph to be flown on the PROBA 3 Technology mission issued by the European Space Agency (ESA). ASPIICS heralds the next generation of coronagraph for solar research, exploiting formation flying to gain access to the inner corona ( $<1.1$  solar radius,  $R_{\text{sun}}$ ) under eclipse-like conditions for long periods of time. ASPIICS will make a giant step in our knowledge of the solar corona by providing observations that will lead to the insights necessary for understanding key physical processes and for the prediction of space weather in the Sun-Earth system.

ASPIICS is conceived to permanently reproduce the conditions of a total eclipse of the Sun in space (“artificial” eclipse) capable of observing the inner corona from  $1.015 R_{\text{sun}}$  to  $2.7 R_{\text{sun}}$  in different modes: (i) high spatial resolution imaging of the continuum K+F corona in photometric and polarimetric modes, (ii) high spatial resolution imaging of the E-corona in two coronal emission lines (CEL): Fe XIV and He I D3, and (iii) two-dimensional spectrophotometry of the Fe XIV emission line.

### 1.1 The coronagraph

ASPIICS is a visible light, externally-occulted coronagraph conceived to perform both high spatial resolution imaging and two-dimensional spectrophotometry of the inner corona. It is distributed on the two PROBA-3 spacecrafts (S/C) separated by 150 m. The coronagraph optical assembly is hosted by the “coronagraph S/C” protected from direct sunlight by remaining in the shadow of the occulting disk hosted by the “occulting S/C”. The ASPIICS optical design follows the general principles of a classical externally occulted Lyot coronagraph. An unobstructed three-mirror anastigmat (TMA) solution is selected for the front optics to achieve ultimate optical quality at the prime focal plane within a reduced volume.

### 1.2 Optical design

The ASPIICS optical design follows the general principles of a classical externally occulted coronagraph. As shown in Figure 1, the external occulter (D1) blocks the light from the solar disk while the coronal light passes around the occulting disk then goes through the circular aperture of the coronagraph. The primary objective (O1) forms an image of

the external occulter onto the internal occulter (D2). The image of the surrounding bright fringe is blocked by slightly over-sizing the internal occulter. The secondary objective (O2) re-images the entrance pupil (A1) onto the so-called "Lyot Stop" (A3) that blocks the light diffracted by the edges of the pupil. Finally, the corona image is formed by a camera (O3) onto the focal plane (F).

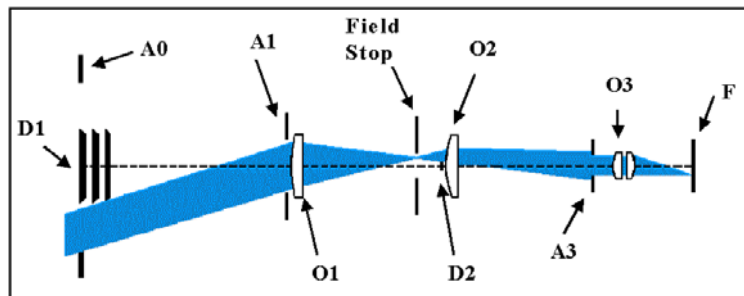


Figure 1. Basic scheme of a classical externally occulted Lyot coronagraph.

An unobstructed three-mirror anastigmat (TMA) solution is selected to achieve ultimate optical quality (then limiting over-occultation) within a reduced volume. The primary mirror is located 804 mm behind the entrance pupil. The internal occulter is located after the focal plane of the 3-mirror objective. This TMA is optimized for an object at infinity and the optical quality reached at the internal occulter allows very limited overoccultation and consequently high performances. The additional optics after the internal occulter are not part of this analysis, the optical model is limited to the design until the internal occulter (i.e. the "coronagraphic part"). The main reason to this limitation is the impossibility to reduce stray light after the internal occulter due to the presence of the Fabry-Perot interferometer and the inaccessibility of the Lyot stop.

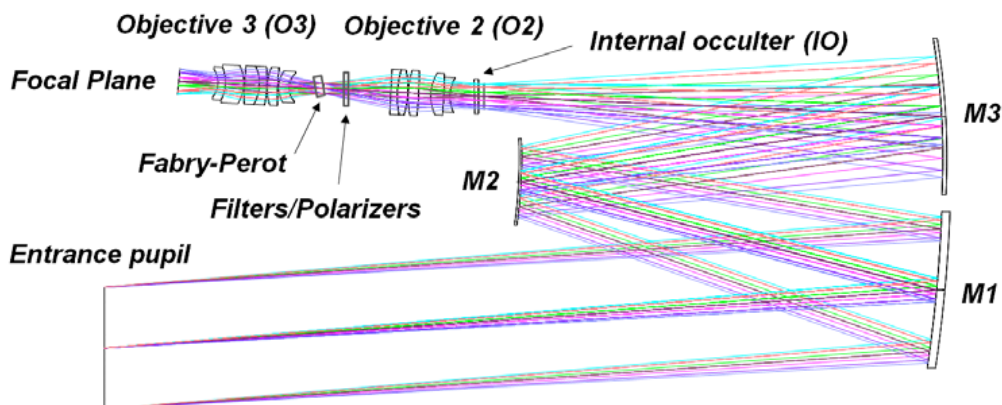


Figure 2. Optical design for the SPIICS Coronagraph.

The analyses presented in this article have been performed in the framework of the ESA STARTIGER program which took place in the Laboratoire d'Astrophysique de Marseille (LAM) during a 6-month project between September 2009 and March 2010. A TMA identical to the one proposed for SPIICS has been built and used during the STARTIGER project. Its top level specifications are presented in the following table.

Table 1. STARTIGER TMA design top level specifications.

<b>STARTIGER TMA design top level specifications</b>	
Effective focal length	800.417 mm
Entrance pupil diameter	100.8 mm
Maximum field of view	+/- 0.8°

### 1.3 Objectives of the study

The aim of this work was the evaluation of the stray light in the TMA from the external to the internal occulter (IO), including:

- a preliminary baffling design,
- the impact in term of stray light at the internal occulter level of the mechanical structure and the mirrors micro-roughness,
- the stray light coming from the diffraction by the external occulter (EO) (assumed to be a single, circular and thin disk),
- finally the definition of requirements on the surface properties of the external occulter's rear face in terms of specular and diffuse reflection.

The model was built in the ASAP<sup>®</sup> ray tracing software. It contains the mirrors from the optical design as well as the structure of the mechanical design.

## 2. INTERNAL STRAY LIGHT ANALYSIS

### 2.1 Baffles configuration

The first analysed source of stray light is the direct view between the entrance pupil of the TMA and the internal occulter. Here this direct view exists only through reflections on the TMA mirrors. To avoid this stray light, three baffles have been placed inside the TMA. They can be seen in the Figure 3 and Figure 4 here below.

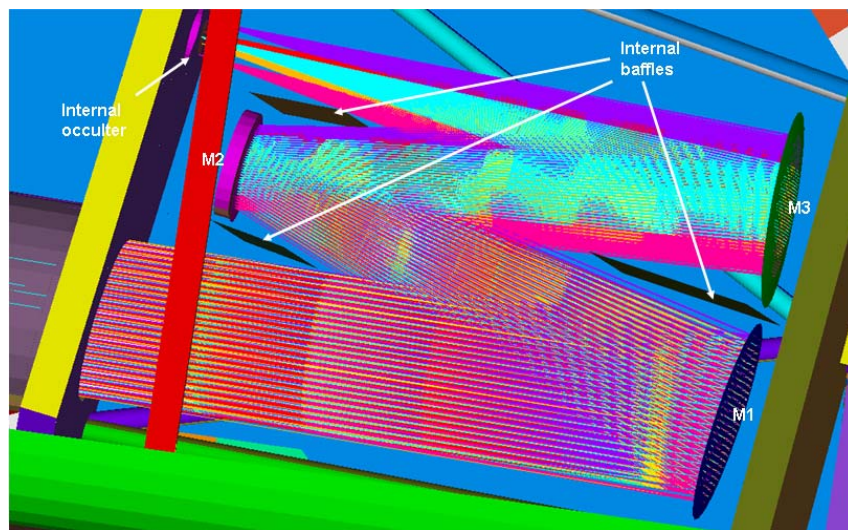


Figure 3. Internal baffling of the TMA.

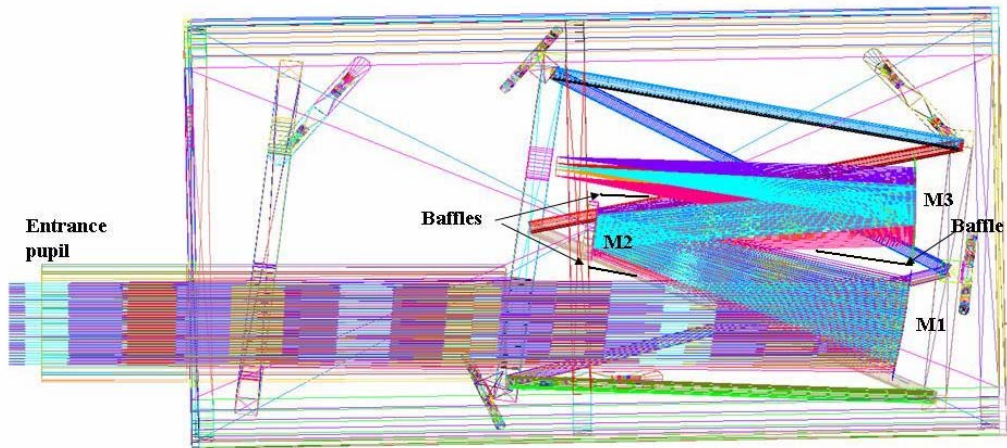


Figure 4. TMA model with internal baffles.

To limit the stray light outside the field-of-view, a tube is used as an entrance baffle to the TMA. Nevertheless light can be reflected or diffused inside this tube and then travel inside the TMA. Vanes are added inside the tube to prevent this stray light. They are 7.5 mm high and separated by 32 mm (See upper part of Figure 5). These vanes avoid stray light to enter the TMA after one reflection inside the tube until an incidence angle of  $\pm 24^\circ$  which constitutes thus the unobstructed field of view (UFOV) of the TMA.

The bottom part of Figure 5 presents vanes added to the structure wall near M1. They suppress a reflection or diffusion of light towards M1 which could propagate through the TMA mirrors until the internal occulter.

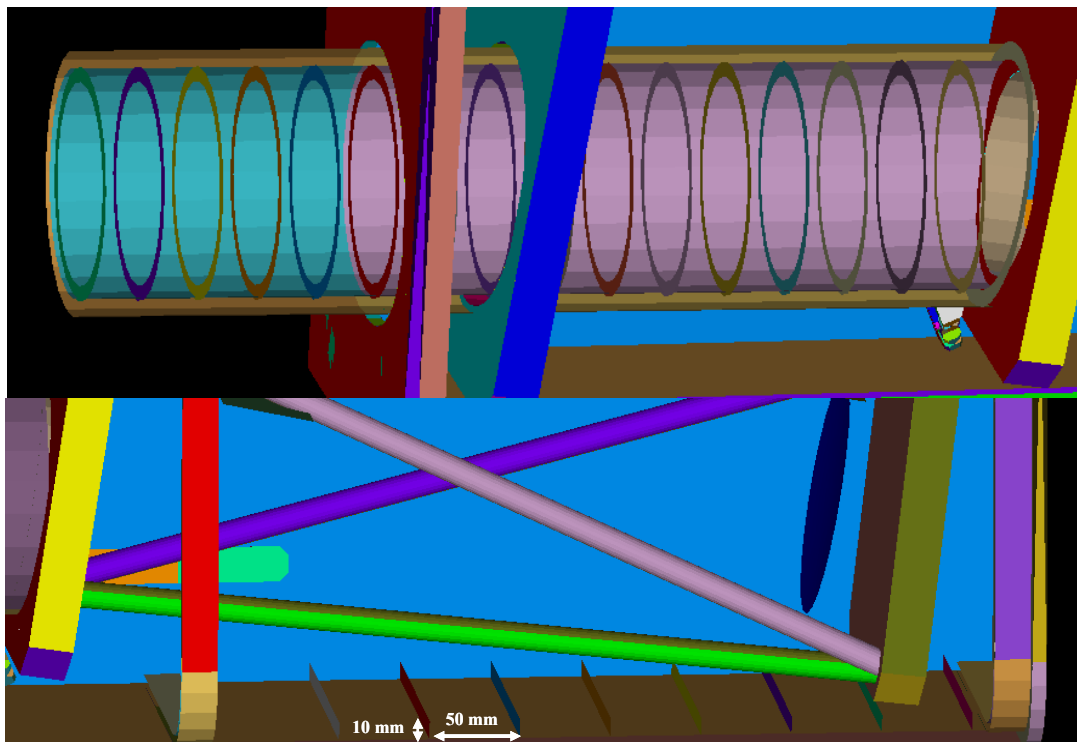


Figure 5. Entrance baffle and vanes on the structure wall near M1.

## 2.2 Mirrors' micro-roughness

Different micro-roughnesses have been applied to the 3 mirrors to check their impact in term of stray light level in the plane of the internal occulter. The roughnesses were introduced in the model as BRDF (bidirectional reflectance distribution function) models using the Harvey-Shack mathematical model. An example is presented in Figure 6 for a micro-roughness of 1 nm.

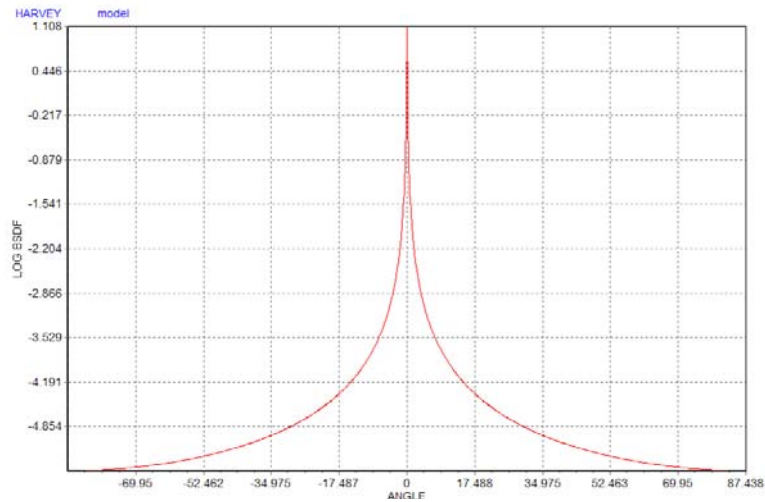


Figure 6. BRDF Harvey model curve for a roughness of 1 nm.

Five roughnesses have been considered (0.2, 0.5, 1, 2 and 5 nm) and applied to each mirror one at a time. The stray light level on the internal occulter plane for an unitary input flux has been computed for each case. The results are presented in Table 2 which shows also the TIS (Total integrated scatter) corresponding to each roughness. The important conclusion from this table is that all mirrors give a similar stray light level for the same roughness which indicates that no mirror is dominant in term of scattered stray light. From this table and the specification on the maximum acceptable stray light level on the internal occulter, the maximum micro-roughnesses requirement of the mirrors can be easily fixed.

Table 2. Stray light rejections on the internal occulter for different mirrors' roughnesses for an unitary input flux.

<b>Roughness</b>	<b>TIS</b>	<b>M1</b>	<b>M2</b>	<b>M3</b>
0.2 nm	$2.2 \cdot 10^{-5}$	$6 \cdot 10^{-6}$	$7.2 \cdot 10^{-6}$	$7.4 \cdot 10^{-6}$
0.5 nm	$1.4 \cdot 10^{-4}$	$1 \cdot 10^{-4}$	$8.3 \cdot 10^{-5}$	$1.1 \cdot 10^{-4}$
1 nm	$5.6 \cdot 10^{-4}$	$4.2 \cdot 10^{-4}$	$3.3 \cdot 10^{-4}$	$4.4 \cdot 10^{-4}$
2 nm	$2.2 \cdot 10^{-3}$	$1.7 \cdot 10^{-3}$	$1.3 \cdot 10^{-3}$	$1.8 \cdot 10^{-3}$
5 nm	$1.4 \cdot 10^{-2}$	$1.2 \cdot 10^{-2}$	$7.5 \cdot 10^{-3}$	$1.3 \cdot 10^{-2}$

## 3. DIFFRACTION FROM THE EXTERNAL OCCULTER

To simulate the diffraction by the external occulter of the light coming from the Sun two steps have been used. The first step is to calculate the diffraction pattern behind the occulter (here at the entrance pupil of the coronagraph) and the second one is to propagate this pattern through the optical components of the coronagraph until the plane of the internal occulter. The diffraction pattern behind the occulter has been calculated analytically because it would require a too large

computation time in ASAP to reach enough accuracy. As the internal occulter's function is to block the diffraction from the external occulter, the plane where this diffraction is analysed is the internal occulter plane.

The calculation of the diffraction after the external occulter has been performed analytically for a small piece of the occulter's edge (considered thus linear and with an infinite length in the direction perpendicular to the light propagation). An extended source with the size of the Sun has been considered. The diffraction pattern is computed in a section of the TMA entrance pupil. The obtained profile is presented in the Figure 7 below. The part of the profile corresponding to the pupil is limited by the dashed lines.

To propagate the light from the Sun through the TMA after the diffraction by the occulter, the profile of the diffraction pattern inside the pupil is not sufficient. The pattern in the whole pupil plane is necessary. As a first approximation and due to the large dimension of the occulter (1.5 m in diameter) with respect to the pupil diameter (100 mm) it has been considered that the profile can be extended to the whole pupil. A view of the pattern in the whole pupil is presented in Figure 7.

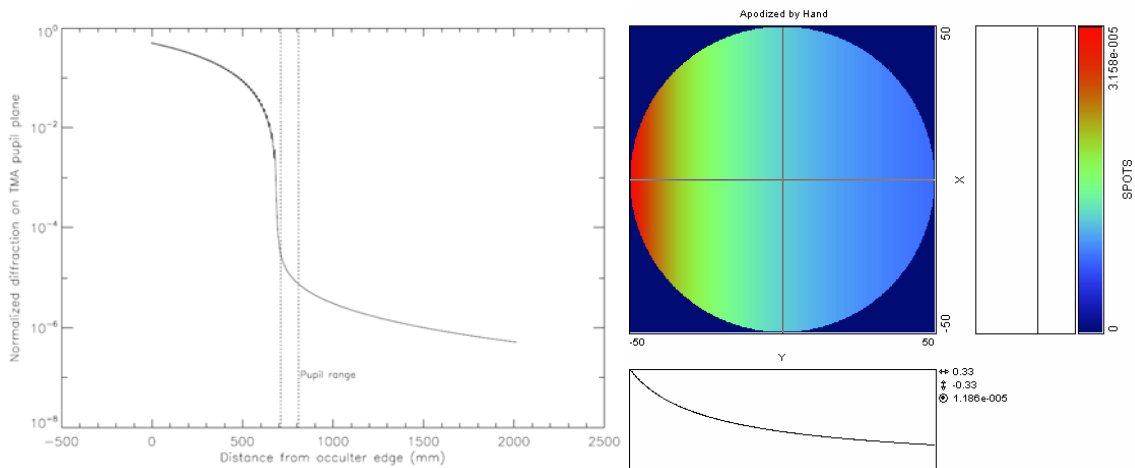


Figure 7. Profile of normalized energy distribution due to diffraction by the EXTERNAL OCCULTER in the TMA entrance pupil plane and the same diffraction pattern profile extended to the whole entrance pupil

The next step is the propagation of the previous pattern through the optical and mechanical model of the TMA built in the ASAP<sup>®</sup> raytracing software. To do this several points source are placed on the edge of the external occulter assuming an apodization of each beam with the diffraction pattern calculated above. The flux per source is equal to the flux in the diffraction pattern divided by the number of sources considered. The pattern in figure 7 corresponds to a linear edge on the left of the pupil i.e. a point source placed on the edge in the negative part of the Y axis. Depending on the position of the source on the occulter's edge, the apodization is rotated to take into account the position of the considered small linear edge with respect to the pupil position.

As the internal occulter plane is the conjugate plane of the external occulter and due to the high distance between the external occulter and the coronagraph the imaging of the external occulter on the internal occulter plane is not a lot degraded. As a consequence the spots are too small to be seen on a full image of the occulter. With a defocus on the focal plane, the ring surrounding the external occulter image becomes visible. The Figure 8 below presents the geometrical computation (i.e. without taking into account the diffraction for a better visualization of the ring) for several points source distributed on the occulter edge.

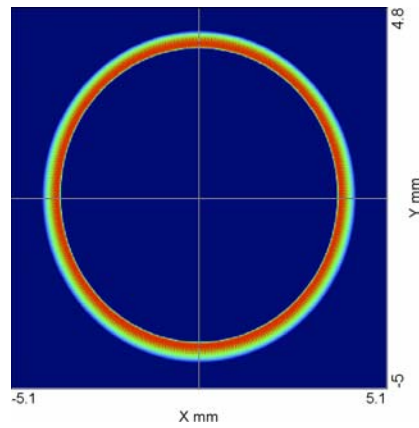


Figure 8. Geometrical image through the coronagraph of source points on the external occulter's edge in a defocalized plane with respect to the internal occulter plane.

To see more clearly the structure inside the ring on the internal occulter plane it is necessary to make a zoom on a small part of it. To do this several sources are placed close to each other on a small part of the occulter's edge. The visualization window in the internal occulter plane is also chosen centered on the image with enough pixels to see the details of the diffraction pattern. An example is presented in the Figure 9 below. The width between the two first minima in the PSF-like profile on the right is  $10\ \mu\text{m}$ . The FWHM of this curve is around  $4\ \mu\text{m}$ .

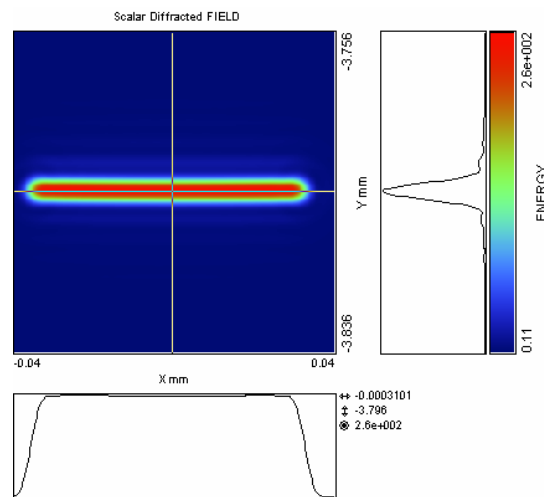


Figure 9. Image in the internal occulter plane (considering the diffraction) of source points located on a small part of the external occulter's edge.

#### 4. REQUIREMENTS ON THE EXTERNAL OCCULTER'S REAR SIDE SURFACE PROPERTIES

In this last paragraph the effects of diffusion or reflection on the rear face of the external occulter are analysed. The way to proceed was to place sources at the external occulter level and propagate the rays towards the TMA entrance pupil and then through the TMA until the internal occulter. For the propagation inside the TMA the mirrors were considered diffusive with a BRDF model corresponding to the RMS micro-roughnesses measured by the TMA manufacturer (1 nm for M1, 0.4 nm for M2 and 0.3 nm for M3). The stray light rejection on the internal occulter was computed for each mirror at a time. Different sizes as well as positions of the sources on the external occulter were used to see their impact in term of stray light level on the internal occulter.

The flux of the smallest source (1 mm<sup>2</sup>) is unitary and the fluxes of the other sources is 1 times the ratio between their area and the 1 mm<sup>2</sup> area. The stray light contribution for each mirror for different source areas centered on the external occulter are presented in the following Table 3. From that table it can be seen that the stray light level on the internal occulter is proportional to the area of the emitting source on the external occulter.

Table 3. Stray light rejections at internal occulter level for different source areas on the external occulter and diffusion on the TMA mirrors.

Source area	Diffusion on M1	Diffusion on M2	Diffusion on M3
1 mm <sup>2</sup>	0.34E-3	0.52E-4	0.34E-4
10 mm <sup>2</sup>	0.34E-2	0.52E-3	0.35E-3
100 mm <sup>2</sup>	0.35E-1	0.54E-2	0.34E-2
1 cm <sup>2</sup>	0.36E0	0.52E-1	0.37E-1
10 cm <sup>2</sup>	0.39E1	0.62E0	0.37E0
100 cm <sup>2</sup>	0.32E2	0.57E1	0.34E1
1 m <sup>2</sup>	0.34E3	0.65E2	0.36E2

Concerning the effect of the source position on the external occulter, simulations have been performed for some positions of the source. Displacements on only one axis or on both axes have been performed. The result is that the position of the source has no effect on the stray light rejection since the values are similar to the one obtained for a centered source.

From the coronagraph's entrance pupil diameter (100 mm) and the distance between this one and the external occulter (150 m), the solid angle covered by the entrance pupil viewed from the external occulter is equal to 5.236E-2 str. Considering first the external occulter's rear side as a Lambertian emitter it will diffuse the same amount of light in all directions contained in an hemisphere (solid angle of 2 $\pi$  str) towards the coronagraph. The fraction of light entering the entrance pupil is thus equal to the ratio between these two solid angles i.e. 8.333E-3 times the total integrated scatter (TIS) of the external occulter's rear side. From this last value, the Table 3 and the maximum level of stray light accepted at the internal occulter level, specifications on the external occulter's rear side surface properties in term of TIS is determined.

## 5. CONCLUSIONS

The TMA of the future ASPIICS coronagraph to be flown on PROBA-3 has been optically and mechanically modelled from the external to the internal occulter. A first order baffling design to avoid direct stray light at the internal occulter level through reflections on the mirrors has been proposed. The impact of the mirrors' micro-roughness in term of stray light on the internal occulter has been analysed for each mirror and for several micro-roughnesses. The diffraction from the external occulter has been simulated by propagating through the coronagraph optics the diffraction pattern analytically calculated at the entrance pupil considering the Sun as an extended source. Finally some requirements on the external occulter's rear side surface properties have been determined.

## REFERENCES

- [1] Vives, S., Lamy, P., Levacher, P., Venet, M., Boit, J., L., "In-flight Validation of the Formation Flying Technologies using the ASPIICS/PROBA-3 giant coronagraph", Proc. SPIE 7010-3R, (2008).
- [2] Vives, S., Lamy, P., Venet, M., Levacher, P., Boit, J., L., "The giant, externally-occulted-coronagraph ASPIICS for the PROBA-3 formation flying mission", Proc. SPIE 6689, (2007).
- [3] Evans, J., W., "A photometer for measurement of sky brightness near the sun", J. Opt. Soc. Am. 38, 1038 (1948).